

RI

12-15-2000

COVER SHEET



11.27.00

To the Honorable Commissioner of Patents and Trademarks

101552525

attached original documents or copy thereof.

<p>1. Name of conveying party(ies)</p> <p>1) Gerald Seinos 2) Daniel Dykhuizen 3) Benjamin J. Luft</p> <p>Additional names of conveying parties attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>2. Name and address of receiving party(ies)</p> <p>Name: <u>Research Foundation of the State University of New York</u></p> <p>Internal Address: _____</p> <p>Street Address: <u>N5002 Melville Library</u></p> <p>City: <u>Stony Brook</u> State: <u>NY</u> ZIP: <u>11794-3469</u></p> <p>Additional names & addresses attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
<p>3. Nature of conveyance:</p> <p><input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger</p> <p><input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name</p> <p><input type="checkbox"/> Other _____</p> <p>Execution Date: 1) 10/19/00 2) 11/7/00 3) 10/30/00</p>	

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

<p>A. Patent Application No.(s)</p> <p><u>09/596,746</u></p>	<p>B. Patent No.(s)</p>
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Additional numbers attached? ☐ Yes ☒ No

<p>5. Name and address of party to whom correspondence concerning document should be mailed:</p> <p>Name: <u>Richard W. Wagner, Esq.</u></p> <p>Internal Address: _____</p> <p><u>Hamilton, Brook, Smith & Reynolds, P.C.</u></p> <p>Street Address: <u>Two Militia Drive</u></p> <p>City: <u>Lexington</u> State: <u>MA</u> ZIP: <u>02421-4799</u></p>	<p>6. Total number of applications and patents involved: <u>[1]</u></p> <p>7. Total Fee (37 C.F.R. 3.41)..... \$ <u>40.00</u></p> <p><input checked="" type="checkbox"/> Enclosed</p> <p><input checked="" type="checkbox"/> Authorized to charge any deficiencies or credit any overpayment to deposit account</p> <p><input type="checkbox"/> Authorized to be charged to deposit account</p> <p>8. Deposit account number:</p> <p><u>08-0380</u></p> <p>(Attach duplicate copy of this page if paying by deposit account)</p>
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DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

<p>Sandra A. Brockman</p> <p>_____ Name of Person Signing</p>	<p><u><i>Sandra A. Brockman</i></u> Signature</p>	<p><u>November 21, 2000</u> Date</p>
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Total number of pages including cover sheet, attachments, and document: [7]

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PATENT
REEL: 011331 FRAME: 0052

Multi-JointASSIGNMENT

WHEREAS, we, **Gerald Seinost, Daniel Dykhuizen, and Benjamin J. Luft**, together with co-inventors **Raymond J. Dattwyler and Maria J. C. Gomes-Solecki**, have invented a certain improvement in **Groups of *Borrelia Burgdorferi* and *Borrelia Afzelii* that Cause Lyme Disease in Humans** described in an application for Letters Patent of the United States, the specification of which:

☐ is being executed on even date herewith and is about to be filed in the United States Patent Office;

☒ was filed on **June 19, 2000** as Application No. **09/596,746**;

☐ was patented under U.S. Patent No. ☐ on ☐.

WHEREAS, **Research Foundation of the State University of New York** (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the **State of New York**, and having a usual place of business at **N5002 Melville Library, Stony Brook, New York 11794-3369** desires to acquire an interest therein in accordance with agreements duly entered into with us;

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, our entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to said invention as described in said application, together with our entire right, title and interest in and to said application and such Letters Patent as may issue thereon; said invention, application and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made; we hereby convey all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent. We hereby acknowledge that this assignment, being of our entire right, title and interest in and to said invention, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all such Letters Patent to ASSIGNEE for its own name as assignee of the entire right, title and interest therein;

AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns and legal representatives, but at its or their expense and charges, including the execution of applications for patents in foreign countries, and the execution of substitution, reissue, divisional or continuation applications and preliminary or other statements and the giving

of testimony in any interference or other proceeding in which said invention or any application or patent directed thereto may be involved;

AND, we do hereby authorize and request the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said ASSIGNEE, its successors, assigns, and legal representatives.

IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals the date set forth below.

Inventor

Gerald Seinost

Date

10/19/00

Address

Kreuzgasse 20 3/18

A-8010 Gras, Austria

Witness

Herbert Jerebic

Date

10/19/00

Address

Maygasse 24

A 8040 GRAZ AUSTRIA

Witness

ERIK THALLER

Date

10/19/00

Address

170116 G 55

8020 GRAZ AUSTRIA

Inventor's Signature: _____

Daniel Dykhuizen

State/Commonwealth

of _____

County of _____

Then personally appeared before me the above-named **Daniel Dykhuizen** and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this _____ day of _____, 20____.

(SEAL)

Notary Public

(print name)

My Commission expires ____/____/____

Inventor's Signature: _____

Benjamin J. Luft

State/Commonwealth

of _____

County of _____

Then personally appeared before me the above-named **Benjamin J. Luft** and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this _____ day of _____, 20____.

(SEAL)

Notary Public

(print name)

My Commission expires ____/____/____

Multi-JointASSIGNMENT

WHEREAS, we, **Gerald Seinost, Daniel Dykhuizen, and Benjamin J. Luft**, together with co-inventors **Raymond J. Dattwyler and Maria J. C. Gomes-Solecki**, have invented a certain improvement in **Groups of *Borrelia Burgdorferi* and *Borrelia Afzelii* that Cause Lyme Disease in Humans** described in an application for Letters Patent of the United States, the specification of which:

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NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, our entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to said invention as described in said application, together with our entire right, title and interest in and to said application and such Letters Patent as may issue thereon: said invention, application and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made; we hereby convey all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent. We hereby acknowledge that this assignment, being of our entire right, title and interest in and to said invention, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all such Letters Patent to ASSIGNEE for its own name as assignee of the entire right, title and interest therein;

AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns and legal representatives, but at its or their expense and charges, including the execution of applications for patents in foreign countries, and the execution of substitution, reissue, divisional or continuation applications and preliminary or other statements and the giving

of testimony in any interference or other proceeding in which said invention or any application or patent directed thereto may be involved;

AND, we do hereby authorize and request the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said ASSIGNEE, its successors, assigns, and legal representatives.

IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals the date set forth below.

Inventor _____ Date _____

Gerald Seinost

Address Kreuzgasse 20 3 18

A-8010 Gras, Austria

Witness _____ Date _____

Address _____

Witness _____ Date _____

Address _____

Inventor's Signature: _____

⁻³⁻
Daniel Dykhuizen
Daniel Dykhuizen

State/Commonwealth

of New York

County of Suffolk

Then personally appeared before me the above-named **Daniel Dykhuizen** and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this 7th day of November, 2000.

(SEAL)

Marieann T. Bilbao Notary Public

Marieann T. Bilbao (print name)

My Commission expires 06 / 11 / 02

MARIEANN T. BILBAO
Notary Public, State of New York
No. 4968035
Qualified in Suffolk County
Commission Expires June 11, 2002

Inventor's Signature: _____

[Signature]
Benjamin J. Luft

State/Commonwealth

of New York

County of Suffolk

Then personally appeared before me the above-named **Benjamin J. Luft** and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this 30th day of October, 2000.

(SEAL)

Marilyn J. Bulitta Notary Public

MARILYN J. BULITTA (print name)

My Commission expires 9 / 30 / 02

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