

11-30-2000

U.S. Department of Commerce
Patent and Trademark Office
Attorney Docket No. 06148.0150

101533590

To the Honorable Commissioner of Patents
Please record the attached original document

ATTN. BOX ASSIGNMENTS

1. Name of conveying parties:
Jaimie M. Henderson; Richard D. Bucholz; Kurt R. Smith; Kevin J. Frank; John B. Clayton; Catalina J. Carroll; and Phillip T. Ulberg2. Name and address of receiving party:
Name: Surgical Navigation Technologies, Inc.Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

Internal Address:

3. Nature of conveyance:

Street Address: 530 Compton Street

☒ Assignment ☐ Merger

City: Broomfield

☐ Security Agreement ☐ Change of Name

State: CO Zip Code: 80020

☐ Other:

Additional name(s) & Address(es) attached?

Execution Date: October 20, 2000; October 20, 2000; October 19, 2000; October 18, 2000; October 18, 2000; October 23, 2000; and October 18, 2000

☐ Yes ☒ No

4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application:

A. Patent Application Number(s):

B. Patent Number(s):

09/557,004

Additional numbers attached?

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: James K. Hammond, Esq.

6. Total number of applications and registrations involved:
5

7. Total fee (37 CFR 3.41): \$200

☒ Enclosed (Please charge deficiency to deposit account)☐ Authorized to be charged to deposit account

Internal Address: FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Street Address: 1300 I Street, N.W.

City: Washington, D.C.

State: Zip: 20005-3315

8. Deposit Account No.: 06-0916

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

E. Chris Cherry

Signature

November 3, 2000

Date

Total number of pages including cover sheet, attachments and documents: 16

ASSIGNMENT

WHEREAS We, the below named inventors, [hereinafter referred to as Assignors], have made an invention entitled:

INSTRUMENT GUIDANCE METHOD AND SYSTEM FOR IMAGE GUIDED SURGERY

for which We execute an application for United States Letters Patent concurrently herewith or filed an application for United States Letters Patent on April 20, 2000, (Serial No. 09/557,004); and

WHEREAS, Surgical Navigation Technologies, Inc., a corporation of Colorado whose post office address is 530 Compton Street, Broomfield, Colorado 80020 (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention in all countries throughout the world, and in and to the application for United States Letters Patent on this invention and the Letters Patent to be issued upon this application;

NOW THEREFORE, be it known that for and in consideration of the sum of One Dollar (\$1.00) in hand paid and other good and valuable consideration the receipt of which from Assignee is hereby acknowledged, We, as Assignors, have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the Assignee, its lawful successors and assigns, our entire right, title, and interest in and to this invention, provisional application Serial No. 60/130,118, filed April 20, 1999 (if any), and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof, and all rights to claim priority on the basis of the above provisional application (if any), as well as all rights to claim priority on the basis of this application, and all applications for Letters Patent which may hereafter be filed for this invention in any foreign country and all Letters Patent which may be granted on this invention in any foreign country, and all extensions, renewals, and reissues thereof; and We hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patent for this invention to Assignee, its successors and assigns, in accordance with the terms of this Assignment

AND, WE HEREBY covenant that We have the full right to convey the interest assigned by this Assignment, and We have not executed and will not execute any agreement in conflict with this Assignment;

AND, WE HEREBY further covenant and agree that We will, without further consideration, communicate with Assignee, its successors and assigns, any facts known to us respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said Assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by the Assignee, its successors and assigns.

IN TESTIMONY WHEREOF, We have hereunto set our hands.

County of St. Louis)
State of Missouri)

ss.

Jaimie Henderson, M.D.
#4 ~~Emfield~~ Road, St. Louis, MO 63132

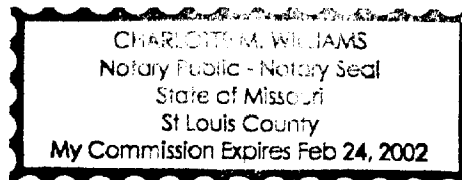
First Assignor's Signature

Date

10/20/00

Subscribed and sworn to before me this 20 day of October, 2000.

Charlotte M. Williams Notary Public



Date

* * * * *

Date _____

★ ★ ★ ★ ★ ★ ★ ★ ★ ★

Date _____

★ ★ ★ ★ ★ ★ ★ ★ ★ ★

* * * * *

County of _____)
)
State of _____)

_____, Notary Public

* * * * *

County of _____)
)
State of _____)

_____, Notary Public

County of St. Louis)
)
State of Missouri)

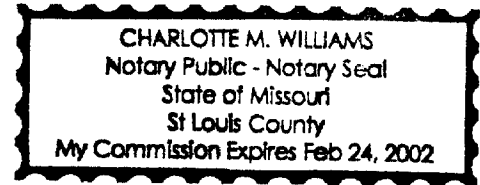
ss. Richard D. Bucholz
3 Kingsbury Place, St. Louis, MO 63112

Second Assignor's Signature

Date 10/19/00 ERROR cmw.

Subscribed and sworn to before me this 20 day of October, 2000.

Charlotte M. Williams Notary Public



County of _____)
)
State of _____)

ss. Kurt R. Smith
3400 Eldorado Spring Drive, Eldorado Springs, CO 80025

Third Assignor's Signature

Date

Subscribed and sworn to before me this _____ day of _____, 2000.

_____, Notary Public

County of _____)
)
State of _____)

ss. Kevin J. Frank
396 Driftwood Circle, Lafayette, CO 80026

Fourth Assignor's Signature

Date

Subscribed and sworn to before me this _____ day of _____, 2000.

_____, Notary Public

Date _____

_____, Notary Public

* * * * *

County of _____)
)
State of _____)

Date _____

_____, Notary Public

★ ★ ★ ★ ★ ★ ★ ★ ★ ★

County of _____)
)
State of _____)

Date _____

_____, Notary Public

County of _____)
)
State of _____)

ss. Richard D. Bucholz
3 Kingsbury Place, St. Louis, MO 63112

Second Assignor's Signature

Date

Subscribed and sworn to before me this _____ day of _____, 2000.

_____, Notary Public

County of Boulder)
)
State of Colorado)

ss. Kurt R. Smith
3400 Eldorado Spring Drive, Eldorado Springs, CO 80025

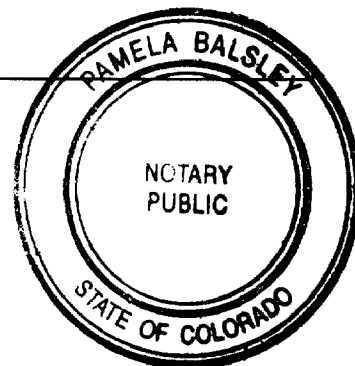
[Signature]
Third Assignor's Signature

10-19-00

Date

Subscribed and sworn to before me this 19th day of October, 2000.

Pamela Balsley Notary Public



My Commission Expires
August 10, 2002

County of _____)
)
State of _____)

ss. Kevin J. Frank
396 Driftwood Circle, Lafayette, CO 80026

Fourth Assignor's Signature

Date

Subscribed and sworn to before me this _____ day of _____, 2000.

_____, Notary Public

Date _____

Subscribed and sworn to before me this _____ day of _____, 2000.

_____, Notary Public

★ ★ ★ ★ ★ ★ ★ ★ ★ ★

County of _____)
)
State of _____)

Date _____

Subscribed and sworn to before me this _____ day of _____, 2000.

_____, Notary Public

* * * * *

County of _____)
)
State of _____)

Date _____

Subscribed and sworn to before me this ____ day of _____, 2000.

_____, Notary Public

Date _____

* * * * *

Date _____

* * * * *

Date _____

* * * * *

County of _____)
_____))
State of _____)

ss. John B. Clayton
720 E. Wiggins Street, Superior, CO 80027

Fifth Assignor's Signature

Date

Subscribed and sworn to before me this ____ day of _____, 2000.

_____, Notary Public

County of Shelby)
_____))
State of Tennessee)

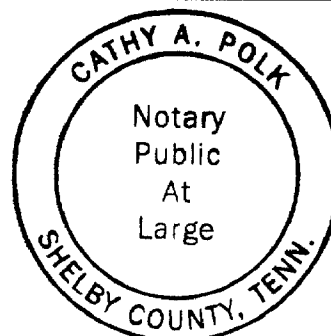
ss. Catalina J. Carroll
3780 Cardinal Memphis, TN 38111

Catalina J. Carroll
Sixth Assignor's Signature

10-23-00
Date

Subscribed and sworn to before me this 23 day of October, 2000.

Cathy A. Polk, Notary Public



County of _____)
_____))
State of _____)

ss. **My Commission Expires**
Phillip T. Ulber **September 8, 2003**
2205 Bronze Hill Road, Reno, NV 89506

Seventh Assignor's Signature

Date

Subscribed and sworn to before me this ____ day of _____, 2000.

_____, Notary Public

County of _____)
)
State of _____)

ss. Richard D. Bucholz
3 Kingsbury Place, St. Louis, MO 63112

Second Assignor's Signature

Date

Subscribed and sworn to before me this _____ day of _____, 2000.

_____, Notary Public

County of _____)
)
State of _____)

ss. Kurt R. Smith
3400 Eldorado Spring Drive, Eldorado Springs, CO 80025

Third Assignor's Signature

Date

Subscribed and sworn to before me this _____ day of _____, 2000.

_____, Notary Public

County of Boulder)
)
State of Colorado)

ss. Kevin J. Frank
396 Driftwood Circle, Lafayette, CO 80026

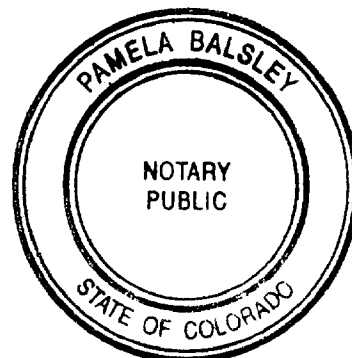
Kevin J Frank
Fourth Assignor's Signature

10-18-00

Date

Subscribed and sworn to before me this 18th day of October, 2000.

Pamela Balsley Notary Public



My Commission Expires
August 10, 2002

County of Boulder)
)
State of Colorado)

ss. John B. Clayton
720 E. Wiggins Street, Superior, CO 80027

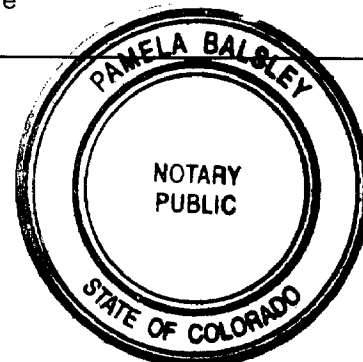
John B. Clayton
Fifth Assignor's Signature

10.18.00

Date

Subscribed and sworn to before me this 18th day of October, 2000.

Pamela Balsley Notary Public



County of _____)
)
State of _____)

ss. Catalina J. Carroll
3780 Cardinal, Memphis, TN 38111

My Commission Expires
August 10, 2002

Sixth Assignor's Signature

Date

Subscribed and sworn to before me this _____ day of _____, 2000.

_____, Notary Public

County of Boulder)
)
State of Colorado)

ss. Phillip T. Ulberg
2205 Bronze Hill Road, Reno, NV 89506

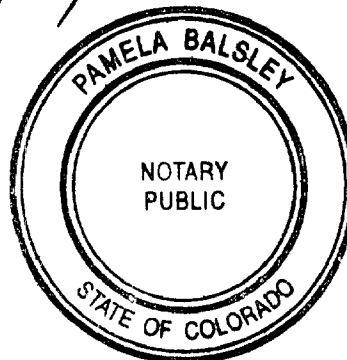
Phillip T. Ulberg
Seventh Assignor's Signature

10/18/00

Date

Subscribed and sworn to before me this 18th day of October, 2000.

Pamela Balsley, Notary Public



My Commission Expires
August 10, 2002