

Form PTO-1595 (Modified)

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark OfficeRECORDATION FORM COVER SHEET
PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Novartis AG

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Date: Nov. 6, 2000

2. Name and address of receiving party(ies):

Name: Bayer Corporation

Internal Address:

Street Address: 333 Coney St.

City: East Walpole State: MA ZIP: 02052

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application numbers(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

010,161 filed on Jan. 21, 1998

B. Patent No.(s)

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Dianne E. Reed
Street Address: REED & ASSOCIATES
3282 Alpine Road
Portola Valley, California 94028
(650) 851-8501 Telephone
(650) 851-8539 Facsimile6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit Account No. 18-0580

The Commissioner is authorized to charge any underpayment or credit any overpayment to Deposit Account No. 18-0580 with regards to this correspondence.

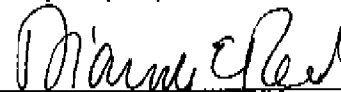
DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Dianne E. Reed, Reg. No. 31,292

Name of Person Signing



Signature

2/6/01

Date

Total number of pages including cover sheet 4

Practitioner's Docket No. CCD-239**PATENT**

For: ☒ U.S. and/or ☒ Foreign Rights
For: ☒ U.S. Application or
☐ U.S. Provisional Application
For: ☐ U.S. Patent
For: ☐ PCT Application
By: ☐ Inventor(s) or ☒ Present Owner

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNOR:

Inventor(s) or person(s) or
entity(ies) who own the
invention

Novartis AG*(type or print name(s) of ASSIGNOR(S))*Klybeckstrasse 141

Address

Basel, Switzerland CH-4002Swiss

Nationality

*(if assignment is by person or entity to whom invention was previously assigned
and this was recorded in PTO, add the following)*

Recorded on Aug. 31, 1998Reel 9440Frame 0600

hereby sells, assigns and transfers to

ASSIGNEE:Bayer Corporation*(type or print name(s) of ASSIGNEE(S))*333 Coney Street

Address

East Walpole, MA 02052 USAUSA

Nationality

and the successors, assigns and legal representatives of the ASSIGNEE

(Assignment of Invention [16-3]—page 1 of 3)

PATENT
REEL: 011294 FRAME: 0709

(complete one of the following)

☒ the entire right, title and interest

☐ an undivided _____ percent (_____%) interest
for the United States and its territorial possessions

(check the following box, if foreign rights are also to be assigned)

☒ and in all foreign countries, including all rights to claim priority,
in and to any and all improvements which are disclosed in the invention entitled:
OXYGEN SENSING MEMBRANES AND METHODS OF MAKING SAME

Name of inventor(s) Joseph Berger, Thomas C. Collins, Rudolf E. Slovacek

(check and complete (a), (b), (c), (d), (e), (f), or (g))

and which is found in (37 C.F.R. § 3.21)

(a) ☐ U.S. patent application executed on even date herewith

(b) ☐ U.S. patent application executed on _____

(c) ☐ U.S. provisional application naming the above inventor(s) for the above-entitled invention.

☐ Express mail label no.: _____

Mailed: _____

☐ To comply with 37 C.F.R. § 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known.

(d) ☒ U.S. application no. 09 / 010,161
filed on Jan. 21, 1998

(e) ☐ International application no. PCT/ _____ / _____

(f) ☐ U.S. patent no. _____ issued _____

☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.

(also check (g), if foreign application(s) is also being assigned)

(g) ☒ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, We have hereunto set hand and seal this 6th day of November 2000
Date of signing

WARNING: The date of signing must be the same as the date of execution of the application, if item (a) was checked above.

Date:

Date:

Date:

Date:

Signature of ASSIGNOR(S)

T. Holman, R. Ross
6-Nov-2000
6-Nov-2000

(if ASSIGNOR is a legal entity, complete the following information)

T. HOLMAN, R. ROSS

(type or print the name of the above person
authorized to sign on behalf of ASSIGNOR)

Title

Authorized Signatories

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized, then it will only be prima facie evidence of execution, 35 U.S.C. § 261. Use next page if notarization is desired.

- ☐ Notarization or Legalization Page Added.

(Assignment of Invention [16-3]—page 3 of 3)