

12-11-2000

Docket No.: YOR920000549US1

EET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office



101545710

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Vittorio Castelli and Peter A. Franaszek

Additional names(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: November 6, 2000

2. Name and address of receiving party(ies):

Name: International Business Machines Corporation

Address: New Orchard Road

City: Armonk

State/Prov.: NY

Country: USA

ZIP: 10504

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

9/706737

If this document is being filed together with a new application, the execution date of the application is: November 6, 2000

Patent Application No.

Filing date

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Sean M. McGinn, Esq.

Registration No. Reg. No. 34,386

Address: McGinn and Gibb, PLLC

8321 Old Courthouse Road

Suite 200

City: Vienna

State/Prov.: VA

Country: USA

ZIP: 22182

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☒ Authorized to be charged to deposit account

8. Deposit account number:

50-0510

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Sean M. McGinn, Esq.

Name of Person Signing

Sean M. McGinn

Signature

11/07/00

Date

Total number of pages including cover sheet, attachments, and document:

2

PATENT

REEL: 011311 FRAME: 0470

ASSIGNMENT

Whereas, we

Inventor
and City (1) Vittorio Castelli
County of Westchester

City of Croton-on-Hudson
and State of New York

(2) Peter A. Franaszek
County of Westchester

City of Mt. Kisco
and State of New York

have invented certain improvements in

Title **METHOD AND APPARATUS FOR PREPROCESSING TECHNIQUE FOR
FORECASTING IN CAPACITY MANAGEMENT, SOFTWARE REJUVENATION
AND DYNAMIC RESOURCE ALLOCATION APPLICATIONS**

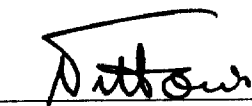
Dates That
Inventors
Signed the
Declaration and have executed a United States patent application therefor on
(1) Nov. 6, 2000 and (2) Nov. 6, 2000.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York, 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

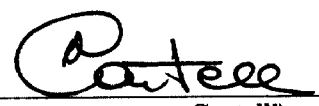
City (1) Signed at

Date on 11/6/00



(Vittorio
First Name

Middle Initial

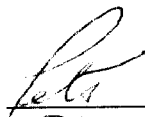


Castelli)
Last Name

signature
inventor

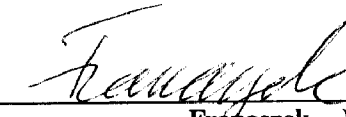
City (2) Signed at

Date on 11/6/00



(Peter
First Name

A.
Middle Initial



Franaszek)
Last Name

signature
inventor