

MSP 11-17-00

12-08-2000

UNUS #Y2-0252-UNI; J3497(C)

RECORDATION FORM COVER SHEET
PATENTS ONLY



DEPARTMENT OF COMMERCE
TRADEMARK OFFICE

To the Honorable Commissioner of Patents

101544455

attached original documents or copy thereof.

1. NAME OF CONVEYING PARTY(IES):

Frances Ann ELLIS
Matthew Leslie PEARCE
Cheryl Anne TAYLOR

ADDITIONAL NAME(S) OF CONVEYING PARTY(IES) ATTACHED?
YES [] NO [XX]

2. NAME AND ADDRESS OF RECEIVING PARTY(IES):

NAME: Unilever Home & Personal Care USA,
Division of Conopco, Inc.

STREET ADDRESS: 325 North Wells Street

CITY: Chicago STATE: Illinois ZIP: 60610

ADDITIONAL NAME(S) & ADDRESS(ES) ATTACHED?
YES [] NO [XX]

3. NATURE OF CONVEYANCE:

[XX] ASSIGNMENT [] SECURITY AGREEMENT [] MERGER [] CHANGE OF NAME [] OTHER
EXECUTION DATE: September 19, 2000; October 5, 2000

4. APPLICATION NUMBER(S) OR PATENT NUMBER(S): 09/621,291 FILED: July 20, 2000

IF THIS DOCUMENT IS BEING FILED TOGETHER WITH A NEW APPLICATION, THE EXECUTION DATE OF THE APPLICATION IS:

A. PATENT APPLICATION NO.(S): 09/621,291

B. PATENT NO.(S)

ADDITIONAL NUMBERS ATTACHED? [] YES [XX] NO

5. NAME AND ADDRESS OF PARTY TO WHOM CORRESPONDENCE CONCERNING DOCUMENT SHOULD BE MAILED:
UNILEVER PATENT DEPARTMENT, 45 RIVER ROAD, EDGEWATER, NEW JERSEY 07020

6. TOTAL NUMBER OF APPLICATIONS AND PATENTS INVOLVED: 1

7. TOTAL FEE (37 CFR 3.41): \$40.00 [] ENCLOSED [XX] AUTHORIZED TO BE CHARGED TO DEPOSIT ACCOUNT
[XX] ANY DEFICIENCY OR OVERPAYMENT SHOULD BE CHARGED OR CREDITED TO DEPOSIT ACCOUNT 12-1155.

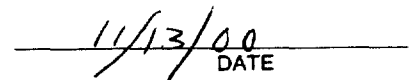
8. DEPOSIT ACCOUNT NUMBER: 12-1155 (Duplicate copy of this page is attached for payment by deposit account)

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9. STATEMENT AND SIGNATURE:

TO THE BEST OF MY KNOWLEDGE AND BELIEF, THE FOREGOING INFORMATION IS TRUE AND CORRECT AND ANY ATTACHED COPY IS A TRUE COPY OF THE ORIGINAL DOCUMENT.


MATTHEW BOXER, ATTORNEY FOR APPLICANT(S)
REGISTRATION NO. 28,495


DATE

TOTAL NUMBER OF PAGES COMPRISING COVER SHEET, ATTACHMENTS, AND DOCUMENT: 4

OMB NO. 0651-0011 (EXP. 4/94)

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MAIL DOCUMENTS TO BE RECORDED WITH REQUIRED COVER SHEET INFORMATION TO:
COMMISSIONER OF PATENTS AND TRADEMARKS, BOX ASSIGNMENTS, WASHINGTON D.C. 20231

PUBLIC BURDEN REPORTING FOR THIS SAMPLE COVER SHEET IS ESTIMATED TO AVERAGE ABOVE 30 MINUTES PER DOCUMENTS TO BE RECORDED, INCLUDING TIME FOR REVIEWING THE DOCUMENT AND GATHERING THE DATA NEEDED, AND COMPLETING AND REVIEWING THE SAMPLE COVER SHEET. SEND COMMENTS REGARDING THIS BURDEN ESTIMATE TO THE U.S. PATENT AND TRADEMARK OFFICE, OFFICE OF INFORMATION SYSTEMS, P2-1000C, WASHINGTON, D.C. 20231 AND TO THE OFFICE OF MANAGEMENT AND BUDGET, PAPERWORK REDUCTION PROJECT (0651-0011), WASHINGTON, D.C. 20231

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PATENT
REEL: 011305 FRAME: 0647

ASSIGNMENT

J3497 (C) / US

WHEREAS, I/WE,

Frances Ann ELLIS
c/o Unilever Research Port Sunlight, Quarry Road East, Bebington, Wirral,
Merseyside, CH63 3JW, United Kingdom

Matthew Leslie PEARCE
c/o Unilever Research Port Sunlight, Quarry Road East, Bebington, Wirral,
Merseyside, CH63 3JW, United Kingdom

Cheryl Anne TAYLOR
c/o Unilever Research Port Sunlight, Quarry Road East, Bebington, Wirral,
Merseyside, CH63 3JW, United Kingdom

have invented certain improvements in:

METHOD OF HAIR TREATMENT USING ORGANIC AMINO COMPOUNDS

(bearing Attorney's Docket Number: J3497 (C)), which is found in

[Check and complete (a), (b) or (c)]

(a) _____ U.S. patent application executed on _____

(b) To comply with 37 CFR 3.21 for recordal of this assignment, I/WE as ASSIGNOR(S) signing below, hereby authorize and request my/our attorney, as named in the Declaration and Power of Attorney to insert below the filing date and application number when it becomes known.

U.S. application Serial No. 621,291 filed on 20 July 2000

(c) _____ International Application No. PCT/ _____ / _____

AND WHEREAS, **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, a corporation of **NEW YORK**, having a principal place of business at **325 North Wells, Chicago, Illinois 60610, USA** desires to acquire the entire right title and interest in and to the aforesaid invention, and to said United States application or any reissues, divisions, continuation, or continuation-in-part thereof, and in any Letters Patent which may issue therefrom;

NOW, THEREFORE, in consideration of one dollar (\$1.00) and other good and valuable consideration in hand paid, the receipt and sufficiency of which is hereby acknowledged, I/We, the undersigned, hereby sell, assign and transfer to the aforesaid **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, its successors and assigns, the entire right, title and interest in and to the said application and invention therein disclosed for the United States, and in and to any and all divisional, continuation, continuation-in-part, reissue or other applications describing said invention or improvements thereon, and in and to any and all Letters Patent of the United States which may issue from said applications or any of them, the same to be held by and for the sole and exclusive use and benefit of the said **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, its successors and assigns.

AND I/We do hereby authorize and request the Commissioner of Patents to issue the said Letters Patent of the United States to said **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, as the ASSIGNEE of the entire right, title and interest in and to the same.

AND I/We do hereby agree to assist said **UNILEVER HOME & PERSONAL CARE, USA, DIVISION OF CONOPCO, INC.**, in the prosecution of any and all said applications and in the prosecution of any and all interferences or other proceedings which may arise involving said invention, and to execute and deliver any powers of attorney, affidavits, assignments, applications, reissues, documents, or other written instruments which, in the opinion of counsel for said **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, its successors and assigns, may be necessary in this country fully to secure to said **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, its successors and assigns, any and all said applications, inventions, improvements, Letters Patent, rights, titles, and interests hereby sold, assigned, transferred and conveyed.

In witness whereof, I/we have hereunto set my/our hand

Dated: 19.9.00 (1) Frances Ellis *Mr. G. Walker*
Inventor - Frances Ann ELLIS *Notary Public*
19/9/00

Dated: 19.9.00 (2) Matthew Leslie Pearce *Mr. G. Walker*
Inventor - Matthew Leslie PEARCE *Notary Public*
19/9/00

Dated: 5.10.00 (3) Cheryl Anne Taylor *Mr. G. Walker*
Inventor - Cheryl Anne TAYLOR *Notary Public*
5/10/00

APOSTILLE

(Hague Convention of 5 October 1961 / Convention de La Haye du 5 octobre 1961)

1. Country: United Kingdom of Great Britain and Northern Ireland
Pays: Royaume-Uni de Grande-Bretagne et d'Irlande du Nord

This public document / Le présent acte public

2. Has been signed by **Michael G Walker**
a été signé par
3. Acting in the capacity of **Notary Public**
agissant en qualité de
4. Bears the seal/stamp of **The Said Notary Public**
est revêtu du sceau/timbre de

Certified/Attesté

5. at London/à Londres
6. the/le **09 October 2000**
7. by Her Majesty's Principal Secretary of State for Foreign and Commonwealth Affairs /
par le Secrétaire d'Etat Principal de Sa Majesté aux Affaires Etrangères et du Commonwealth.

8. Number/sous No **G824634**

9. Stamp:
timbre:



10. Signature: **J. M. A. Mason**

For the Secretary of State / Pour le Secrétaire d'Etat

RECORDED: 11/17/2000

PATENT
REEL: 011305 FRAME: 0650