

11-27-2000

101527917

SIGNMENT OR **ECORDING**

11.6.00

Assistant Commissioner for Patents Box Assignments Washington, D.C. 20231

<u>C</u>(

- Sir: Please record the attached original document: 1. Name of conveying party: GRIMES, Craig A., GRIMES, Dale M., TEFIKU, Faton, LIU, Gang 2. Name and address of receiving party: University of Kentucky Research Foundation, A144 AsteCC Building, University of Kentucky, Lexington, Kentucky 40506-0286 3. Nature of conveyance: [X] Assignment [] Merger Security Agreement [] Change of Name [] Other _____ Execution date: <u>01 January 1999</u> 4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: Patent application No.(s) <u>09/614,950</u>, filed <u>12 July 2000</u> Λ. Patent No.(s) В. 5. Name and address of party to whom correspondence concerning documents should be mailed: Jean M. Macheledt Macheledt Bales & Johnson LLP The Opera Galleria, Suite 219 123 North College Avenue Fort Collins, Colorado 80524
- 6. Total number of applications and patents involved [1]
- 7. Fee due (37 CFR 1.2h) 1 x \$40 = \$40.00

[X] A check in the amount of \$40.00 is enclosed.

[X] Please charge any additional fees associated with this recordation, or credit any overpayment to deposit account number 02-0725.

To the best of my knowledge and belief, the forgoing information is true and correct and any attached copy is a true copy of the original document.

Jean N	<u> //acheledt</u>	
Name	of person	signing

Fignature Signature

PATENT

REEL: 011263 FRAME: 0257

ASSIGNMENT BEFORE PATENT (herein "Assignment")

SECTION 1 Background

We, Craig A. Grimes, Dale M. Grimes, and Gang Lui of Lexington, Kentucky and Faton Tefiku of San Diego, California (herein "Inventors") have invented or discovered a new and useful process, machine, manufacture, or composition of matter, or an improvement thereof, for which a provisional patent application was filed 9/9/99 and for which we are concurrently filing a utility patent application with the U.S. Patent Office with the following title Electrically-small Low Q Radiator Structure and Method of Producing EM Waves therewith. University of Kentucky Research Foundation, a corporation existing under the laws of the State of Kentucky, with a principal place of business at A144 ASTeCC Building, University of Kentucky, Lexington, Kentucky 40506-0286 (herein "Foundation") has acquired the Inventors' entire right, title, and interest in the invention/discovery according to Foundation and University policies and agreements. The Inventors hereby confirm that they were willing and able to sell, and have so transferred their entire right, title, and interest in the invention/discovery consistent with Foundation and University policies and agreements.

SECTION 2 Assignment of all Right, Title, and Interest to Foundation

In consideration of *one dollar* and other good and valuable consideration, of which receipt is acknowledged by each, the Inventors hereby sell and assign to the Foundation their entire right, title, and interest:

- (1) in said invention or discovery, and
- (2) in all United States and foreign applications filed therefor including but not limited to provisional, divisional, continuation, continuation-in-part, reissue, or reexamination applications, and
- (3) in any Letters Patent which issue in the United States or elsewhere including but not limited to: utility, utility model, or design patents; patents of implementation, improvement, or addition; inventor's certificates; and extensions of any of these, so that said right, title, and interest is held and enjoyed by the Foundation, its successors and assigns, as fully and entirely as it would have been held and enjoyed by Inventors had this Assignment and sale not been made.

The Inventors hereby agree to fully execute all documents or papers necessary for obtaining Letters Patent in the United States and elsewhere, and to furnish all data, information, and documentation which may be required for the preparation, filing, and prosecution of applications for said Letters Patent.

Since this Assignment is intended for recording with the patent office, the Assistant Commissioner for Patents is hereby directed to issue any patent which may hereafter be granted, to University of Kentucky Research Foundation in accordance with this duly executed Assignment.

EXECUTED on the date this Assignment is signed by each inventor below, and made effective as of 1 January 1999.

(By: CM4/GP. Crmos	Date signed: 54, 17, 2000
(Craig A. Grimes, Inventor	\ \ \
(signature witnessed by:	Dok 7-17-2000
(By: Dolo M Shines	Date signed: (els 16 - 2000)
(Dale M. Grimes, Inventor)	
· · · · · · · · · · · · · · · · · · ·	<i>)</i>
(signature witnessed by: \(\sum \text{W} \)	gri
(By: Fishou Teplus	Date signed: July 24 2000
(Faton Tefiku, Inventor	O
(, , ,)	
(signature witnessed by: A. Teffur.	
(By: Gang Lin	Date signed: July 17, 2000
(Gang Maix Inventor / /	
(Liu G/ Ill)	1/ 2/2/
(signature witnessed by:	10kg 1-14-00
1	/ DAT

RECORDED: 11/06/2000

PATENT REEL: 011263 FRAME: 0258