12-08-2000

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	To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.			
	Name of conveying party(ies):	Name and address of receiving party(ies):		
	David Herring, John Carroll, Rehan O'Grady, Neil Coleman and Felix Marks	Name: Micromuse Inc. Internal Address:		
	Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No			
	3. Nature of conveyance:			
	⊠ Assignment	Street Address: <u>139 Townsend Street</u> City: <u>San Francisco</u> State: <u>CA</u> ZIP: <u>94107</u>		
	Security Agreement Change of Name			
	☐ Other	Additional name(s) & address(es) attached? ☐Yes ☒ No		
	Execution Date: November 28, 2000	09/724025		
	Application number(s) or patent number(s)	·		
	If this document is being filed together with a new application	on, the execution date of the application is: <u>11/28/00</u>		
	A. Patent Application No.(s)	B. Patent No.(s)		
12/01/2000 SS	THIB1 00000047 09724025			
04 FC:581	40.00 QP			
97 101004	Additional numbers attac	rhed? ☐ Yes ☒ No		
	Name and address of party to whom	6. Total number of applications and patents		
	correspondence concerning document should be mailed:	involved: 1		
	BROWN RAYSMAN MILLSTEIN FELDER & STEINER LLP	7. Total fee (37 CFR 3.41): \$ <u>40.00</u> Check enclosed		
	120 West 45th Street	Authorized to be charged to deposit account		
	New York, New York 10036 (212) 944-1515	Deposit account number: 02-4270     (Attach duplicate copy of this page by deposit account)		
		Please charge any additional fees required, or credit any overpayment, to the above deposit account		
	DO NOT USE THIS SPACE			
	9. Statement and signature.  To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.			
	Seth H. Ostrow	November 28, 2000		
	rtaine or recome agrining	nature Date heet, attachments and document: 9		
	Total number of pages including cover sheet, attachments and document: 9  Mail documents to be recorded with required cover sheet information to:			
	Commissioner of Patents and Trademarks Box Assignments			
	Washington, D.C. 20231			

Docket No. 3882-3

## ASSIGNMENT

WHEREAS, we, David HERRING, a citizen of the United Kingdom, residing at 45 Church Road East, Crowthorne Berkshire RG45 7ND, England, and John CARROLL, a citizen of the United Kingdom, residing at 4543 North O'Connor Road, Apartment 1249, Irving, Texas 75062, and Rehan O'GRADY, a citizen of the United Kingdom, residing at Basement Flat, 32 Addison Avenue, Holland Park, London W11 4QR, England, and Neil COLEMAN, a citizen of the United Kingdom, residing at 40 Belle Vue Rd., Quarry Bank, West Midlands, United Kingdom, DY5 1AD, and Felix MARKS, a citizen of the United Kingdom, residing at 251 Mayall Road, London SE24 OPQ, England have invented one or more inventions (hereinafter referred to as "said invention(s)") disclosed in an application for Letters Patent of the United States titled METHOD AND SYSTEM FOR PREDICTING CAUSES OF NETWORK SERVICE OUTAGES USING TIME DOMAIN CORRELATION (hereinafter referred to as "said application"), said application having been executed on even date herewith;

WHEREAS, Micromuse Inc. (hereinafter together with its successors and assigns referred to as "Assignee"), a Delaware corporation, having a place of business at 139 Townsend Street, San Francisco, CA 94107 USA, is desirous of confirming its ownership of all right, title and interest in, to and under said invention(s) and said application; and

NOW, THEREFORE, for good and valuable considerations, the receipt and sufficiency of which we hereby acknowledge, we have sold, assigned, transferred and set over, and by these presents hereby sell, assign, transfer and set over to the Assignee all right, title and interest in, to and under said invention(s) and said application, including the right to apply for any Letters Patent of the United States of America and in any and all foreign countries on said invention(s), and any and all other applications for Letters Patent on said invention(s), in whatsoever countries, including all divisional, renewal, substitute, continuation, continuation-inpart and convention applications based in whole or in part upon said invention(s) or upon said application, and any and all Letters Patent which may issue thereon in the United States and foreign countries, and any and all reissues, extensions, renewals, divisions, continuations or continuations-in-part of Letters Patent granted for said invention(s) or upon said applications, to the full term or terms for which said Letters Patent may be issued, and every priority right that is or may be predicated upon or arise from said invention(s), said application and said Letters Patent, the same to be held and enjoyed by the Assignee for its own use and benefit fully and entirely as if the same would have been held and enjoyed by us had this Assignment not been made. We hereby authorize the Assignee to file patent applications in any and all countries on any or all of said invention(s) in our names, or in its name, or otherwise as the Assignee may deem advisable, under the International Convention or otherwise.

We hereby authorize the Commissioner of Patents and Trademarks of the United States, and any official of any other country empowered to issue patents, to record this Assignment, and to issue or transfer all said Letters Patent on said invention(s) to the Assignee as owner of all right, title and interest therein, or otherwise as the Assignee may direct, in accordance with the terms of this Assignment.

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11/28/2000 TUE 13:37 PATA NO 96471 2002
REEL: 011304 FRAME: 0575

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We hereby represent and warrant that we have the full right to convey the entire right and interest herein assigned, that there are no rights or interests outstanding inconsistent with the rights and interests granted herein, and that we will not execute any instrument or grant or transfer any rights or interests inconsistent with the rights and interests granted herein.

We hereby covenant and agree that we will, upon request of the Assignee, communicate to the Assignee any facts known to us relating to said invention(s) and the history thereof, testify in any legal proceeding, execute all lawful papers including without limitation all divisional, continuing and reissue applications and all rightful oaths and declarations, and generally do all further acts which may be deemed necessary by the Assignee to obtain and enforce proper patent protection for said invention(s) in all countries.

	IN TESTIMONY WHEREO	F, we have executed th	is document on the date
indicated below	₩.	//	
Date: 28 K	Vou 2000 (	Signature) David HE	ERRING .
Witnessed by:	(Signature of Witness)		28/11/00
	TANYA STEPHENS - 12 (Printed name and address of	KUNGSFARM AN E	Date , RICHMOND TWO 5A
Witnessed by:			Date
	(Printed name and address of	Witness)	

Date:				
		(Signature) John CAF	ROLL	
Witnessed by:	AND THE RESERVE OF THE PERSON			<del></del>
	(Signature of Witness)		Date	
	(Printed name and address of	Witness)		_
Witnessed by:				
-	(Signature of Witness)		Date	_
	(Printed name and address of	Witness)		_
Date: 28/1	1/00	delin	o'Corle	
		(Signature) Rehan O'	GRADY $\subset$	<b>5</b>
Witnessed by:			28/4/00	_
	(Signature of Witness)		Date	_
	(Printed name and address of	Vitness)	AUF CICHUEND	DAD OIM_
Witnessed by				
Witnessed by:	(Signature of Witness)		Date	<del></del>
	(Printed name and address of	Witness)		_

Page 3 of 4

Date: 28/11/	100	Ncolen	ran	
		(Signature) Neil CO		
Witnessed by:	off			
-	(Signature of Witness)		Date	
	TANYA STEPHENS - (Printed name and address of	12 KINGSFARM Witness)	L tue RICHMOND	TWIO 54 R
Witnessed by:	(Signature of Witness)			<u>-</u>
	(Signature of Witness)		Date	
	(Printed name and address of	Witness)		_
Date: 28/11	100	Shu	a	
	- <b>/</b>	(Signature) Felix MA	ARKS	
Witnessed by:	The same of the sa		28/11/00	
	(Signature of Witness)	<del></del>	Date	<del></del>
	TANYA STEPHENS - (Printed name and address of	12 KINGSFARM Witness)	ME CHMOND TW	<u>io</u> sab
Witnessed by:				
	(Signature of Witness)		Date	
	(Printed name and address of	Witness)		_

Page 4 of 4

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**REEL: 011304 FRAME: 0578** 

Docket No. 3882-3

## **ASSIGNMENT**

WHEREAS, we, David HERRING, a citizen of the United Kingdom, residing at 45 Church Road East, Crowthorne Berkshire RG45 7ND, England, and John CARROLL, a citizen of the United Kingdom, residing at 4543 North O'Connor Road, Apartment 1249, Irving, Texas 75062, and Rehan O'GRADY, a citizen of the United Kingdom, residing at Basement Flat, 32 Addison Avenue, Holland Park, London W11 4QR, England, and Neil COLEMAN, a citizen of the United Kingdom, residing at 40 Belle Vue Rd., Quarry Bank, West Midlands, United Kingdom, DY5 1AD, and Felix MARKS, a citizen of the United Kingdom, residing at 251 Mayall Road, London SE24 OPQ, England have invented one or more inventions (hereinafter referred to as "said invention(s)") disclosed in an application for Letters Patent of the United States titled METHOD AND SYSTEM FOR PREDICTING CAUSES OF NETWORK SERVICE OUTAGES USING TIME DOMAIN CORRELATION (hereinafter referred to as "said application"), said application having been executed on even date herewith;

WHEREAS, Micromuse Inc. (hereinafter together with its successors and assigns referred to as "Assignee"), a Delaware corporation, having a place of business at 139 Townsend Street, San Francisco, CA 94107 USA, is desirous of confirming its ownership of all right, title and interest in, to and under said invention(s) and said application; and

NOW, THEREFORE, for good and valuable considerations, the receipt and sufficiency of which we hereby acknowledge, we have sold, assigned, transferred and set over, and by these presents hereby sell, assign, transfer and set over to the Assignee all right, title and interest in, to and under said invention(s) and said application, including the right to apply for any Letters Patent of the United States of America and in any and all foreign countries on said invention(s), and any and all other applications for Letters Patent on said invention(s), in whatsoever countries, including all divisional, renewal, substitute, continuation, continuation-inpart and convention applications based in whole or in part upon said invention(s) or upon said application, and any and all Letters Patent which may issue thereon in the United States and foreign countries, and any and all reissues, extensions, renewals, divisions, continuations or continuations-in-part of Letters Patent granted for said invention(s) or upon said applications, to the full term or terms for which said Letters Patent may be issued, and every priority right that is or may be predicated upon or arise from said invention(s), said application and said Letters Patent, the same to be held and enjoyed by the Assignee for its own use and benefit fully and entirely as if the same would have been held and enjoyed by us had this Assignment not been made. We hereby authorize the Assignee to file patent applications in any and all countries on any or all of said invention(s) in our names, or in its name, or otherwise as the Assignee may deem advisable, under the International Convention or otherwise.

We hereby authorize the Commissioner of Patents and Trademarks of the United States, and any official of any other country empowered to issue patents, to record this Assignment, and to issue or transfer all said Letters Patent on said invention(s) to the Assignee as owner of all right, title and interest therein, or otherwise as the Assignee may direct, in accordance with the terms of this Assignment.

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11/28/2000 TUE 15: PATENT NO 9660] 2002

**REEL: 011304 FRAME: 0579** 

We hereby represent and warrant that we have the full right to convey the entire right and interest herein assigned, that there are no rights or interests outstanding inconsistent with the rights and interests granted herein, and that we will not execute any instrument or grant or transfer any rights or interests inconsistent with the rights and interests granted herein.

We hereby covenant and agree that we will, upon request of the Assignee, communicate to the Assignee any facts known to us relating to said invention(s) and the history thereof, testify in any legal proceeding, execute all lawful papers including without limitation all divisional, continuing and reissue applications and all rightful oaths and declarations, and generally do all further acts which may be deemed necessary by the Assignee to obtain and enforce proper patent protection for said invention(s) in all countries.

IN TESTIMONY WHEREOF, we have executed this document on the date indicated below.

Date:	(Signature) David HERRING		
Witnessed by:	(Signature of Witness)	Date	
	(Printed name and address of Witness	· · · · · · · · · · · · · · · · · · ·	
Witnessed by:	(Signature of Witness)	Date	
	Printed name and address of Witness	<u>)</u>	

Page 2 of 4

Date:	1/00	(Signature) John CA	RROLL	
Witnessed by:	Signature of Witness)		11-28-00 Date	
	Printed name and address of	SUS LAW	11-28-00 Date Nuira, Carrollton Tr 7500	ょく
Witnessed by:	(Finder) hame and address of	widless)	11-28-00 Date EWAY, IRING TO 7500	
	Paula Bo How (Printed name and address of	1535 Filesio	eway Irving To 7500	60
Date				
Date:		(Signature) Rehan O	GRADY	
Witnessed by:	(Signature of Witness)		Date	
	(Printed name and address of	f Witness)		
Witnessed by:	(Signature of Witness)		Date	
	(Printed name and address of	f Witness)		

Page 3 of 4

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**REEL: 011304 FRAME: 0581** 

Date:			
	<del></del>	(Signature) Neil COL	EMAN
Witnessed by:	(Signature of Witness)		Date
	(Printed name and address of	Witness)	
Witnessed by:	(Signature of Witness)		Date
	(Printed name and address of	Witness)	
Date:		(Signature) Felix MA	RKS
Witnessed by:	(Signature of Witness)		Date
	(Printed name and address of	f Witness)	
Witnessed by:	(Signature of Witness)		Date
	(Printed name and address o	f Witness)	

Page 4 of 4

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**RECORDED: 11/28/2000** 

11/28/2000 TUE 15:06 [TX/RX NO 9660] 20005 PATENT