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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

OMB No 0651-0011(exp 4/94)

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36813 U.S. PTO
09/718691
11/22/00

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Paul Chisaki and Michael Raneri

11.22.00

2. Name and address of receiving party(ies):

**Charles Schwab & Co., Inc.
101 Montgomery Street
San Francisco, CA 94104**

Additional name(s) of conveying party(ies) attached?

3. Nature of conveyance: **Assignment**

Execution Date:

Paul Chisaki and Michael Raneri: November 10, 2000

09/718691

Additional name(s) and address(es) attached? Yes No

4. Application number(s) or patent number(s)

If this document is being filed together with a new application, the execution date of the application is: **11/22/2000**

A. Patent Application No. (s)

B. Patent No.(s)

Additional numbers attached

5. Name and address of party to whom correspondence concerning document should be mailed:

**Charles E. Gotlieb
Innovation Partners
540 University Avenue
Suite 300
Palo Alto CA 94301
US**

6. Total number of applications and patents involved

7. Total fee (37 CFR 3.41).....\$40

- Enclosed
- Authorize to charge deposit account for any deficiencies
- Authorized to be charged to deposit account

8. Deposit account number:

07-1738

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Charles E. Gotlieb

11/22/00

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document:

Docket 1155

**PATENT
REEL: 011304 FRAME: 0041**

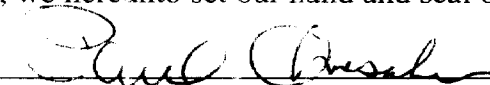
ASSIGNMENT

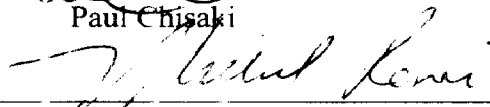
For good and valuable consideration, receipt of which is hereby acknowledged, we, Paul Chisaki and Michael Raneri do hereby sell, assign and transfer unto Charles Schwab & Co., Inc., a Delaware corporation having its principal place of business at 101 Montgomery Street, San Francisco, CA 94104 (the "Assignee"), and its successors and assigns, the entire title, interest and right, including the right of priority, in, to and under an application for Letters Patent of the United States, attorney docket number 1155, entitled, "SYSTEM AND METHOD FOR ENHANCED EFFECTIVENESS OF NON-REQUESTED INFORMATION IN MESSAGES" filed by us with the United States Patent and Trademark Office on 11/22/2000, and the inventions and any of them therein set forth and described, and any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefor or corresponding thereto;

And for the above consideration, upon request of the Assignee, its successors or assigns, we will promptly communicate any facts known to us respecting said application and the invention set forth therein, and will promptly execute and deliver without further compensation any power of attorney, assignment, application, whether original, continuation, divisional or reissue, or other papers which may be necessary or desirable fully to secure to the Assignee, its heirs, successors or assigns, the inventions and any of them described in said application and all patent rights therein, in the United States and in any country foreign thereto, and will promptly cooperate and assist in the prosecution of interference proceedings involving said inventions and in the adjudication and re-examination of said Letters Patent, provided the expenses which may be incurred by us in lending such cooperation and assistance be paid by the Assignee, its successors or assigns.

This Assignment may be executed in one or more counterparts, each of which will be deemed an original and all of which taken together shall constitute one assignment.

IN WITNESS WHEREOF, we hereunto set our hand and seal on the date set forth below.

Dated: 11/10/00 
Paul Chisaki

Dated: 11/10/00 
Michael Raneri