



11-28-2000



101529892

PATENT

Practitioner's Docket No. U 011364-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Assignments
Commissioner of Patents and Trademarks
Washington, D.C. 20231

NOTE: "Documents and cover sheets to be recorded should be addressed to Assistant Commissioner for Patents, Box Assignments, Washington, D.C. 20231, unless they are filed together with new applications or with a petition under § 3.81(b)." 37 C.F.R. § 3.27.

ASSIGNMENT (DOCUMENT) COVER SHEET (37 C.F.R. § 3.31)

NOTE: "A cover sheet may not refer to both patents and trademarks." 37 C.F.R. § 3.31(b).

Attached please find an assignment (document) for recordal.

11/20 10/23/00

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10*

(When using Express Mail, the Express Mail label number is **mandatory**;
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

- ☒ deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

37 C.F.R. § 1.8(a)

37 C.F.R. § 1.10*

- ☒ with sufficient postage as first class mail.

- ☐ as "Express Mail Post Office to Addressee"
Mailing Label No. _____ (mandatory)

TRANSMISSION

- ☐ transmitted by facsimile to the Patent and Trademark Office.

Signature

Clifford J. Mass

(type or print name of person certifying)

Date: October 19, 2000

***WARNING:** Each paper or fee filed by "Express Mail" **must** have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. § 1.10(b).
"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

(Assignment (Document) Cover Sheet—page 1 of 6) 16-6

11/27/2000 MTHA11 00000113 08894200
01 FC:581

40.00 DP

PATENT
REEL: 011265 FRAME: 0204



**IDENTIFICATION OF APPLICATION(S) AND/OR PATENT(S)
FOR ASSIGNMENT (DOCUMENT) RECORDAL
(37 C.F.R. § 3.21 and 37 C.F.R. § 3.31(a)(4))**

NOTE: See 37 C.F.R. §3.21.

NOTE: § 3.21 does not apply to documents other than assignment. Notice of June 24, 1992 (1140 O.G. 63-72 at 67).

1. This assignment is for the following patent application or issued patent:

NOTE: Do NOT enter both application AND patent number.

National application: SN: 08/894,200

filed on August 14, 1997

Provisional application:

filed on

International application: PCT

Patent No:

Issued:

(complete if applicable) which was previously assigned and recorded

Date August 14, 1997

Reel 8780

Frame 0173

NOTE: If an assignment of a patent application filed under § 1.53(b) is executed concurrently with, or subsequent to, the execution of the patent application, but before the patent application is filed, it must identify the patent application by its date of execution, name of each inventor, and title of the invention so that there can be no mistake as to the patent application intended. If an assignment of a provisional application under § 1.53(c) is executed before the provisional application is filed, it must identify the provisional application by name of each inventor and title of the invention so that there can be no mistake as to the provisional application intended. 37 C.F.R. § 3.21.

(also complete the following, if applicable)

[] and also for the applications and/or patents
shown on the attached list of FURTHER
APPLICATION(S) and/or PATENT(S) BEING ASSIGNED

Number of pages added _____

NOTE: "Where there is a listing of properties contained within a document, any listing may be copied and attached to the cover sheet to reduce the amount of typing necessary. A notation of this attachment can be made in lieu of entering every property identification number on the cover sheet." Notice of June 24, 1992 (1140 O.G. 63-72 at 67).

**TOTAL NUMBER OF APPLICATIONS AND/OR PATENTS
AND TOTAL FEE**

NOTE: All requests to record documents must be accompanied by the appropriate fee; a fee is required for each application or patent against which the document is recorded as identified in the cover sheet. The recording fee is set in § 1.21(h). 37 C.F.R. §3.41.

(Assignment (Document) Cover Sheet—page 2 of 6) 16-6

**PATENT
REEL: 011265 FRAME: 0205**

2. A. The total number of applications and/or patents identified in this cover sheet is 1

B. The total fee is (37 C.F.R. § 1.21(h)):

1 x \$40.00 = \$ 40.00
Total number of applications
and/or patents

C. Payment of fee is made by:

☒ the attached check for \$ 40.00.

☐ Please charge Account _____
the sum of \$ _____.

A duplicate of this cover sheet is attached.

Please charge Account 12-0425 for any fee deficiency or credit to account any overpayment.

NAME OF PARTY(IES) CONVEYING INTEREST

(37 C.F.R. § 3.31(a)(1))

NOTE "The term 'party' as used in this rule [§ 3.31] means the person whose name appears on the documents to be recorded, that person's attorney or registered agent, or a corporate officer where a corporation's name appears on the documents." Notice of June 24, 1992 (1140 O.G. 63-72, at 65); M.P.E.P. § 302.07, 6th ed.

3. The party(ies) conveying interest is (are):

Name 1: Gropep Pty. Ltd.

Name 2:

Name 3:

NAME AND ADDRESS OF PARTY(IES) RECEIVING INTEREST

(37 C.F.R. § 3.31 (a)(2))

4. The rights are being conveyed to:

Name: Gropep Limited

Address: Gate 11, Victoria Drive, Adelaide
South Australia 5000, Australia

**DESCRIPTION OF INTEREST CONVEYED OR
TRANSACTION RECORDED (37 C.F.R. § 3.31 (a)(3))**

5. The accompanying document intends to accomplish:

- ☐ an assignment.
- ☐ a security agreement.
- ☐ a merger.
- ☐ a license.
- ☒ a change of name.
- ☐ a change of address.
- ☐ other:

NAME AND ADDRESS OF PARTY TO WHOM

(Assignment (Document) Cover Sheet—page 3 of 6) 16-6

6. Please address correspondence to:

Name: Clifford J. Mass
Address: c/o Ladas & Parry
26 West 61st Street
New York, New York 10023
Telephone No.: (212) 708-1890

DATE ASSIGNMENT (DOCUMENT) EXECUTED
(37 C.F.R. § 3.31(a)(7))

7. A. ☒ The attached assignment (document) was executed on April 28, 2000.
Date

OR *If there is more than one conveying party who executed on different dates, indicate the date of execution of the assignment document for each conveying party.*

B. ☐ The attached assignment was executed by _____
Name
on _____ .
Date

☐ The attached assignment was executed by _____
Name
on _____ .
Date

☐ The attached assignment was executed by _____
Name
on _____ .
Date

☐ The attached assignment was executed by _____
Name
on _____ .
Date

☐ The attached assignment was executed by _____
Name
on _____ .
Date

LANGUAGE OF ASSIGNMENT (DOCUMENT) TO BE RECORDED

NOTE: "The Office will accept and record non-English language documents only if accompanied by an English translation signed by the individual making the translation," 37 C.F.R. § 3.26.

8. The attached document:

- ☒ is in the English language.
☐ is not in the English language and an English translation signed by the individual making the translation is attached.

ORIGINAL DOCUMENT OR TRUE COPY SUBMITTED

NOTE: See 37 C.F.R. § 3.24.

9. Submitted herewith is:

- ☒ the original document.
☐ a true copy of the original document, which I certify to be a true copy.

NOTE: "If the original [assignment] document is two-sided or the wrong size, the practitioner can comply with the requirement [set out in 37 C.F.R. § 3.24] by providing a true copy of the original document using only one side of each page on the correct size paper." Notice of June 24, 1992, 1140 O.G. 63-76, at 67.

NOTE: "Certification shall be made by the person submitting a copy of an original document that the document submitted is a true copy of the original. The certification is not required to be in an oath or declaration form." M.P.E.P., 6th ed., § 302.01.

ASSIGNMENT (DOCUMENT) TO RECORD CHANGE OF ADDRESS

(check item, if applicable)

10. ☐ Because the purpose of the attached documents is to record a change of address of the assignee, the particulars of the previously recorded assignments for each application and/or patent are shown.

NOTE: "Attach Change of Address 16-6."

ASSIGNMENT (DOCUMENT) TO RECORD CHANGE OF NAME

(check item, if applicable)

11. ☒ Because the purpose of the attached documents is to record a change of name of the assignee, the particulars of the previously recorded assignments for each application and/or patent are shown.

NOTE: "Attach Change of Name."

CHANGE OF PATENT MAINTENANCE FEE ADDRESS

(check item, if applicable)

12. ☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent to the Office separately.

STATEMENT (37 C.F.R. § 3.31(a)(9)) AND SIGNATURE (37 C.F.R. § 3.31(a)(10))

13. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

NOTE: "The term 'party' as used in this rule [§ 3.31] means the person whose name appears on the documents to be recorded, that person's attorney or registered agent, or a corporate officer where a corporation's name appears on the document." Notice of June 24, 1992, 1140 O.G. 63-76, at 65; M.P.E.P. § 302.07 6th ed.

Date: October 19, 2000

Name of party submitting document

Signature of party submitting document

(complete the following if the party submitting the document
is applicant's attorney)

SIGNATURE OF PRACTITIONER

Clifford J. Mass

(type or print name of practitioner)

c/o Ladas & Parry

26 West 61st Street

P.O. Address

New York, N.Y. 10023

Reg. No.: 30,086

Tel. No.: (212) 708-1890

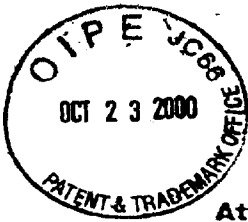
Customer No.:

TOTAL NUMBER OF PAGES BEING SUBMITTED

14. The total number of pages being submitted, including cover sheet attachment(s), and documents are:

12
Total number of pages submitted

(Assignment (Document) Cover Sheet—page 6 of 6) 16-6



U 011364-1

Attorney's Docket No. _____

PATENT

For: ☐ U.S. and/or ☐ Foreign Rights
 For: ☐ U.S. Application or ☐ U.S. Patent
 For: ☐ PCT Application
 By: ☐ Inventor(s) or ☐ Present Owner

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNOR:

(inventor(s) or person(s) or
 entity(ies) who own the
 invention)

LEANNA CHRISTINE READ and
 GORDON STANLEY HOWARTH

(type or print name(s) of ASSIGNOR(S))

52A Bridge Street, Kensington Park, South Australia 5068
 Australia and 7 Winston Crescent, Hillbank, South Australia
 (Address) 5112 Australia

Both Australian citizens
 (Nationality)

(if assignment is by person or entity to whom invention was previously assigned and
 this was recorded in PTO, add the following)

Recorded on _____

Reel _____

Frame _____

hereby sells, assigns and transfers to

ASSIGNEE:

GROPEP PTY LTD

(type or print name(s) of ASSIGNOR(S))

Gate 11, Victoria Drive, Adelaide,
 (Address)

South Australia 5000 Australia

An Australian company
 (Nationality)

and the successors, assigns and legal representatives of the ASSIGNEE

(Assignment of Invention [16-3]—page 1 of 3)

EG1 308156 42

PATENT
REEL: 011265 FRAME: 0210

(complete one of the following)

- ☒ the entire right, title and interest
☐ an undivided _____ percent (_____%) interest
for the United States and its territorial possessions

(check the following box if foreign rights are also to be assigned)

- ☐ and in all foreign countries, including all rights to claim priority,
in and to any and all improvements which are disclosed in the invention entitled:

METHOD OF PREVENTING OR TREATING ALIMENTARY TRACT DAMAGE DUE TO CHEMOTHERAPY OR RADIATION

(check and complete (a), (b), (c) or (d))

and which is found in

- (a) ☐ U.S. patent application executed on even date herewith
(b) ☐ U.S. patent application executed on _____
☐ To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney, as named in the Declaration and Power of Attorney I executed for this invention on the execution date stated above, to insert below the filing date and application number when it becomes known.
(c) ☐ U.S. application serial no. 0 / _____ filed on _____
(d) ☒ International application no. PCT/ AU96 / 00253 filed 2 May 1996 and
(e) ☐ U.S. patent no. _____ issued _____ amended 6 January 1997 and 6 March 1997.
☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.

(also check (e) if foreign application(s) is also being assigned)

- (f) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

(Assignment of Invention [16-3]—page 2 of 3)

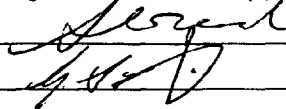
IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

9th day of JULY 1997 (Date of signing).

WARNING: Date of signing must be the same as the date of execution of the application if item (a) was checked above.

LEANNA CHRISTINE READ
GORDON STANLEY HOWARTH

Signature of ASSIGNOR(S)



If ASSIGNOR is a legal entity complete the following information

(type or print the name of the above person
authorized to sign on behalf of ASSIGNOR)

Title

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution 35 USC 261. Use next page if notarization is desired.

☐ Notarization or Legalization Page Added.

(Assignment of Invention [16-3]—page 3 of 3)

PATENT
REEL: 011265 FRAME: 0212



Practitioner's Docket No. U 011364-1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

[x] In re application of: Leanna Christine READ, et al.
Application No.: 08/894,200 Group No.: 1651
Filed: August 14, 1997 Examiner: J. WITZ
For: METHOD OF PREVENTING OR TREATING ALIMENTARY TRACT DAMAGE
DUE TO CHEMOTHERAPY OR RADIATION

[] Patent No.*: _____ Issued: _____

**NOTE: Insert name(s) of inventor(s) and title also for patent. Where change is with respect to a maintenance fee payment, also insert application serial number and filing date and add Box M, Fee to address.*

CHANGE OF NAME OF ASSIGNEE

1. Particulars of assignment

An assignment has been recorded in the Patent and Trademark Office for this invention on
AUGUST 14, 1987.

Reel 8780
Frame 0173

NOTE: When requesting correction or updating of a previously recorded assignment document, providing the reel and frame number of that document will greatly expedite the recording process. Helpful Hints published September 30, 1986, 1070 O.G. 47 to 59 at 53.

(if available, the PTO finds it useful if a copy of the assignment is also sent)

[x] A copy of the recorded assignment is attached.

2. Old name of assignee

The old name of the assignee shown on the above assignment is Gropep Pty. Ltd.

(type or print old name of Assignee)

3. New name of assignee

The new name of the assignee is Gropep Limited

(type or print new name of Assignee)

(Change of Name of Assignee—page 1 of 2) 16-11

4. Proof of change of name

Proof of change of name is established by the attached

☐ certificate of the Secretary of State of _____, showing the name change.
(type name of state)

☒ certificate of name change from the following authority:

Australian Securities and Investments Commission

(type or print name of authority)

(check, if applicable)

☐ Because the certificate is not in the English language, it is accompanied by a verified translation signed by the translator.

5. Change of patent maintenance fee address

(complete, if applicable)

☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.

6. Payment of fee

NOTE: See 37 CFR 1.21(h).

Please refer to the "ASSIGNMENT (DOCUMENT) COVER SHEET," to which this paper is attached.

Reg. No.: 30,086

Tel. No.: (212) 708-1890

Customer No.:



SIGNATURE OF PRACTITIONER

Clifford J. Mass

(type or print name of practitioner)

c/o Ladas and Parry

26 West 61st Street

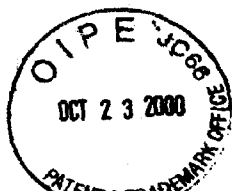
P.O. Address

New York, N.Y. 10023

(Change of Name of Assignee—page 2 of 2) 16-11



GroPep

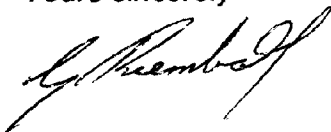


7th of September 2000

To Whom it May Concern

On this 7th day of September 2000 I, Grant Rumball, Company Secretary, hereby certify that I made the attached and initialed copy of the original Certificate of Registration on Change of Name and Conversion to a Public Company.

Yours sincerely



Grant Rumball
Company Secretary

GroPep Limited

28 Dalgleish Street

Thebarton SA 5031

PO Box 10065 BC

Adelaide SA 5000

Australia

Ph (61 8) 8354 7700

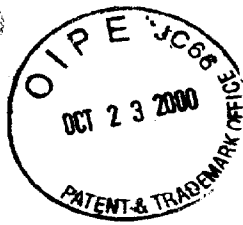
Fax (61 8) 8354 7785

E-Mail

sales@gropop.com.au

Website

www.gropop.com.au



Form **244**

BLAKE DAWSON WALDRON (LB 4)
ATTN: RONALD CROSSLEY
Level 39
101 Collins Street
MELBOURNE VIC 3000

Remove this top section if desired before framing

Certificate of Registration on Change of Name and Conversion to a Public Company

This is to certify that

GROPEP PROPRIETARY LIMITED

Australian Company Number 008 176 298

did on the twenty-eighth day of April 2000 change its name
and on the twenty-eighth day of April 2000 converted to a
public company.

The new name of the company is

GROPEP LIMITED

Australian Company Number 008 176 298

The company is limited by shares.

The company is taken to be registered as a company
under the Corporations Law of South Australia

Issued by the
Australian Securities and Investments Commission
on this twenty-eighth day of April, 2000.

Alan Cameron
Chairman



CERTIFICATE

gpa

RECORDED: 10/23/2000

PATENT
REEL: 011265 FRAME: 0216