

11-28-2000

HEET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office



101529463

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To the Honorable Commissioner of Patents and Trademarks, please return the attached original documents or copy thereof.

1. Name of conveying party(ies):

Srinivasan Chakrapani  
Steven M. Slovak  
Robert L. Saxe  
Barry Fanning

10-27-00

Additional names(s) of conveying party(ies)  Yes  No

2. Name and address of receiving party(ies):

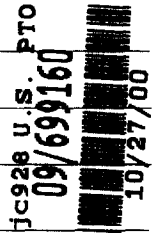
Name: RESEARCH FRONTIERS INCORPORATED

Internal Address: \_\_\_\_\_

Street Address: 240 Crossways Park Drive

City: Woodbury State: NY ZIP: 11797

Additional name(s) & address(es) attached?  Yes  No



3. Nature of conveyance:

- Assignment  Merger
- Security Agreement  Change of Name
- Other \_\_\_\_\_

Execution Date: October 26, 2000

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: October 26, 2000

A. Patent Application No.(s)

09/699160

B. Patent No.(s)

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Mark A. Farley

Internal Address: \_\_\_\_\_

1/28/2000 AAHMED1 00000032 501561 09699160  
01 FC:581 40.00 CH

Street Address: GREENBERG TRAUIG, LLP

885 Third Avenue

City: NewYork State: NY ZIP: 10022

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

- Enclosed - Any excess or insufficiency should be credited or debited to deposit account
- Authorized to be charged to deposit account

8. Deposit account number:

501561

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Mark A. Farley -- Reg. No. 33,170

Mark A. Farley 33,170

October 27, 2000

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document:

4

**ASSIGNMENT**

WHEREAS, WE, Srinivasan Chakrapani, Steven M. Slovak, Robert L. Saxe and Barry Fanning, ASSIGNORS, citizens of India and the United States, residing at 8 Retta Lane, Commack, NY 11725, 396 Briarwood Road, N. Massapequa, NY 11758, 19 East 80<sup>th</sup> Street, New York, NY 10021, and 72 Rose Avenue, Patchogue, NY 11772 respectively, are the inventors of the invention in

**SPD FILMS AND LIGHT VALVES COMPRISING SAME**

for which we have executed an application for a Patent of the United States

- which is executed on  even date herewith or  \_\_\_\_\_  
 which is identified by Greenberg Traurig LLP docket no. 43339.012100  
 which was filed on herewith, Application No.

and WHEREAS, Research Frontiers Incorporated, ASSIGNEE, having a place of business at 240 Crossways Park Drive, Woodbury, NY 11797, is desirous of obtaining our entire right, title and interest in, to and under the said invention and the said application:

NOW THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, our entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

AND WE HEREBY authorize and request the Director of the United States Patent and Trademark office, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, we hereunto set our hands and seals the day and year set opposite our respective signatures.

Srinivasan Chakrapani  
S. Chakrapani  
Srinivasan Chakrapani

Date: 10-26-2000

State of New York )  
                          ) SS.:  
Country of Nassau )

In the State of New York, county of Nassau, on October 26, 2000, before me, Juliette Madden, Notary Public, personally appeared Srinivasan Chakrapani, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the persons(s) acted, executed the instrument.

WITNESS my hand and official seal

**JULIETTE MADDEN**  
Notary Public, State of New York  
No. 4845917  
Qualified in Nassau County  
Certificate Filed in New York County  
Commission Expires 6/2/02  
Juliette Madden

\*\*\*\*\*

Date: 10/26/00

Steven M. Slovak  
Steven M. Slovak

State of New York )  
                          ) SS.:  
Country of Nassau )

In the State of New York, county of Nassau, on October 26, 2000, before me, Juliette Madden, Notary Public, personally appeared Steven M. Slovak, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the persons(s) acted, executed the instrument.

WITNESS my hand and official seal

**JULIETTE MADDEN**  
Notary Public, State of New York  
No. 4845917  
Qualified in Nassau County  
Certificate Filed in New York County  
Commission Expires 6/2/02  
Juliette Madden

Date: October 26, 2000

Robert L. Saxe  
Robert L. Saxe

State of New York )  
                                  ) SS.:  
County of Nassau )

In the State of New York, county of Nassau, on October 26, 2000, before me, Juliette madden, Notary Public, personally appeared Robert L. Saxe, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the persons(s) acted, executed the instrument.

WITNESS my hand and official seal

**JULIETTE MADDEN**  
Notary Public, State of New York  
No. 4845917  
Qualified in Nassau County  
Certificate Filed in New York County  
Commission Expires 10/2/02  
Juliette Madden  
\*\*\*\*\*

Date: 26 October 2000

Barry Fanning  
Barry Fanning

State of New York )  
                                  ) SS.:  
County of Nassau )

In the State of New York, county of Nassau, on October 26, 2000, before me, Juliette madden, Notary Public, personally appeared Barry Fanning, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the persons(s) acted, executed the instrument.

WITNESS my hand and official seal

**JULIETTE MADDEN**  
Notary Public, State of New York  
No. 4845917  
Qualified in Nassau County  
Certificate Filed in New York County  
Commission Expires 10/2/02  
Juliette Madden

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