

12-08-2000

Docket No. 59739-A/JPW/GJG



FORM PTO-1595 (Substitute)

(Rev. 8-96)

101545036

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please return the attached original documents or copy thereof.

1. Name of conveying party(ies):

Saul J. Silverstein,
Erik K. Lium and
Jennifer A. Schwartz

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party(ies):

The Trustees of Columbia University
Name: in the City of New York

Internal Address:

Street Address: West 116th Street and Broadway

City/State/Zip: New York, New York 10027

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date(s): July 31, August 10, and
July 31, 2000

4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date(s) of the application is(are):

A. Patent Application No.(s) U.S. Serial No.:
09/612,332, filed: July 6, 2000

B. Patent No.(s) _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John P. White

Internal Address: COOPER & DUNHAM LLP

Street Address: 1185 Avenue of the Americas

City/State/Zip: New York, New York 10036

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR §3.41): \$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

03-3125

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9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John P. White

Name of Person Signing

Signature Reg. No. 28,678

November 16, 2000

Date

I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231

John P. White
Reg. No. 28,678

Date

Total Number of pages including cover sheet, attachments and document: 4

OMB No. 0651-0011 (exp. 4/94)

Mail documents to be recorded with required cover sheet information to

Commissioner of Patents and Trademarks

Box Assignments

Washington, D.C. 20231

PATENT
REEL: 011303 FRAME: 0719

Assignment

In consideration of One Dollar (\$1.00), and other good and valuable considerations, the receipt of which is hereby acknowledged, we, the undersigned, Saul J. Silverstein residing at 69 Havemeyer Rd., Irvington NY 10533 Erik K. Lium residing at 1340 Palos Verdes Dr., #1, San Mateo CA 94404 and Jennifer A. Schwartz residing at 80 Haven Ave. Apt. 1D, New York, NY 10032

Hereby sell, assign and transfer to The Trustees of Columbia University in the City of New York a corporation of the State of New York having a place of business at West 116th Street and Broadway, New York, NY 10027 in the County of New York and State of New York, U.S.A., its successors, assigns and legal representatives, the entire right, title and interest for all countries, in and to any and all inventions which are disclosed and claimed, and any and all inventions which are disclosed but not claimed, in the application for United States Patent, which has been executed by the undersigned on July 31, 2000 and is entitled August 10, 2000 and July 31, 2000.

COBALT CHELATES FOR TREATING OR PREVENTING VIRUS INFECTION

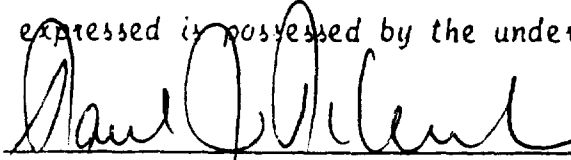
(U.S. Serial No. 09/612,332, filed July 6, 2000, claiming benefit of U.S. Provisional Application No. 60/144,346, filed July 16, 1999)

and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for U.S. Letters Patent or other related property rights in any and all foreign countries which have been or shall be filed on any of said inventions disclosed in said application; and in and to all original and reissued patents or related foreign documents which have been or shall be issued on said inventions;

Authorize and request the Commissioner of Patents of the United States to issue to said Assignee, the corporation above named, its successors, assigns and legal representatives, in accordance with this assignment, any and all United States Letters Patent on said inventions or any of them disclosed in said application;

Agree that said Assignee may apply for and receive foreign Letters Patent or rights of any other kind for said inventions, or any of them; and may claim, in applications for said foreign Letters Patent or other rights, the priority of the aforesaid United States patent application under the provisions of the International Convention of 1883 and later modifications thereof, under the Patent Cooperation Treaty, under the European Patent Convention or under any other available international agreement; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned or the undersigned's executors or administrators will, for the United States and all foreign countries, execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications or other documents on any and all said inventions; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns and representatives, all facts known and documents available to the undersigned relating to said inventions and the history thereof; testify in all legal proceedings; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said inventions and for vesting title to said inventions and all applications for patents or related foreign rights and all patents on said inventions, in said Assignee, its successors, assigns and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

 [U.S.]
Saul J. Silverstein

Date: 07-31-00 20

Witness: Aileen Smalls

Aileen SMALLS

596 Edgercombe Ave

Nyc Ny 10032

witness' signature
witness' printed name
witness' address

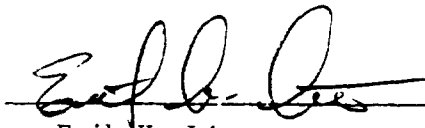
Date: 08-10-00 20

Witness: Douglas G. Cave

Douglas G. Cave

547 Trinidad Ln

Foster City, CA 94404

 [U.S.]
Erik K. Lium

witness' signature
witness' printed name
witness' address

Date: 7/31/00 20

Witness: Aileen Smalls
Aileen Smalls
516 Edgewood Ave
NYC NY 10032

Jennifer A. Schwartz L.S.
Jennifer A. Schwartz

witness' signature
witness' printed name
witness' address