

12-14-2000

FR SHEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



EPI-001 CIPS

To the Honorable Commission of

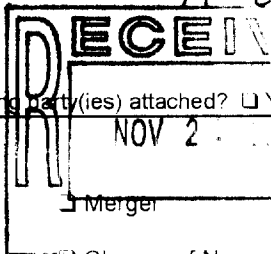
101551434

attached original documents or copy thereof

1. Name of conveying party(ies)

Farhad Khosravi
L. N. Hopkins
Amr Salahieh
Jackson F. Demond
Jeff A. Krolik

KLK
11.22.00



Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Security Agreement

☐ Other

☐ Merger

☐ Change of Name

Execution Date: 11/13/00; 10/23/00; 10/9/00; 10/9/00; 10/9/00

2. Name and address of receiving party(ies)

Name: INCEPT LLC

Internal Address: _____

Street Address: 308 Greenfield Avenue

City: San Mateo State: CA ZIP: 94403

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/635,734

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Nicola A. Pisano

Internal Address: _____

Street Address: Fish & Neave

1251 Avenue of the Americas

City: New York State: NY ZIP: 10010

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

06-1075

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Nicola A. Pisano

Name of Person Signing

Nicola A. Pisano
Signature

11/14/00
Date

Reg. No. 34,408

Total number of pages including cover sheet, attachments, and document:

11

A S S I G N M E N T

WHEREAS, we, Farhad Khosravi, L.N. Hopkins, Amr Salahieh, Jackson F. Demond and Jeff A. Krolik, residing respectively at 308 Greenfield Avenue, San Mateo, California 94403; 3 Gates Circle, Buffalo, New York 14209; 935 Lovell Avenue, Campbell, California 95006; 149 Plateau Avenue, Santa Cruz, California 95080 and 526 Railway Avenue, #701, Campbell, California 95008, have made an invention entitled:

VASCULAR FILTER HAVING ARTICULATION REGION
AND METHODS OF USE IN THE ASCENDING AORTA

and have made an application for United States Letters Patent therefor filed August 9, 2000 and assigned Serial No. 09/635,734; and

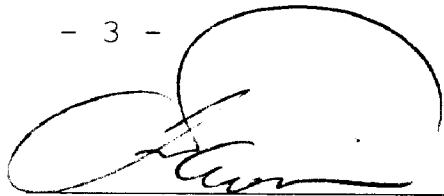
WHEREAS, INCEPT LLC, a limited liability company organized and existing under the laws of the State of Delaware and having an address of 308 Greenfield Avenue, San Mateo, California 94403, is desirous of acquiring the entire interest in said invention, said United States patent application and in any Letters Patent which may issue thereon;

NOW, THEREFORE, be it known that for and in consideration of the sum of One Dollar (\$1.00) paid, and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we do hereby sell, assign and transfer unto the said INCEPT LLC, its successors, assigns and legal representatives, all right, title and interest in and to said invention and any improvements thereof for all countries of the world, and in and to said United States patent application, including any continuations and divisions thereof, and any substitute applications therefor, and including the right to claim priority under the International Convention

based on said patent application, and any patent which may issue thereon, and any reissues of the same; and all right, title and interest in and to every patent application filed or to be filed on said invention in any other country, including renewals, revivals, continuations and divisions thereof, and any substitute applications therefor, and any and all patents which may issue thereon, and any reissues and extensions of the same;

and we hereby authorize and request competent authorities to grant and issue any and all patents on said invention to the said INCEPT LLC as the assignee of the entire interest therein; and we further agree to execute upon request of the assignee such additional documents, if any, as are necessary and proper to secure patent protection on said invention throughout all countries of the world, and to otherwise give full effect to and perfect the rights of the assignee under this Assignment.

IN TESTIMONY WHEREOF, we have hereunto signed our names and affixed our seals on the date indicated hereinafter.

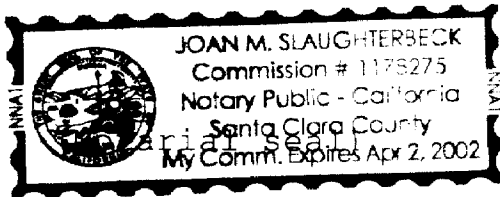

Farhad Khosravi

11-13-00
Date

STATE OF Calif

COUNTY OF Santa Clara : ss.: 414-29-8606

On this 13 day of November, 2000, appeared before me in person the above-named Farhad Khosravi and acknowledged the above to be his signature and that he signed, sealed and delivered the above instrument as his voluntary act and deed, and for the uses and purposes therein set forth.




Notary Public

My Commission expires:

4-02-02

Date

L.N. Hopkins

STATE OF _____

: ss.:

COUNTY OF _____

On this _____ day of _____, 2000, appeared before me in person the above-named L.N. Hopkins and acknowledged the above to be his signature and that he signed, sealed and delivered the above instrument as his voluntary act and deed, and for the uses and purposes therein set forth.

Notary Public

(Notarial seal)

My Commission expires:

10/9/00
Date

Amr Salahieh
Amr Salahieh

STATE OF STATE OF CALIFORNIA
COUNTY OF COUNTY OF SANTA CLARA

On this 9 day of OCTOBER, 2000, appeared before me in person the above-named Amr Salahieh and acknowledged the above to be his signature and that he signed, sealed and delivered the above instrument as his voluntary act and deed, and for the uses and purposes therein set forth.



Cynthia C. Armann
Notary Public

My Commission expires:

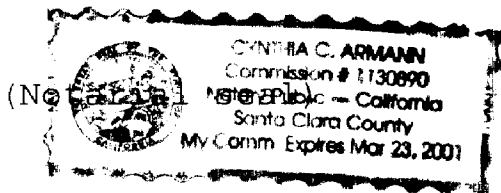
March 23, 2001

10/9/00
Date

Jackson F. Demond
Jackson F. Demond

STATE OF STATE OF CALIFORNIA
COUNTY OF COUNTY OF SANTA CLARA

On this 9 day of OCTOBER, 2000, appeared before me in person the above-named Jackson F. Demond and acknowledged the above to be his signature and that he signed, sealed and delivered the above instrument as his voluntary act and deed, and for the uses and purposes therein set forth.




Cynthia C. Armann
Notary Public

My Commission expires:

March 23, 2001

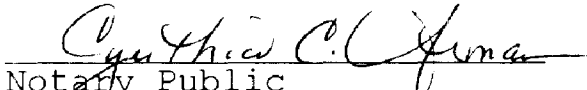
10/9/00

Date


Jeff A. Krolik

STATE OF STATE OF CALIFORNIA
COUNTY OF COUNTY OF SANTA CLARA

On this 9 day of OCTOBER, 2000, appeared before me in person the above-named Jeff A. Krolik and acknowledged the above to be his signature and that he signed, sealed and delivered the above instrument as his voluntary act and deed, and for the uses and purposes therein set forth.


Notary Public



My Commission expires:

March 23, 2001

A S S I G N M E N T

WHEREAS, we, Farhad Khosravi, L.N. Hopkins, Amr Salahieh, Jackson F. Demond and Jeff A. Krolik, residing respectively at 308 Greenfield Avenue, San Mateo, California 94403; 3 Gates Circle, Buffalo, New York 14209; 935 Lovell Avenue, Campbell, California 95006; 149 Plateau Avenue, Santa Cruz, California 95080 and 626 Railway Avenue, #701, Campbell, California 95008, have made an invention entitled:

VASCULAR FILTER HAVING ARTICULATION REGION
AND METHODS OF USE IN THE ASCENDING AORTA

and have made an application for United States Letters Patent therefor filed August 9, 2000 and assigned Serial No. 09/635,734; and

WHEREAS, INCEPT LLC, a limited liability company organized and existing under the laws of the State of Delaware and having an address of 308 Greenfield Avenue, San Mateo, California 94403, is desirous of acquiring the entire interest in said invention, said United States patent application and in any Letters Patent which may issue thereon;

NOW, THEREFORE, be it known that for and in consideration of the sum of One Dollar (\$1.00) paid, and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we do hereby sell, assign and transfer unto the said INCEPT LLC, its successors, assigns and legal representatives, all right, title and interest in and to said invention and any improvements thereof for all countries of the world, and in and to said United States patent application, including any continuations and divisions thereof, and any substitute applications therefor, and including the right to claim priority under the International Convention

based on said patent application, and any patent which may issue thereon, and any reissues of the same; and all right, title and interest in and to every patent application filed or to be filed on said invention in any other country, including renewals, revivals, continuations and divisions thereof, and any substitute applications therefor, and any and all patents which may issue thereon, and any reissues and extensions of the same;

and we hereby authorize and request competent authorities to grant and issue any and all patents on said invention to the said INCEPT LLC as the assignee of the entire interest therein; and we further agree to execute upon request of the assignee such additional documents, if any, as are necessary and proper to secure patent protection on said invention throughout all countries of the world, and to otherwise give full effect to and perfect the rights of the assignee under this Assignment.

IN TESTIMONY WHEREOF, we have hereunto signed our names and affixed our seals on the date indicated hereinafter.

Date

Farhad Khosravi

STATE OF _____

: SS.:

COUNTY OF _____

On this _____ day of _____, 2000, appeared before me in person the above-named Farhad Khosravi and acknowledged the above to be his signature and that he signed, sealed and delivered the above instrument as his voluntary act and deed, and for the uses and purposes therein set forth.

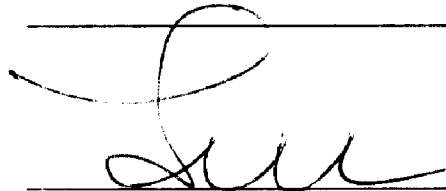
Notary Public

(Notarial seal)

My Commission expires:

10-23-00

Date

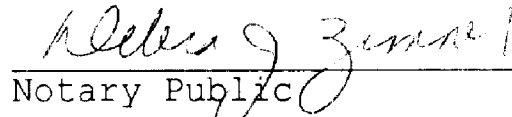

L.N. Hopkins

STATE OF New York

: SS.:

COUNTY OF Franklin

On this 23rd day of October, 2000, appeared before me in person the above-named L.N. Hopkins and acknowledged the above to be his signature and that he signed, sealed and delivered the above instrument as his voluntary act and deed, and for the uses and purposes therein set forth.


Notary Public

(Notarial seal)

My Commission expires:

DEBRA J. ZIMMER
Notary Public, State of New York
Qualified in Erie County
My Commission Expires Jan. 31, 2002

Date

Amr Salahieh

STATE OF _____

: ss.:

COUNTY OF _____

On this _____ day of _____, 2000, appeared before me in person the above-named Amr Salahieh and acknowledged the above to be his signature and that he signed, sealed and delivered the above instrument as his voluntary act and deed, and for the uses and purposes therein set forth.

Notary Public

(Notarial seal)

My Commission expires:

Date

Jackson F. Demond

STATE OF _____

: ss.:

COUNTY OF _____

On this _____ day of _____, 2000, appeared before me in person the above-named Jackson F. Demond and acknowledged the above to be his signature and that he signed, sealed and delivered the above instrument as his voluntary act and deed, and for the uses and purposes therein set forth.

Notary Public

(Notarial seal)

My Commission expires:

Date

Jeff A. Krolik

STATE OF _____

: SS.:

COUNTY OF _____

On this _____ day of _____, 2000, appeared before me in person the above-named Jeff A. Krolik and acknowledged the above to be his signature and that he signed, sealed and delivered the above instrument as his voluntary act and deed, and for the uses and purposes therein set forth.

Notary Public

(Notarial seal:

My Commission expires:
