

11-29-2000

Express Mail No.: EL046033535US



ET  
IBM Docket No.: BUR9-1999-0279-US1  
and original documents or copy thereof.

To the Honorable Commissioner of Pa

101530850

1. Name of conveying party(ies):  
**James W. Adkisson**  
**Arne W. Ballantine**  
**Ramachandra Divakaruni**  
**Jeffrey B. Johnson**  
**Erin C. Jones**  
**Hon-Sum P. Wong**

Additional name(s) of conveying party(ies) attached?  
 Yes  No

3. Nature of Conveyance:  
 Assignment  Merger  
 Security Agreement  Change of Name  
 Other \_\_\_\_\_

Execution Date: 10/11/00; 10/20/00; 10/04/00;  
10/09/00; 10/13/00; and 10/20/00.

2. Name and address of receiving party(ies):  
Name: **International Business Machines Corporation**  
Street Address: **New Orchard Road**  
City: **Armonk** State: **New York**  
Zip Code: **10504**

Additional Name(s) & Address(es) attached?  
 Yes  No

JCS25 U.S. PTO  
 09/695199  
 10/24/00

UNDO 10/24/00  
 09/695199

4. Application number(s) or patent number(s):

Title of Application: **MULTIPLE THRESHOLD VOLTAGE FET USING MULTIPLE WORK-FUNCTION GATE MATERIALS AND METHOD OF MAKING THE SAME**

If this document is being filed together with a new application, the execution date of the application is: 10/11; 10/20; 10/04; 10/09; 2000  
10/13; and 10/20 / 2000

A. Patent Application No.(s) E. Patent No.(s)

Additional numbers attached?  Yes  No

5. Name and address of party whom correspondence concerning document should be mailed:

Name: **DeLIO & PETERSON, LLC**  
Street Address: **121 Whitney Avenue**  
City: **New Haven** State: **CT**  
Zip Code: **06510-1241**  
Telephone Number: **203 787-0595**

6. Total number of applications and patents involved:.....[ **1** ]

7. Total Fee (37 CFR 3.41) **\$40.00**  
 Enclosed  
 Authorized to be charged to Deposit Account number below  
 Any deficiencies in the enclosed fees

8. Assignee IBM Corporation Deposit Account Number:  
**09-0456**

11/28/2000 AAHMED1 00000104 090456 09:35199  
01 FE:581 40.00 CH

DO NOT USE THIS SPACE

9. Statement and Signature.  
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

**Eugene I. Shkurko** \_\_\_\_\_  
Name of Person Signing Signature Date 10/24/00

Registration No.: **36,678** Phone No.: **(802)769-8837**

Total number of pages including cover sheet, attachments, and document: **[ 3 ]**

ibmb100301000asgn2

PATENT  
REEL: 011272 FRAME: 0172

# ASSIGNMENT

Whereas, we

Inventor and  
City

(1) **James W. Adkisson**  
County of **Chittenden**

City of **Jericho**  
and State of **Vermont**

and

(2) **Arne W. Ballantine**  
County of **Putnam** *Arne W. Ballantine*

City of **Cold Spring** *Arne W. Ballantine*  
and State of **New York**

and

(3) **Ramachandra Divakaruni**  
County of **Westchester**

City of **Somers**  
and State of **New York**

and

(4) **Jeffrey B. Johnson**  
County of **Chittenden**

City of **Essex Junction**  
and State of **Vermont**

and

(5) **Erin C. Jones**  
County of **Westchester**

City of **Tuckahoe**  
and State of **New York**

and

(6) **Hon-Sum P. Wong**  
County of **Westchester**

City of **Chappaqua**  
and State of **New York**

Title

have invented certain improvements in  
**MULTIPLE THRESHOLD VOLTAGE FET USING  
MULTIPLE WORK-FUNCTION GATE MATERIALS  
AND METHOD OF MAKING THE SAME**

Date That  
Inventors  
Signed the  
Declaration

and have executed, respectively, a United States patent application therefor on  
(1) October 11, 2000, and (2) October 26, 2000,  
and (3) \_\_\_\_\_, 20\_\_\_\_, and (4) October 9, 2000,  
and (5) \_\_\_\_\_, 20\_\_\_\_, and (6) \_\_\_\_\_, 20\_\_\_\_.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

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(1) \_\_\_\_\_, 20\_\_\_\_, and (2) \_\_\_\_\_, 20\_\_\_\_,  
and (3) Oct. 4, 2000, and (4) \_\_\_\_\_, 20\_\_\_\_,  
and (5) \_\_\_\_\_, 20\_\_\_\_, and (6) \_\_\_\_\_, 20\_\_\_\_.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

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County of **Chittenden** City of **Jericho**  
and State of **Vermont**
- and (2) **Arne W. Ballantine**  
County of **Putnam** City of **Cold Spring**  
and State of **New York**
- and (3) **Ramachandra Divakaruni**  
County of **Westchester** City of **Somers**  
and State of **New York**
- and (4) **Jeffrey B. Johnson**  
County of **Chittenden** City of **Essex Junction**  
and State of **Vermont**
- and (5) **Erin C. Jones**  
County of **Westchester** City of **Tuckahoe**  
and State of **New York**
- and (6) **Hon-Sum P. Wong**  
County of **Westchester** City of **Chappaqua**  
and State of **New York**

Title have invented certain improvements in  
**MULTIPLE THRESHOLD VOLTAGE FET USING  
MULTIPLE WORK-FUNCTION GATE MATERIALS  
AND METHOD OF MAKING THE SAME**

Date That Inventors Signed the Declaration and have executed, respectively, a United States patent application therefor on  
(1) \_\_\_\_\_, 20 \_\_, and (2) \_\_\_\_\_, 20 \_\_,  
and (3) \_\_\_\_\_, 20 \_\_, and (4) October 9, 20 00,  
and (5) October 13, 20 00, and (6) \_\_\_\_\_, 20 \_\_.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

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- |                      |   |  |
|----------------------|---|--|
| Inventor and<br>City | (1) James W. Adkisson<br>County of Chittenden       | City of Jericho<br>and State of Vermont        |
| and                  | (2) Arne W. Ballantine<br>County of Putnam          | City of Cold Spring<br>and State of New York   |
| and                  | (3) Ramachandra Divakaruni<br>County of Westchester | City of Somers<br>and State of New York        |
| and                  | (4) Jeffrey B. Johnson<br>County of Chittenden      | City of Essex Junction<br>and State of Vermont |
| and                  | (5) Erin C. Jones<br>County of Westchester          | City of Tuckahoe<br>and State of New York      |
| and                  | (6) Hon-Sum P. Wong<br>County of Westchester        | City of Chappaqua<br>and State of New York     |

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Inventors  
Signed the  
Declaration and have executed, respectively, a United States patent application therefor on  
(1) October 11, 2000, and (2) \_\_\_\_\_, 20\_\_\_\_,  
and (3) \_\_\_\_\_, 20\_\_\_\_, and (4) October 9, 2000,  
and (5) \_\_\_\_\_, 20\_\_\_\_, and (6) October 20, 2000.

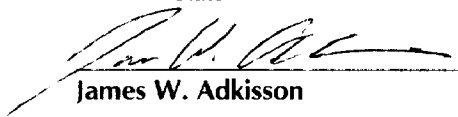
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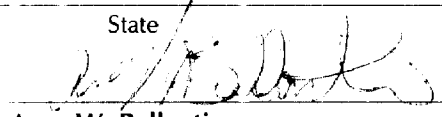
# ASSIGNMENT (continued)

**TITLE: MULTIPLE THRESHOLD VOLTAGE FET USING MULTIPLE WORK-FUNCTION GATE MATERIALS AND METHOD OF MAKING THE SAME**

City (1) Signed at Essex Junction VT  
City State

Date on October 11, 2000  signature  
**James W. Adkisson**

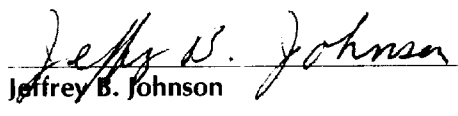
City (2) Signed at Bennington VT  
City State

Date on October 11, 2000  signature  
**Arne W. Ballantine**

City (3) Signed at \_\_\_\_\_  
City State

Date on \_\_\_\_\_, 20\_\_\_\_ signature  
**Ramachandra Divakaruni**

City (4) Signed at Essex Junction Vermont  
City State

Date on October 9, 2000  signature  
**Jeffrey B. Johnson**

City (5) Signed at \_\_\_\_\_  
City State

Date on \_\_\_\_\_, 20\_\_\_\_ signature  
**Erin C. Jones**

City (6) Signed at \_\_\_\_\_  
City State

Date on \_\_\_\_\_, 20\_\_\_\_ signature  
**Hon-Sum P. Wong**

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**James W. Adkisson**

City (2) Signed at \_\_\_\_\_  
City State

Date on \_\_\_\_\_, 20\_\_ signature  
**Arne W. Ballantine**

City (3) Signed at E. Fishkill New York  
City State

Date on Oct. 4, 2000 signature  
**Ramachandra Divakaruni**

City (4) Signed at \_\_\_\_\_  
City State

Date on \_\_\_\_\_, 20\_\_ signature  
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City (4) Signed at Essex Junction Vermont  
City State

Date on October 9, 2000 signature  
**Jeffrey B. Johnson**

City (5) Signed at Yonkstown Heights New York  
City State

Date on October 13, 2000 signature  
**Erin C. Jones**

City (6) Signed at \_\_\_\_\_  
City State

Date on \_\_\_\_\_, 20\_\_ signature  
**Hon-Sum P. Wong**

ibmb100301000asgn1



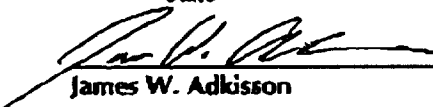
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**TITLE: MULTIPLE THRESHOLD VOLTAGE FET USING MULTIPLE WORK-FUNCTION GATE MATERIALS AND METHOD OF MAKING THE SAME**

City (1) Signed at Essex Junction VT  
City State

Date on October 11, 2000  signature  
**James W. Adkisson**


City (2) Signed at \_\_\_\_\_  
City State

Date on \_\_\_\_\_, 20\_\_\_\_ \_\_\_\_\_ signature  
**Arne W. Ballantine**

City (3) Signed at \_\_\_\_\_  
City State

Date on \_\_\_\_\_, 20\_\_\_\_ \_\_\_\_\_ signature  
**Ramachandra Divakaruni**


City (4) Signed at Essex Junction Vermont  
City State

Date on October 9, 2000  signature  
**Jeffrey B. Johnson**

City (5) Signed at \_\_\_\_\_  
City State

Date on \_\_\_\_\_, 20\_\_\_\_ \_\_\_\_\_ signature  
**Erin C. Jones**

City (6) Signed at Yorktown Heights NY  
City State

Date on Oct 20, 2000  signature  
**Hon-Sum P. Wong**

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