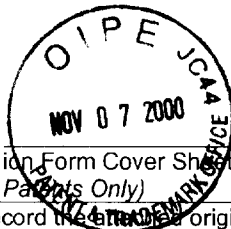


11-27-2000



11-7-00

Attorney Docket No. 017887-002610US

FORM PTO-159  
(Rev. 6-93)

101527886

ion Form Cover Sheet  
Patents OnlyU.S. Department of Commerce  
Patent and Trademark Office

To the Honorable Asst. Commissioner for Patents. Please record the execution of original documents or copy thereof

1. Name of conveying party(ies):

Matthias Eichstaedt  
Ashvinkumar P. Patel  
Qi Lu  
Udi Manber  
Kristine RudkinAdditional name(s) of conveying parties attached? ☐ Yes  
☒ No.

2. Name and address of receiving party(ies)

Name: Yahoo! Inc.

Internal Address:

Street Address: 3420 Central Expressway

City: Santa Clara State: CA ZIP: 95051

Additional names and addresses attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other:

Execution Dates: 10/25/00, 10/26/00, 10/27/00

4. Application Number(s) or Patent Numbers.

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s): 09/613,436

B. Patent No(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Daniel D. Tagliaferri  
TOWNSEND AND TOWNSEND AND CREW LLP  
Two Embarcadero Center, 8<sup>th</sup> Floor  
San Francisco, California 94111-3834  
(415) 576-0200

6. Total number of applications and patents involved 1

7. Total fee (37 CFR 3.41): -----\$40.00

☐ Enclosed ☒ Charge Fees to Deposit Account☒ Charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to deposit account.

8. Deposit account number: 20-1430

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document.*Daniel D. Tagliaferri  
Name of Person Signing

Signature

November 2, 2000  
Date

Atty Reg. No. 43,178

Total number of pages including cover sheet, attachments and document 4

10. Change Correspondence Address to that of Part 5? ☐ Yes ☒ No

OMB No. 0651-0011 (exp. 4/94)

Do not detach this portion

Mail documents to be recorded with required cover to:

Asst. Commissioner for Patents  
Box: Assignments  
Washington, D.C. 20231

SF 1155334 v1

11/14/2000 MBERHE 00000006 201430 09613436

03 PD:581

40.00 CH

PATENT  
REEL: 011263 FRAME: 0218

## ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, Matthias Eichstaedt, of 370 Altair Way, #340, Sunnyvale, CA 94086, Ashvinkumar P. Patel, of 27765 Lupine Road, Los Altos Hills, CA 94022, Qi Lu, of 1066 Quail Creek Circle, San Jose, CA 95120, Udi Manber, of 883 Robb Road, Palo Alto, CA 94306, Kristine Rudkin, of 32 11th Avenue, San Mateo, CA 94401, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: SYSTEM AND METHOD FOR PERSONALIZED INFORMATION FILTERING AND ALERT GENERATION

Date(s) of execution of Declaration: 10/25/00, 10/26/00, 10/27/2000

Filing Date: July 11, 2000

Application No.: 09/613,436, and

WHEREAS, Yahoo! Inc., a corporation of the state of Delaware, located at 3420 Central Expressway, Santa Clara, CA, 95051, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors. Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignors hereby authorize and request Townsend and Townsend and Crew LLP, Two Embarcadero Center, 8<sup>th</sup> Floor, San Francisco, CA 94111-3834, to insert herein above the application number and filing date of said application when known.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated: 10/25/2000, 2000

Matthias Eichstaedt  
Matthias Eichstaedt

STATE OF CALIFORNIA )

COUNTY OF )

ss.

On October 25, 2000, before me, Cecilia Yoshida, personally appeared Matthias Eichstaedt, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/~~she~~ executed the

same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

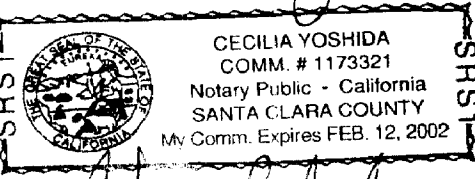
My Commission Expires: 2-12-2002

Dated: 10-26-00, 2000

STATE OF CALIFORNIA )  
COUNTY OF Santa Clara ) ss.

On October 26, 2000, before me, Cecilia Yoshida, personally appeared Ashvinkumar P. Patel, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

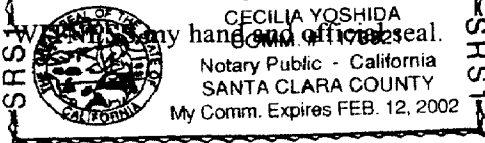
Cecilia Yoshida  
NOTARY PUBLIC  
  
Ashvinkumar P. Patel  
Ashvinkumar P. Patel

My Commission Expires: 2-12-2002

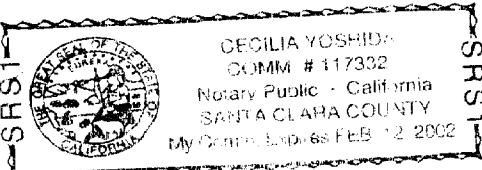
Dated: Oct. 27th, 2000, 2000

STATE OF CALIFORNIA )  
COUNTY OF Santa Clara ) ss.

On October 27, 2000, before me, Cecilia Yoshida, personally appeared Qi Lu, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

  
WITNESS my hand and official seal.

My Commission Expires: 2-12-2002  
Cecilia Yoshida  
NOTARY PUBLIC

Cecilia Yoshida  
NOTARY PUBLIC  
  
Qi Lu  
Qi Lu

Dated: 10/25, 2000

Udi Manber  
Udi Manber

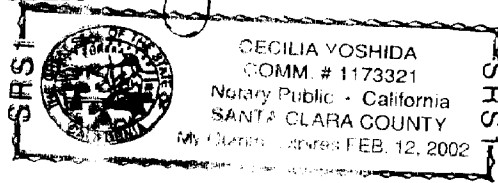
STATE OF CALIFORNIA )  
COUNTY OF Santa Clara ) ss.

On October 25, 2000, before me, Cecilia Yoshida, personally appeared Udi Manber, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/~~she~~ executed the same in his/~~her~~ authorized capacity, and that by his/~~her~~ signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

My Commission Expires: 2-12-2002

Cecilia Yoshida  
NOTARY PUBLIC



Dated: 10/25, 2000

Kristine Rudkin  
Kristine Rudkin

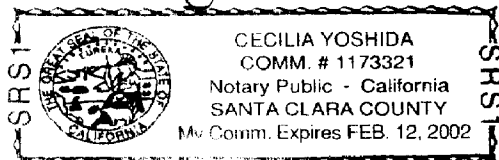
STATE OF CALIFORNIA )  
COUNTY OF ) ss.

On October 25, 2000, before me, Cecilia Yoshida, personally appeared Kristine Rudkin, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/~~she~~ executed the same in his/~~her~~ authorized capacity, and that by his/~~her~~ signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

My Commission Expires: 2-12-2002

Cecilia Yoshida  
NOTARY PUBLIC



SF 1137371 v1



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/613,436	07/11/2000	Matthias Eichstaedt	17887-00261OUS

20350  
TOWNSEND AND TOWNSEND AND CREW LLP  
TWO EMBARCADERO CENTER  
EIGHTH FLOOR  
SAN FRANCISCO, CA 94111



## FORMALITIES LETTER



\*OC000000005389215\*

Date Mailed: 09/11/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 820.**

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE