

WRD RECORDA

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12-08-2000

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Tab settings 000 ▼ 11-06-00 ▼

To the Honorable Commissioner of Patents and

101545385

documents or copy thereof.

1. Name of conveying party(ies):

M.L. BROWN
S.S. MANOHAR
N.K. ZIA
S.A. BECKAdditional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

2. Name and address of receiving party(ies)

Name: CARRIER CORPORATION

Internal Address:

INTELLECTUAL PROPERTY DEPARTMENT

Street Address: CARRIER WORLD HEADQUARTERS

ONE CARRIER PLACE BLDG.

City: FARMINGTON State: CT ZIP: 06034

Additional name(s) & address(es) attached? ☐ Yes ☐ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: OCTOBER 18, 2000

4. Application number(s) or patent number(s): 09/692,983

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: CARRIER CORPORATION/WILLIAM HABELT

Internal Address: INTELLECTUAL PROPERTY DEPT.

Street Address: CARRIER PARKWAY

City: SYRACUSE State: NY ZIP: 13221

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41).....\$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

03-0835

(Attach duplicate copy of this page if paying by deposit account)
(ORDER NO: 1304)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

COLLEEN S. KUKLINSKI

Name of Person Signing

Colleen Kuklinski

Signature

NOVEMBER 2, 2000

Date

(ATTY DOCKET NO: 9725) Total number of pages including cover sheet, attachments, and document: 5

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

ASSIGNMENT

IN CONSIDERATION of One Dollar (\$1.00) and other good and valuable consideration, receipt of which is hereby acknowledged, We, being the inventors of a certain invention as fully set forth and described in a patent application entitled FURNACE HEAT EXCHANGER (Carrier Docket No. 9725).

executed by: Michael Lee Brown
residing at: 5222 White River Street
Greenwood, Indiana 46143

on this 17th day of October, 2000,

and by: Shailesh Sharad Manohar
residing at: 8241 Penstock Way
Manlius, New York 13104

on this 13th day of October, 2000,

and by: Ninev Karl Zia
residing at: 2328 Sandringham Circle
Indianapolis, Indiana 46214

on this 18th day of October, 2000,

and by: Scott Andrew Beck
residing at: 5856 Echo Way
Indianapolis, Indiana 46278

on this 18th day of October, 2000, being the owners of all right, title and interest in and to the invention described therein, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned, do hereby sell, assign, transfer and set over unto CARRIER CORPORATION, a Delaware corporation, with an office and principal place of business at Carrier World Headquarters Building, One Carrier Place, Farmington, Connecticut 06034-4015, its successors, assigns and legal representatives, the entire right, title and interest in

and to said invention and application (including all inventions disclosed therein) in and for all countries, and all divisions, reissues, continuations, and extensions thereof. We hereby authorize and request the Commissioner of Patents to issue the said Letters Patent to said CARRIER CORPORATION, as Assignee.

AND we hereby covenant and agree that we shall at any time, upon request, without further compensation, testify in any interference proceedings, execute all divisional, continuing or reissue applications, make all rightful oaths, and generally execute and deliver any and all papers or instruments that may be necessary or desirable to secure to said Assignee the full enjoyment of the rights and properties herein conveyed or intended to be conveyed.

IN WITNESS WHEREOF, I have hereunto set my hand and seal, this 17th day of October, 2000.

Michael L Brown (L.S.)
Michael Lee Brown

STATE OF Indiana)
COUNTY OF Hendricks) SS:

On this 17 day of October, 2000, before me the subscriber, personally came, Michael Lee Brown, to me known, and known to me to be the person described in and who executed the foregoing instrument, and duly acknowledged to me that he executed the same as his free act and deed.

E Irene Kelly
Notary Public

(seal)

IN WITNESS WHEREOF, I have hereunto set my hand and seal, this 13 day
of October, 2000.

Shailesh Sharad Manohar (L.S.)
Shailesh Sharad Manohar

STATE OF NEW YORK)
) SS:
COUNTY OF ONONDAGA)

On this 13th day of October, 2000, before me the subscriber, personally
came, Shailesh Sharad Manohar, to me known, and known to me to be the person described in
and who executed the foregoing instrument, and duly acknowledged to me that he executed the
same as his free act and deed.

(seal)

Luan McCormick
Notary Public

LUANN MCCORMICK
Notary Public, State of New York
Qualified in Onondaga Co. No. 4886345
My Commission Expires March 2, 2001

IN WITNESS WHEREOF, I have hereunto set my hand and seal, this 18th day
of October, 2000.

Ninev Karl Zia (L.S.)
Ninev Karl Zia

STATE OF Indiana)
) SS:
COUNTY OF Hendricks)

On this 18 day of October, 2000, before me the subscriber, personally
came, Ninev Karl Zia, to me known, and known to me to be the person described in and who
executed the foregoing instrument, and duly acknowledged to me that he executed the same as
his free act and deed.

(seal)

E. Jane Kelley
Notary Public

IN WITNESS WHEREOF, I have hereunto set my hand and seal, this 18th day of October, 2000.

Scott Andrew Beck (L.S.)
Scott Andrew Beck

STATE OF Indiana)
) SS:
COUNTY OF Hendricks)

On this 18 day of October, 2000, before me the subscriber, personally came, Scott Andrew Beck, to me known, and known to me to be the person described in and who executed the foregoing instrument, and duly acknowledged to me that he executed the same as his free act and deed.

(seal) E. Irene Kelley
Notary Public

The patent application referred to in the foregoing instrument was filed in the United States Patent and Trademark Office on October 23, 2000 and was assigned Serial Number 09/692,983.

Dana F. Bigelow
Dana F. Bigelow
Reg. No. 26,441

11/2/00
Date