

12-08-2000

U.S. Department of Commerce  
Patent and Trademark Office  
**PATENT**



101545433

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Reel #  Frame #
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Reel #  Frame #

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- ☐ License ☐ Change of Name
- ☐ Merger ☐ Other
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- ☐ Departmental File ☐ Secret File

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Execution Date  
Month Day Year

Name (line 2)

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Execution Date  
Month Day Year

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Address (line 1)

Address (line 2)

Address (line 3)   
City

State/Country

Zip Code

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

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12/01/2000 YPOLITE1 00000085 09657286

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**PATENT**  
**REEL: 011307 FRAME: 0977**

**Correspondent Name and Address**

Area Code and Telephone Number 502 584 1135

Name JEFFREY A. HAEBERLIN

Address (line 1) MIDDLETON REUTLINGER

Address (line 2) 2500 BROWN & WILLIAMSON TOWER

Address (line 3) LOUISVILLE, KENTUCKY 40202

Address (line 4)

**Pages**

Enter the total number of pages of the attached conveyance document including any attachments.

#

7

**Application Number(s) or Patent Number(s)**

☐

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

**Patent Application Number(s)**

09657286

**Patent Number(s)**

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

**Patent Cooperation Treaty (PCT)**

Enter PCT application number  
only if a U.S. Application Number  
has not been assigned.

PCT

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**Number of Properties**

Enter the total number of properties involved.

#

1

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:  
Deposit Account

Enclosed ☒

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(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

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Authorization to charge additional fees:

Yes ☐

No ☐

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

JEFFREY A. HAEBERLIN, REG. NO. 40,630  
Name of Person Signing

Signature

Date

**PATENT**

REEL: 011307 FRAME: 0978

RECORDATION FORM COVER SHEET  
CONTINUATION  
PATENTS ONLYU.S. Department of Commerce  
Patent and Trademark Office  
PATENT

## Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Execution Date  
Month Day Year

Name (line 1) WEDEKIND, ROBERT J.

11102000

Name (line 2)

Execution Date  
Month Day Year

Name (line 1) FERZAN, ROBERT C.

11102000

Name (line 2)

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

## Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

## Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

## Patent Application Number(s)

## Patent Number(s)



ASSIGNMENT OF APPLICATION

Docket Number (Optional)  
ZK524/00063

Whereas, I, GRAHAM M. RIPPEL of SOUTH EASTON, MA, hereafter referred to as applicant, have invented certain new and useful improvements in RECESSED FIXTURE HOUSING

☒ for which an application for a United States Patent was filed on 09/07/00,  
Application Number 09 / 657,286

☐ for which an application for a United States Patent was executed on \_\_\_\_\_, and

Whereas, GENLYTE THOMAS GROUP LLC of LOUISVILLE, KENTUCKY herein referred to "assignee" whose post office address is 4360 BROWNSBRO ROAD, SUITE 300 is desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of ONE dollars (\$1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I, the applicant, by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States. I hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

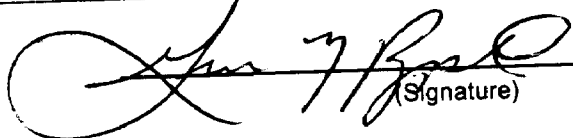
Executed this TENTH day of NOVEMBER, 18 2000,  
at LIGHTOLIER FALL RIVER, MA

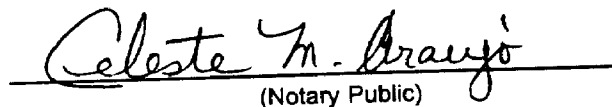
State of MASS) SS:

County of Bristol)

Before me personally appeared said GRAHAM M. RIPPEL

and acknowledged the foregoing instrument to be his free act and deed this 10th  
day of November, 18 2000.

  
(Signature)

  
(Notary Public)

Seal

Burden Hour Statement: This form is estimated to take 0.1 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner of Patents and Trademarks, Washington, DC 20231.

CELESTE M. ARAUJO  
NOTARY PUBLIC  
My Commission Expires July 22, 2005

PATENT  
REEL: 011307 FRAME: 0980

**ASSIGNMENT OF APPLICATION**

Docket Number (Optional)

ZK524/00063

Whereas, I, MARK O. JONES of SOUTH DARTMOUTH, MA, hereafter referred to as applicant, have invented certain new and useful improvements in RECESSED FIXTURE HOUSING

☒ for which an application for a United States Patent was filed on 09/07/00,  
Application Number 09 / 657,286

☐ for which an application for a United States Patent was executed on \_\_\_\_\_, and

Whereas, GENLYTE THOMAS GROUP LLC of LOUISVILLE, KENTUCKY herein referred to "assignee" whose post office address is 4360 BROWNSBORO ROAD, SUITE 300 is desirous of acquiring the entire right, title and interest in the same;  
LOUISVILLE, KENTUCKY 40207-1603

Now, therefore, in consideration of the sum of ONE dollars (\$1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I, the applicant, by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States, I hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 10<sup>th</sup> day of November, 19 2000,  
at Lightolier, Fall River, MA

Mark O. Jones  
(Signature)

State of MASS) SS:  
County of Bristol)

Before me personally appeared said MARK O. JONES  
and acknowledged the foregoing instrument to be his free act and deed this 10<sup>th</sup>  
day of November, 2000.

Celeste M. Araujo  
(Notary Public)

Seal

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**CELESTE M. ARAUJO**  
**NOTARY PUBLIC**

My Commission Expires July 22, 2005

**PATENT**  
**REEL: 011307 FRAME: 0981**

# ASSIGNMENT OF APPLICATION

Docket Number (Optional)

ZK524/00063

Whereas, I, ROBERT J. WEDEKIND of RIVERSIDE, RI, hereafter referred to as applicant, have invented certain new and useful improvements in RECESSED FIXTURE HOUSING

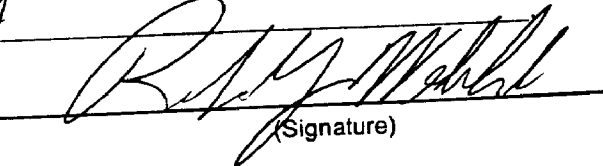
☒ for which an application for a United States Patent was filed on 09/07/00,  
Application Number 09 / 657,286.

☐ for which an application for a United States Patent was executed on \_\_\_\_\_, and

Whereas, GENLYTE THOMAS GROUP LLC of LOUISVILLE, KENTUCKY herein referred to "assignee" whose post office address is 4360 BROWNSBORO ROAD, SUITE 300 is de-  
LOUISVILLE, KENTUCKY 40207-1603  
sirs of acquiring the entire right, title and interest in the same;


Now, therefore, in consideration of the sum of ONE dollars (\$ 1.00 ), the receipt whereof is acknowledged, and other good and valuable consideration, I, the applicant, by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States, I hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this TENTH day of NOVEMBER, 2000,  
at LIGHTOLIER, FALL RIVER, MA

  
(Signature)

State of MASS ) SS:  
County of Bristol )

Before me personally appeared said ROBERT J. WEDEKIND  
and acknowledged the foregoing instrument to be his free act and deed this 10th  
day of November, 2000.

  
(Notary Public)

Seal

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CELESTE M. ARAUJO  
NOTARY PUBLIC

My Commission Expires July 22, 2005

PATENT  
REEL: 011307 FRAME: 0982

# ASSIGNMENT OF APPLICATION

Docket Number (Optional)  
ZK524/00063

Whereas, I, ROBERT C. FERZAN of TIVERTON, RI, hereafter referred to as applicant, have invented certain new and useful improvements in RECESSED FIXTURE HOUSING

☒ for which an application for a United States Patent was filed on 09/07/00,  
Application Number 09 / 657,286

☐ for which an application for a United States Patent was executed on \_\_\_\_\_, and

Whereas, GENLYTE THOMAS GROUP LLC of LOUISVILLE, KENTUCKY herein referred to "assignee" whose post office address is 4360 BROWNSBORO ROAD, SUITE 300 is desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of ONE dollars (\$ 1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I, the applicant, by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States, I hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this tenth day of November, 19 2000,  
at Lightolier Fall River, Ma.

Robert C. Ferzan  
(Signature)

State of MASS ) SS:

County of Bristol )

Before me personally appeared said ROBERT C. FERZAN

and acknowledged the foregoing instrument to be his free act and deed this 10th  
day of November, 19 2000

Celeste M. Araujo  
(Notary Public)

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Burden Hour Statement: This form is estimated to take 0.1 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner of Patents and Trademarks, Washington, DC 20231.

CELESTE M. ARAUJO  
NOTARY PUBLIC

My Commission Expires July 22, 2005

PATENT

RECORDED: 11/27/2000

REEL: 011307 FRAME: 0983