

12-05-2000

Attorney Docket No.CUMP.75681

FORM PTO-1595

U.S. DEPT. OF COMMERCE  
PATENT AND TRADEMARK OFFICE

101539993

To The Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): Jeffrey O. Phillips and  
Roger E. Huckfeldt

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Curators of the University of Missouri

Internal Address:

Street Address: 316 University Hall

City: Columbia

State: Missouri

Zip: 65211

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

☒ Assignment ☐ Merger☐ Security Agreement ☐ Change of Name☐ Other: \_\_\_\_\_

Execution Date: September 27, 2000

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_

A. Patent Application No.(s): 09/600,012

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No5. Name and address of party to whom correspondence  
concerning document should be mailed:

Name: Susan J. Wharton

Internal Address: Shook, Hardy & Bacon L.L.P.  
One Kansas City Place

Street Address: 1200 Main Street

City: Kansas City

State: Missouri

Zip: 64105-2118

6. Total number of applications and patents involved: ..... 1

7. Total fee (37 CFR 3.41) ..... \$40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit Account No.: 19-2112

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Susan J. Wharton

11/10/00

Name of person signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 5

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents and Trademarks, Box Assignments  
Washington, D.C. 20231

## ASSIGNMENT

WHEREAS, we, Jeffrey O. Phillips, of 1250 East Nashville Church Road, Ashland, in the County of Boone, and State of Missouri, and Roger E. Huckfeldt of 6117 South Bluff Ridge Road, in the County of Christian, and State of Missouri, have invented certain new and useful improvements in METHOD AND APPARATUS FOR MONITORING CEREBRAL PHYSIOLOGY for which we have made application for Letters Patent of the United States, Serial No. 09/600,012, filed July 7, 2000; and

WHEREAS, Curators of the University of Missouri, a public corporation body politic duly organized under the laws of the State of Missouri, and having its principal place of business at 316 University Hall, Columbia, County of Boone, and State of Missouri, is desirous of acquiring an interest in, to and under said invention, said application and any and all Letters Patent which may be granted for or upon said invention in the United States of America and all countries foreign thereto;

NOW, THEREFORE, to all whom it may concern, be it known that for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, we, Jeffrey O. Phillips, and Roger E. Huckfeldt, have sold, assigned and transferred, and by these presents do sell, assign and transfer unto said Curators of the University of Missouri the full and exclusive right, title and interest, throughout the world, in, to and under said invention as fully set forth and described in the above-identified application; in, to and under said application; in, to and under any and all refilings, divisions and continuations of said application; in, to and under any and all Letters Patent of the United States of America which may issue from said application, refilings, divisions and continuations; in, to and under any and all reissues of said Letters Patent of the United States of America; in, to and under any and all applications for Letters Patent upon said invention which may



EXECUTED this 27 day of September, 2000.

STATE OF MISSOURI :

: ss.

COUNTY OF CHRISTIAN :

  
\_\_\_\_\_  
Roger E. Huckfeldt

Before me personally appeared Roger E. Huckfeldt, to me known to be the person described in, and who executed the foregoing instrument, and he duly acknowledged to me that he executed the same for the uses and purposes therein set forth, on the day and year aforesaid.

S E A L

  
\_\_\_\_\_  
Notary Public

My Commission Expires:

Sept. 6, 2003

