

Docket No.: BE-5477



RECORDATION FORM COV
PATENTS ONI

11-09-00
12-05-2000



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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

1. Name of Conveying Parties:

1. Stuart Long, Executed on 11/3/00
2. Alphonse Martinet, Executed on 11/1/00

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and Address of Receiving Party(ies):

Edwards Lifesciences Corporation
One Edwards Way
Irvine, CA 92614

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

4. Applicant Number(s) or Patent Number(s):

If this document is being filed together with a new application, the execution date of the application is _____

A. Patent Application No.(s)

09/390,381 Filed 09/03/99

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and Address of Party To Whom Correspondence Concerning Document Should Be Mailed:

John C. James
EDWARDS LIFESCIENCES LLC
Law Department
One Edwards Way
Irvine, California 92614

6. Total Number of Applications and Patents Involved: 1

7. Total Fee (37CFR 3.41).....\$40.00

☐ Enclosed

☒ Authorized To Be Charged To Deposit Account

8. Deposit Account Number: 501225(BE-5477)

12/04/2000 AAHME1 00000065 501225 09390381

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9. Statement and Signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John C. James, and Reg. No. 40,660

Name of Person Signing

John C. James

Signature

Nov. 7, 2000

Date

Total Number of Pages Including Coversheet, Attachments and Document: 2

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PATENT
REEL: 011291 FRAME: 0730

ASSIGNMENT
(Joint Inventors)

In consideration of one dollar (\$1.00) and other good and valuable considerations, the receipt and sufficiency whereof are hereby acknowledged, we hereby assign to EDWARDS LIFESCIENCES CORPORATION (hereinafter referred to as "assignee"), a corporation of Delaware, having a principal place of business at One Edwards Way, Irvine, California, its successors, legal representatives and assigns, the entire right, title and interest throughout the world in our invention or improvements in a Support Devices for Surgical Systems and in the application for Letters Patent of the United States therefor, Application No. 09/390,381, filed September 3, 1999, executed by each of us individually on the date(s) indicated below and any and all other United States applications and applications in any and all countries which we may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States or of any other country which may be obtained on any of said applications, and in any reissue, reexam or extension thereof.

We hereby authorize and request the Commissioner of Patents to issue said Letters Patent to said EDWARDS LIFESCIENCES CORPORATION

We hereby authorize and request the attorneys of record in said application to insert in this Assignment the date and serial number of said application when officially known.

We warrant ourselves to be the owners of the interest herein assigned and to have the right to make this assignment; and further warrant that there are no outstanding prior assignments, licenses, or other rights in the interest herein assigned.

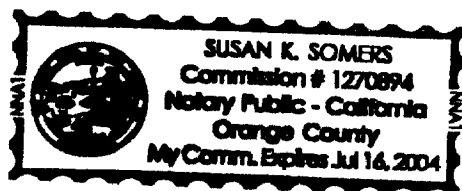
For said consideration we hereby agree, upon the request and at the expense of said assignee, its successors, legal representatives and assigns, to execute any and all divisional, continuation, and C-I-P applications for said invention or improvements, and any necessary oath or supplemental oath or affidavit relating thereto, and any application for the reissue, reexam or extension of any Letters Patent that may be granted upon said application that said assignee, its successors, legal representatives and assigns may deem necessary or expedient. For said consideration we further agree, upon the request of said assignee, its successors, legal representatives and assigns, in the event of said application or any division, continuation, or C-I-P thereof, or Letters Patent issued thereon, or any reexam, reissue or application for the reissue thereof becoming involved in interference, to cooperate to the best of our ability with said assignee, its successors, legal representatives and assigns in the matters of preparing and executing the preliminary statement and giving and producing evidence in support thereof. We further agree to perform, upon such request, any and all affirmative acts to obtain Letters Patent, and vest all rights therein hereby conveyed in said assignee, its successors, legal representatives and assigns whereby said Letters Patent will be held and enjoyed by said assignee, its successors, legal representatives and assigns to the end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made, and for said considerations we hereby also assign to said assignee, its successors, legal representatives and assigns the entire rights, title and interest in said invention or improvements for any and all foreign countries and the right of priority for patent and utility model applications in all countries arising under any applicable international convention for the protection of industrial property and/or any internal priority legislation of such countries, and we further agree upon the request of said assignee, its successors, legal representatives and assigns to execute any and all documents that shall be required to be executed in connection with any and all applications for foreign Letters Patent therefor, including the prosecution thereof, and to execute any and all documents necessary to invest title in said foreign applications and patents in said assignee.

Date 11-3-00 Signature Stuart Long
Typed Name Stuart G. Long
State of California, County of Orange

On Nov. 3, 2000 before me, Susan K. Somers Notary Public, personally appeared Stuart Long, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Susan K. Somers (Seal)
Signature of Notary



Date 11-1-00 Signature Alphonse Martinet
Typed Name Alphonse Martinet
State of California, County of Orange

On Nov. 1, 2000 before me, Susan K. Somers Notary Public, personally appeared Alphonse Martinet, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Susan K. Somers (Seal)
Signature of Notary

