

12-05-2000

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In place of Form

Docket No. AUS9-2000-0530-US1



OVER SHEET

101537030

11/3/00

10931 U.S. PRO
09/704599
11/03/00

To the Assistant Commissioner for Patents: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Newton James Smith, Jr.
Herman Rodriquez
Clifford Jay Spinac

2. Name and address of receiving party(ies):

International Business
Machines Corporation
New Orchard Road
Armonk, New York 10504

3. Nature of conveyance:

Assignment

91704599

Execution Date: 10/26/00

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is 10/26/00

A. Patent Application No.(s)

B. Patent No.(s)

5. Name and address of party to whom correspondence concerning document should be mailed:

David A. Mims, Jr.
IBM Corporation
Intellectual Property Law
Internal Zip 4054
11400 Burnet Road
Austin, TX 78758
(512) 823-0950

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

Authorized to be charged to deposit account

8. Deposit account number:

09-0447

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9. Statement and signature.

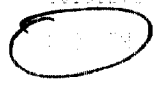
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David A. Mims, Jr.
Name of Person Signing

David Mims, Jr.
Signature

10/27/00
Date

Total number of pages including cover sheet: 3



ASSIGNMENT

Whereas, I,

(1) Newton James Smith, Jr.
County of Travis

of Austin
and State of Texas

(2) Herman Rodriguez
County of Travis

of Austin
and State of Texas

(3) Clifford Jay Spinac
County of Travis

of Austin
and State of Texas

have invented certain improvements in

“Automatic Receiver Control Upon Initialization”

and executed a United States patent application therefore on (1) 10/26, 2000,
(2) Oct 26, 2000, and (3) 10/26, 2000.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefore;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I/we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and I hereby agree that IBM may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

(1) Signed at Austin on 10/26, 2000.
Newton James Smith, Jr.
Newton James Smith, Jr.

(2) Signed at Austin on Oct 26, 2000.
Herman Rodriguez
Herman Rodriguez

3) Signed at Austin on 10/26, 2000.

Clifford Jay Spinac
Clifford Jay Spinac