

12-12-2000



Attorney Docket No. 8793-45242

101546955

PATENT

11/22/00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE THE PATENT APPLICATION OF: David M. Cardwell and Steven E. Harms

Ser. No.:

9/721023

JCE41 U.S. PTO
09/721023
11/22/00

Filed: Herewith

For: Laser Directed Portable MRI Stereotactic System

**Assistant Commissioner for Patents
Washington, D.C. 20231**

COVER SHEET FOR TRANSMITTING ASSIGNMENT FOR RECORDAL

Attached please find an assignment for recordal.

1. The assignment is for the patent application filed herewith. The patent application was executed November 21, 2000.

2. A. The total number of applications and/or patents identified in this cover sheet is one (1).

B. The total fee is (37 CFR 1.21(h)): \$40.00.

CERTIFICATE OF MAILING BY EXPRESS MAIL

Express Mail mailing label no. EK 70518854 US

I hereby certify that this correspondence with all documents referred to as enclosed therein is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231
on 11-22-00

Jon Wallace JON WALLACE

11/28/2000 FFAWAEIA 00000017 09721023

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40.00 DP

C. Payment of fee is made by the attached check for \$40.00. Please charge Account No. 23-3263 for any fee deficiency or credit to account any overpayment. A duplicate of this cover sheet is attached.

3. The parties conveying interest are David M. Cardwell and Steven E. Harms.

4. The rights are being conveyed to:

Name: Board of Trustees of the University of Arkansas

Address: 2404 North University Avenue
Little Rock, Arkansas 72207-3608

5. The accompanying document intends to accomplish an assignment.

6. Please address correspondence to:

Ray F. Cox, Jr.
Reg. No. 33,669
WRIGHT, LINDSEY & JENNINGS LLP
200 W. Capitol, Suite 2200
Little Rock, Arkansas 72201-3699
(501) 371-0808

7. The attached assignment was executed on November 21, 2000 by David M. Cardwell and Steven E. Harms.

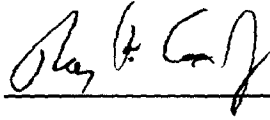
8. The attached assignment is in the English language.

9. Submitted herewith is the original assignment.

10. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

11. The total number of pages being submitted, including cover sheet, attachments and documents is seven (7).

Date: Nov. 22, 2000

A handwritten signature in black ink, appearing to read "Ray F. Cox, Jr.", written over a horizontal line.

Reg. No.: 33,669
Tel.: (501) 371-0808

Ray F. Cox, Jr.
WRIGHT, LINDSEY & JENNINGS LLP
200 W. Capitol, Suite 2200
Little Rock, Arkansas 72201-3699

ASSIGNMENT

WHEREAS, We, David M. Cardwell, of 2506 South Taylor, Little Rock, Arkansas 72204, and Steven E. Harms, of 15 Pointe Clear Drive, Little Rock, Arkansas 72212 ("Assignors"), have invented certain new and useful improvements in an invention entitled "Laser Directed Portable MRI Stereotactic System", set forth in U.S. Provisional Patent Application No. 60/169,472 filed December 7, 1999 and recorded in the U.S. Patent and Trademark Office at Reel/Frame 010439/0734 on December 7, 1999, and in an application for United States Letters Patent executed on even date herewith and claiming the benefit of said U.S. Provisional Application; and

WHEREAS, the Board of Trustees of the University of Arkansas, a public body politic and corporate, duly established under and pursuant to the laws and Constitution of the State of Arkansas, and having an office and place of business at the University of Arkansas, 2404 North University Avenue, Little Rock, Arkansas 72207-3608 ("Assignee") is desirous of acquiring the entire right, title and interest in said invention, in said applications, and in any Letters Patent or Patents, United States or foreign, to be obtained therefrom;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, We, the said Assignors, and by these presents do assign, sell, transfer and set over, unto the said Assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above mentioned invention, applications for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, including all rights to claim priority, and in and to any and all

divisions, continuations, and continuations-in-part of said applications, or reissues or re-examinations of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by the said Assignee, for its own use and behoof, and the use and behoof of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the Assignors, had this sale and assignment not been made.


And for the same consideration, the said Assignors hereby covenant that no assignment, sale, agreement or encumbrance has been or will be entered into which would conflict with this assignment.

And for the same consideration, the said Assignors hereby covenant and agree to and with the said Assignee, its successors, legal representatives and assigns, that the said Assignors will, whenever counsel of the said Assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said inventions, or said applications for Letters Patent or any proceeding in connection with Letters Patent for said inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent, or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said inventions, without charge to the said Assignee, its successors, legal

representatives and assigns, but at the cost and expense of the said Assignee, its successors, legal representatives and assigns.

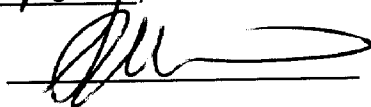
And the said Assignors hereby request the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States to the said Assignee, as the Assignee of said inventions and the Letters Patent to be issued thereon for the sole use and behoof of the said Assignee, its successors, legal representatives and assigns.

SIGNED this 21st day of November, 2000.



DAVID M. CARDWELL

SIGNED this 21 day of November, 2000.



STEVEN E. HARMS

ACKNOWLEDGMENT

STATE OF ARKANSAS)
COUNTY OF Pulaski)ss

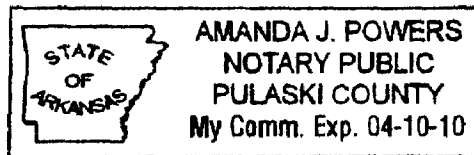
On this 21st day of NOV., in the year 2000, before me, Amanda J. Powers, a Notary Public in and for the State of Arkansas, personally appeared David M. Cardwell, personally known to me/or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to this instrument, and acknowledged that he/she executed the same.

In witness whereof, I have hereunto set my hand and affixed my official seal, the day and year in this certificate first above written.

Amanda J. Powers
Notary Public

My commission expires:

04/10/10



ACKNOWLEDGMENT

STATE OF ARKANSAS)
COUNTY OF Pulaski)ss

On this 21st day of NOV., in the year 2000, before me, Amanda J. Powers, a Notary Public in and for the State of Arkansas, personally appeared Steven E. Harms, personally known to me/or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to this instrument, and acknowledged that he/she executed the same.

In witness whereof, I have hereunto set my hand and affixed my official seal, the day and year in this certificate first above written.

Amanda J. Powers
Notary Public

My commission expires:

04/10/10

