

12-08-2000

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11-14-00

101545359

To: The Commissioner of Patents and Trademarks,

Please record the attached original document(s) or copy(ies):

1. Submission Type:

☒ new☐ Correction of PTO error (Reel /frame)☐ Corrective Document (Reel /frame)

2. Conveyance Type:

☒ Assignment☐ License☐ Merger☐ Security Agreement☐ Change of Name☐ Other: _____

3.

CONVEYING PARTIES	
Names of Conveying Parties	Date of Conveyance
1. J. Oliver Dolly	11/6/2000
2. Yan Li	11/6/2000
3. Kuo Chion Chan	11/6/2000

☐ Additional Conveying Parties Attached

4.

RECEIVING PARTIES	
Names of Receiving Parties	
Name Allergan Sales, Inc.	
Address 1 2525 Dupont Drive	
Address 2 Irvine, CA 92612	

☐ Additional Receiving Parties Attached☐ If document is an assignment and the Receiving Party is not domiciled in the United States, an appointment of a Domestic Representative is attached.

6.

DOMESTIC REPRESENTATIVE NAME AND ADDRESS
Name
Address 1
Address 2

8.

CORRESPONDENCE NAME AND ADDRESS
Name Carlos A. Fisher (T2-7H)
Address 1 Allergan, Inc.
Address 2 2525 Dupont Drive, Irvine, CA 92612
Telephone 714-246-4920 and Fax 714-246-4249

9. Total Number of pages of the conveying document, including attachments: 5 pages

10.

APPLICATION NUMBER OR PATENT NUMBER (either; not both for same property)	
Application Number 09/648,692 Filed 8/25/2000	Patent Number
Application Number	Patent Number

11. If this document is being filed with a NEW patent application, enter the Docket No., Title of the Invention, and date of execution of the Assignment by the first inventor:

Title of Patent Application: _____
Docket No.: _____
Date of Execution by First Inventor: _____

12. Total Number of Properties Involved: 113. The fee amount (37 CFR §3.41) of \$ 40.00

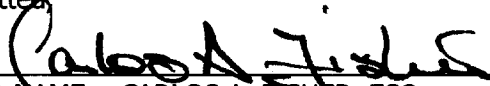
☒ may be debited from our Deposit Account No. 01-0885.
☐ is enclosed as check no. _____.

14. ☒ The Commissioner is authorized to deduct any additional fee amounts due in connection with the filing of this document from Deposit Account No. 01-0885.

To the best of my information and belief, all statements made herein are true, and any attached copy is a true copy of the original document.

Respectfully submitted,

SIGNATURE

Date: 11/10/00

TYPED or PRINTED NAME: CARLOS A. FISHER, ESQ.

REGISTRATION NO. 36,510

CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE WITH SUFFICIENT POSTAGE AS FIRST-CLASS MAIL IN AN ENVELOPE ADDRESSED TO: BOX ASSIGNMENT, COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231 ON 11/10/00 (Date)

Name of person making deposit: Bonnie FergusonSignature: Bonnie Ferguson Date: 11/10/00

ASSIGNMENT

WHEREAS we, J. Oliver Dolly of Cheam Surrey, United Kingdom, Yan Li of Greenford, Middlesex, United Kingdom and Kuo Chion Chan of London, England, United Kingdom (hereinafter referred to as ASSIGNOR), have invented and own a certain invention entitled: ACTIVATABLE RECOMBINANT NEUROTOXINS for which application for Letters Patent of the United States was filed on August 25, 2000 under application number 09/648,692.

WHEREAS: Allergan Sales, Inc., having its principal place of business at 2525 Dupont Drive, Irvine, CA 92612 (hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained therefor in the United States and in any and all foreign countries.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that in consideration of the payment by ASSIGNEE TO ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the full and exclusive right, title and interest to said invention in the United States and its territorial possessions and in all foreign countries to all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any continuation, divisional, renewal, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalent thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

London, 6th Nov 2000, 2000.

CITY OF LONDON

Cheam, Surrey

United Kingdom

J. Oliver Dolly
J. Oliver Dolly

) ss:
)

On this 6th day of November 2000 before me, ELEANOR FRANCES ALISON FOGAN personally appeared JAMES OLIVER DOLLY personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person, or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Notary Public

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

London, 6th Nov, 2000.

CITY OF LONDON

Greenford, Middlesex

United Kingdom

Yan Li
Yan Li

) ss:
)

On this 6th day of November 2000 before me, ELEANOR FRANCES ALISON FOGAN personally appeared YAN LI personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person, or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Notary Public

E. F. FOGAN

-2- Notary Public of London

(My Commission expires at death)

PATENT

REEL: 011303 FRAME: 0456

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

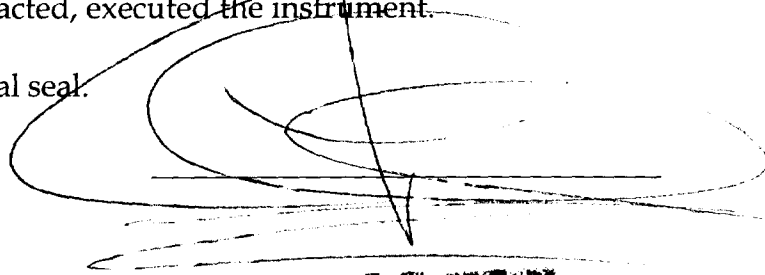
London 6th November, 2000.

Kuo Chion Chan
Kuo Chion Chan

London, England)
United Kingdom) ss:
)

On this 6th day of November 2000 before me, ELEANOR FRANCES ALISON FOGAN
personally appeared KUO CHION CHAN
personally known to me (or proved to me on the basis of satisfactory evidence) to be the
person(s) whose name(s) is/are subscribed to the within instrument and acknowledged
to me that he/she/they executed the same in his/her/their authorized capacity(ies), and
that by his/her/their signature(s) on the instrument the person, or the entity upon
behalf of which the person(s) acted, executed the instrument.

IN WITNESS my hand and official seal.



E. F. AL FOGAN

Notary Public of London, England

(My Commission expires at death)