

12-14-2000

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PATENT

Docket No.: LBL-1506



Applicant or Patentee: XIAO-DONG XIANG ET AL

Serial or Patent No.: 09/608,311

Filed or Issued: JUNE 30, 2000

For: ANALYTICAL SCANNING EVANESCENT MICROWAVE MICROSCOPE AND CONTROL STAGE

Group:

Examiner:

### BOX ASSIGNMENT

Assistant Commissioner for Patents

Washington, D.C. 20231

### ASSIGNMENT (DOCUMENT) COVER LETTER

#### PATENT OR PATENT APPLICATION

#### 1. NAMES OF PARTY(IES) MAKING TRANSFER

Name 1: XIAO-DONG XIANG

Name 2: CHEN GAO

Name 3: FRED DEUWER

Name 4: HAI TAO YANG

#### 2. IDENTITY OF PARTY(IES) TO WHOM TRANSFER IS MADE

This transfer is being made to:

Name: THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

Address: 1111 FRANKLIN STREET, 12<sup>TH</sup> FLOOR

OAKLAND, CA 94607-5200

#### 3. INTENTION OF DOCUMENT

A brief description of what the accompanying document intends to accomplish is that it is an:

☒ Assignment

☐ License

☐ Security Interest

☐ Other

12/07/2000 KZENBIE 00000027 09608311

04 FC:215

55.00 09

4. **IDENTIFICATION OF PATENT OR APPLICATION**

Particulars of the patent or application to which the accompanying document applies are:

Inventor(s): XIAO-DONG X ANG; CHEN GAO; FRED DEUWER; HAI TAO YANG

For (title): ANALYTICAL SCANNING EVANESCENT MICROWAVE MICROSCOPE AND  
CONTROL STAGE

(a) ☐ U S. patent application filed herewith.

(b) ☒ U S. patent application serial no. 09/608,311 filed on JUNE 30, 2000.

(c) ☐ U S. patent no.          issued         .

5. **NAME AND ADDRESS TO WHICH CORRESPONDENCE SHOULD BE MAILED**

Please address all correspondence and return the recorded document to:

John P. O'Banion  
O'BANION & RITCHEY LLP  
400 Capitol Mall, Suite 1550  
Sacramento, CA 95814

6. **NUMBER OF APPLICATIONS OR PATENTS IDENTIFIED AND TOTAL FEE DUE**

The number of applications or patents identified herein is: 1

Total Fee Due \$ 40.00

7. **DATE DOCUMENT WAS EXECUTED**

The accompanying document was executed on: OCTOBER 31, 2000.

8. **FEE PAYMENT**

Fee payment is provided for as follows:

☐ New Application transmittal (Item 18 - INCLUDED IN FILING FEE)

☒ Attached is a check in the sum of \$40.00

☒ Charge Account No. 07-1137 if any additional fee is due.

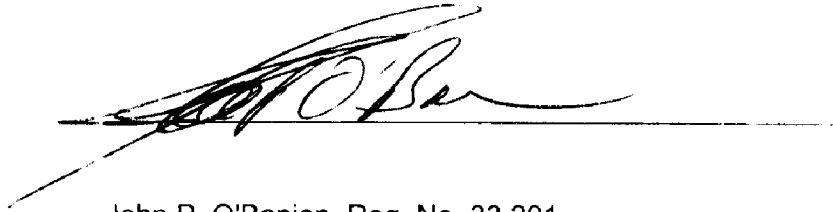
9. **STATEMENT OF AUTHENTICITY**

The undersigned certifies that, to the best of his or her knowledge and belief, the information contained in this cover sheet is true and correct, and any copy of the document submitted for recording is a true copy of the original document.

10. **SIGNATURE**

Dated: \_\_\_\_\_

*11/30/00*

A handwritten signature in black ink, appearing to read "John P. O'Banion", is written over a horizontal line.

John P. O'Banion, Reg. No. 33,201  
O'BANION & RITCHEY LLP  
400 Capitol Mall, Suite 1550  
Sacramento, CA 95814  
(916) 498-1010

## ASSIGNMENT

LBNL Case No. **IB-1506**

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S)

- 1. Xiao-Dong Xiang      2. Chen Gao**  
**3. Fred Deuwer        4. Hai Tao Yang**

hereby sells, assign(s) and transfer(s) to ASSIGNEE, The Regents of The University of California, a California Corporation, having its statewide administrative offices located at 1111 Franklin Street, 12<sup>TH</sup> Floor, Oakland, CA 94607-5200 and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

**“ANALYTICAL SCANNING EVANESCENT MICROWAVE MICROSCOPE AND CONTROL STAGE”**

which is found in:

- (a) ☐ U.S. \_\_\_\_\_ patent application executed on entitled as above and listing the above named persons as inventors
- (b) ☒ U.S. application serial no. **09/608,311** filed on **June 30, 2000**,
- (c) ☐ U.S. Patent No.: \_\_\_\_\_, issued \_\_\_\_\_

any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;


ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may necessary or desirable to carry out the purposes thereof. Any attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

The ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of The University of California.

Executed this:

Signature of Inventor(s)

29 day of Sept, 2000

  
\_\_\_\_\_  
Xiao-Dong Xiang

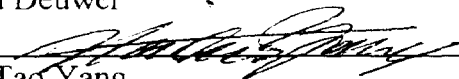
\_\_\_\_ day of \_\_\_\_\_, 2000

\_\_\_\_\_  
Chen Gao

\_\_\_\_ day of \_\_\_\_\_, 2000

\_\_\_\_\_  
Fred Deuwer

29 day of Sept., 2000

  
\_\_\_\_\_  
Hai Tao Yang

Rev. 9-00  
mlv

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| 3. <b>Fred Deuwer</b>     | 4. <b>Hai Tao Yang</b> |

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Signature of Inventor(s)

29 day of Sept, 2000

X 31 day of X Oct, 2000

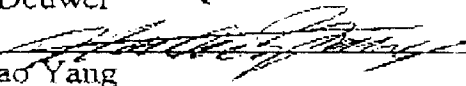
\_\_\_\_ day of \_\_\_\_\_, 2000

29 day of Sept, 2000

  
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Xiao-Dong Xiang

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Qian Gao

\_\_\_\_\_  
Fred Deuwer

  
\_\_\_\_\_  
Hai Tao Yang

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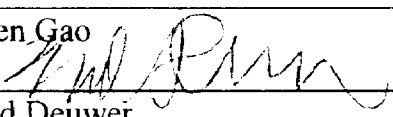


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Signature of Inventor(s)

\_\_\_\_ day of \_\_\_\_\_, 2000  
6 day of Oct, 2000  
\_\_\_\_ day of \_\_\_\_\_, 2000  
\_\_\_\_ day of \_\_\_\_\_, 2000

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Xiao-Dong Xiang  
\_\_\_\_\_  
Chen Gao  
  
\_\_\_\_\_  
Fred Deuwer  
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\_\_\_\_\_ day of \_\_\_\_\_, 2000

\_\_\_\_\_  
Chen Gao

\_\_\_\_\_ day of \_\_\_\_\_, 2000

\_\_\_\_\_  
Fred Deuwer

4<sup>th</sup> day of October, 2000

\_\_\_\_\_  
Hai Tao Yang

Rev. 9-00

mlv