



11-24-00

12-05-2000



101537147

ASSIGNMENT RECORD  
-PATENTS C

To: Honorable Commissioner of Patents and Trademarks:

Please record the attached original document or copy thereof.

1. Name of conveying party
  - a) Ronald E. Pelrine
  - b) Roy D. Kornbluh
  - c) Seajin Oh
  - d) Jose P. Joseph
2. Name and address of receiving party
  - a) Name: SRI International  
Address: 333 Ravenswood Avenue, Menlo Park, CA 94025-3493

3. Nature of conveyance

<input checked="" type="checkbox"/>	Assignment	<input type="checkbox"/>	Merger
<input type="checkbox"/>	Security Agreement	<input type="checkbox"/>	Change of Name
<input type="checkbox"/>	Other	<input type="checkbox"/>	License Agreement

Execution Date: 11/01/00 (Pelrine); 11/13/00 (Kornbluh); 11/06/00 (Oh); and 11/07/00 (Joseph)

4. Application Number: 09/619,845

The title of the application is: **ELECTROACTIVE POLYMER FABRICATION**

5. Please send all correspondence concerning this (these) documents to:

**Customer Number: 022434**  
BEYER WEAVER & THOMAS, LLP  
P.O. Box 778  
Berkeley, CA 94704-0778  
Telephone (510) 843-6200  
Fax (510) 843-6203

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

- ☒ Enclosed  
☒ Any additional fees are authorized to be charged to Deposit Account No. 50-0388  
(Order No. **SRI1P025**)

8. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date: November 21, 2000

\_\_\_\_\_  
William J. Plut

Limited Recognition under 37 C.F.R. §10.9(b)

Attorney Docket No.: SRI1P025/US-4067-3

(Revised 11/96)

**PATENT**  
**REEL: 011286 FRAME: 0072**

# ASSIGNMENT OF PATENT APPLICATION

(Not Accompanying Application)

Whereas I/we the undersigned inventor(s) have invented certain new and useful improvements as set forth in the patent application entitled:

## ELECTROACTIVE POLYMER FABRICATION

for which I (we) have executed an application for a United States Letters Patent which was filed in the U.S. Patent and Trademark Office on July 20, 2000, and which bears the Application No. 09,619,845.

For good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I/We the undersigned inventor(s) hereby:

- 1) Sell(s), assign(s) and transfer(s) to SRI International, a California corporation having a place of business at 333 Ravenswood Avenue, Menlo Park, CA 94025, (hereinafter referred to as "ASSIGNEE"), the entire right title and interest in any and all improvements and inventions disclosed in, application(s) based upon, and Patent(s) (including foreign patents) granted upon the information which is disclosed in the above referenced application.
- 2) Authorize and request the Commissioner of Patents to issue any and all Letters Patents resulting from said application or any division(s), continuation(s), substitutes(s) or reissue(s) thereof to the ASSIGNEE.
- 3) Agree to execute all papers and documents and, entirely at the ASSIGNEE's expense, perform any acts which are reasonably necessary in connection with the prosecution of said application, as well as any derivative and applications thereof, foreign applications based thereon, and/or the enforcement of patents resulting from such applications.
- 4) Agree that the terms, covenants and conditions of this assignment shall inure to the benefit of the Assignee, its successors, assigns and other legal representative, and shall be binding upon the inventor(s), as well as the inventor's heirs, legal representatives and assigns.
- 5) Warrant and represent that I/we have not entered, and will not enter into any assignment, contract, or understanding that conflicts with this assignment.

Signed on the date(s) indicated beside my (our) signature(s).

1. Inventor Signature: Ronald E. Pelrine Date: 11/01/00  
Ronald E. Pelrine

State of Colorado )  
~~California~~ )  
County of Boulder ) ss

On this 1 day of November, in the year 2000, before me, A. J. Hunter, Notary Public, personally appeared Ronald E. Pelrine, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity(ies), and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Notary Signature [Signature] (SEAL)

My Commission Expires 08-14-04  
4770 Baseline Rd., Ste 100  
Boulder, CO 80303

2. Inventor Signature: Roy D. Kornbluh  
Roy D. Kornbluh

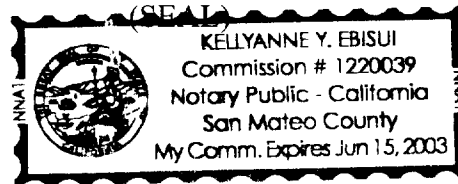
Date: 13 Nov 00

State of California )  
County of San Mateo ) ss.

On this 13<sup>th</sup> day of November, in the year 2000, before me, Kellyanne Y. Ebisui, Notary Public, personally appeared Roy D. Kornbluh, ~~personally known to me (or~~ proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in (his/her) authorized capacity(ies), and that by (his/her) signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Notary Signature Kellyanne Y. Ebisui



3. Inventor Signature: Seajin Oh  
Seajin Oh

Date: Nov. 6, 2000

State of California )  
County of San Mateo ) ss.

On this 6<sup>th</sup> day of November, 2000, in the year 2000, before me, Kellyanne Y. Ebisui, Notary Public, personally appeared Seajin Oh, ~~personally known to me (or~~ proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in (his/her) authorized capacity(ies), and that by (his/her) signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Notary Signature Kellyanne Y. Ebisui



4. Inventor Signature: Jose P. Joseph  
Jose P. Joseph

Date: 11/07/00

State of California )  
County of San Mateo ) ss.

On this 7<sup>th</sup> day of November, in the year 2000, before me, Kellyanne Y. Ebisui, Notary Public, personally appeared Jose P. Joseph, ~~personally known to me (or~~ proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in (his/her) authorized capacity(ies), and that by (his/her) signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Notary Signature Kellyanne Y. Ebisui



Attorney Docket No.: SRI1P025/US-4067-3