

FORM PTO-1595 (Modified)  
(Rev. 6-93)  
OMB No. 0651-0011 (exp. 4/94)  
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12-15-2000

SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office



Y

11-20-00

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Tab settings

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1 Name of conveying party(ies):  
(1) Mohamad D. Shalati  
(2) Susana C. Steppan  
(3) Michael A. Mayo  
  
Additional names(s) of conveying party(ies)  Yes  No

2. Name and address of receiving party(ies):  
  
Name: PPG Industries Ohio, Inc.  
  
Internal Address:

3. Nature of conveyance:  
 Assignment  Merger  
 Security Agreement  Change of Name  
 Other  
  
Execution Date: (1) 11/16/2000; (2) & (3) 11/15/2000

Street Address: 3800 West 143rd Street  
  
City: Cleveland State: OH ZIP: 44111  
  
Additional name(s) & address(es) attached?  Yes  No

4. Application number(s) or registration numbers(s):  
If this document is being filed together with a new application, the execution date of the application is:  
  
A. Patent Application No.(s)  
  
09/605,451  
  
Additional numbers attached?  Yes  No

B. Patent No.(s)  
  
6. Total number of applications and patents involved: 1  
  
7. Total fee (37 CFR 3.41): \$ 40.00  
 Enclosed - Any excess or insufficiency should be credited or debited to deposit account  
 Authorized to be charged to deposit account

5. Name and address of party to whom correspondence concerning document should be mailed:  
  
Name: PPG Industries, Inc.  
  
Internal Address: Intellectual Property Department  
  
39th Floor  
  
Street Address: One PPG Place  
  
City: Pittsburgh State: PA ZIP: 15272

8. Deposit account number:  
  
16-2025

DO NOT USE THIS SPACE

9. Statement and signature.  
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.  
  
Deborah M. Altman  
  
Name of Person Signing

*Deborah M. Altman*

Signature

November 21, 2000

Date

Total number of pages including cover sheet, attachments, and document:

7

## ASSIGNMENT

IN CONSIDERATION of good and valuable considerations received from PPG INDUSTRIES OHIO, INC., a corporation of Delaware, having a place of business at Cleveland, Ohio, and intending to be legally bound hereby, we, **MOHAMAD D. SHALATI** of Louisville, County of Jefferson, and State of Kentucky; **SUSANNA C. STEPPAN** of Gibsonia, County of Allegheny, and State of Pennsylvania; and **MICHAEL A. MAYO** of Pittsburgh, County of Allegheny, and State of Pennsylvania, do hereby sell, assign and set over unto PPG INDUSTRIES OHIO, INC., the entire right, title and interest in and to our invention of improvements in

### **DUAL STABILIZED DISPERSIONS OF GELLED POLYMERIC MICROPARTICLES AND COATINGS PRODUCED THEREFROM**

as set forth in our application for Letters Patent of the United States of America, executed by MOHAMAD D. SHALATI on the 16th day of November, 2000; by SUSANA C. STEPPAN on the \_\_\_\_\_ day of \_\_\_\_\_, 2000; and by MICHAEL A. MAYO on the \_\_\_\_\_ day of \_\_\_\_\_, 2000, in and for the United States and all foreign countries, the same to be held and enjoyed by PPG INDUSTRIES OHIO, INC., its successors, assigns, or legal representatives, to the full ends of the terms for which all Letters Patent therefor may be granted, as fully and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made.

AND WE HEREBY AUTHORIZE PPG INDUSTRIES OHIO, INC., to make application for and to receive Letters Patent for said invention in any or all foreign countries in its own name, or in any name, at its election.

AND WE HEREBY COVENANT AND AGREE that we will execute or procure any further necessary assurance of the title to said invention and Letters Patent; and that we will at any time, upon the request and at the expense of PPG INDUSTRIES OHIO, INC., execute and deliver any and all papers that may be necessary or desirable to perfect the title to said invention or any Letters Patent which may be granted therefor, in PPG INDUSTRIES OHIO, INC., its successors, assigns, or other legal representatives, and that we will, at any time, upon the request and at the expense of PPG INDUSTRIES OHIO, INC., testify in any legal proceeding, sign all lawful papers, execute any additional, divisional, continuing or reissue

applications for patents for said invention, or any part or parts thereof, make all rightful oaths and generally do all lawful acts to aid PPG INDUSTRIES OHIO, INC., its successors, assigns, or other legal representatives to obtain and enforce patent protection on said invention in all countries, without further compensation, but at the expense of PPG INDUSTRIES OHIO, INC., its successors, assigns, or other legal representatives.

AND WE HEREBY AUTHORIZE AND REQUEST the Commissioner of Patents and Trademarks to issue any and all Letters Patent of the United States for said invention, or resulting from said application, or from any division, reissue, or extension thereof, to PPG INDUSTRIES OHIO, INC., as sole assignee.

IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals.



MOHAMAD D. SHALATI

STATE OF KENTUCKY                    )  
  )        ss  
County of Jefferson                    )

On this 16th day of November, 2000, appeared before me in person the above MOHAMAD D. SHALATI and acknowledged the above to be his signature, and that he signed, sealed and delivered the above instrument as his voluntary act and deed and for the purposes herein set forth.



Notary Public

Notary Public, State at Large, KY  
My commission expires Jan. 18, 2003

SUSANA C. STEPPAN

STATE OF PENNSYLVANIA )  
 )  
County of Allegheny ) ss

On this \_\_\_\_\_ day of \_\_\_\_\_, 2000, appeared before me in person the above SUSANA C. STEPPAN and acknowledged the above to be her signature, and that she signed, sealed and delivered the above instrument as her voluntary act and deed and for the purposes herein set forth.

\_\_\_\_\_  
Notary Public

MICHAEL A. MAYO

STATE OF PENNSYLVANIA )  
 )  
County of Allegheny ) ss

On this \_\_\_\_\_ day of \_\_\_\_\_, 2000, appeared before me in person the above MICHAEL A. MAYO and acknowledged the above to be his signature, and that he signed, sealed and delivered the above instrument as his voluntary act and deed and for the purposes herein set forth.

\_\_\_\_\_  
Notary Public

# ASSIGNMENT

IN CONSIDERATION of good and valuable considerations received from PPG INDUSTRIES OHIO, INC., a corporation of Delaware, having a place of business at Cleveland, Ohio, and intending to be legally bound hereby, we, **MOHAMAD D. SHALATI** of Louisville, County of Jefferson, and State of Kentucky; **SUSANNA C. STEPPAN** of Gibsonsia, County of Allegheny, and State of Pennsylvania; and **MICHAEL A. MAYO** of Pittsburgh, County of Allegheny, and State of Pennsylvania, do hereby sell, assign and set over unto PPG INDUSTRIES OHIO, INC., the entire right, title and interest in and to our invention of improvements in

## **DUAL STABILIZED DISPERSIONS OF GELLED POLYMERIC MICROPARTICLES AND COATINGS PRODUCED THEREFROM**

as set forth in our application for Letters Patent of the United States of America, executed by MOHAMAD D. SHALATI on the \_\_\_\_\_ day of \_\_\_\_\_, 2000; by SUSANA C. STEPPAN on the 15<sup>th</sup> day of November, 2000; and by MICHAEL A. MAYO on the 15<sup>th</sup> day of November, 2000, in and for the United States and all foreign countries, the same to be held and enjoyed by PPG INDUSTRIES OHIO, INC., its successors, assigns, or legal representatives, to the full ends of the terms for which all Letters Patent therefor may be granted, as fully and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made.

AND WE HEREBY AUTHORIZE PPG INDUSTRIES OHIO, INC., to make application for and to receive Letters Patent for said invention in any or all foreign countries in its own name, or in any name, at its election.

AND WE HEREBY COVENANT AND AGREE that we will execute or procure any further necessary assurance of the title to said invention and Letters Patent; and that we will at any time, upon the request and at the expense of PPG INDUSTRIES OHIO, INC., execute and deliver any and all papers that may be necessary or desirable to perfect the title to said invention or any Letters Patent which may be granted therefor, in PPG INDUSTRIES OHIO, INC., its successors, assigns, or other legal representatives, and that we will, at any time, upon the request and at the expense of PPG INDUSTRIES OHIO, INC., testify in any legal proceeding, sign all lawful papers, execute any additional, divisional, continuing or reissue

PATENT

REEL: 011331 FRAME: 0106

applications for patents for said invention, or any part or parts thereof, make all rightful oaths and generally do all lawful acts to aid PPG INDUSTRIES OHIO, INC., its successors, assigns, or other legal representatives to obtain and enforce patent protection on said invention in all countries, without further compensation, but at the expense of PPG INDUSTRIES OHIO, INC., its successors, assigns, or other legal representatives.

AND WE HEREBY AUTHORIZE AND REQUEST the Commissioner of Patents and Trademarks to issue any and all Letters Patent of the United States for said invention, or resulting from said application, or from any division, reissue, or extension thereof, to PPG INDUSTRIES OHIO, INC., as sole assignee.

IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals.

\_\_\_\_\_  
MOHAMAD D. SHALATI

STATE OF KENTUCKY                    )  
  )  
County of Jefferson                    )            ss

On this \_\_\_\_\_ day of \_\_\_\_\_, 2000, appeared before me in person the above MOHAMAD D. SHALATI and acknowledged the above to be his signature, and that he signed, sealed and delivered the above instrument as his voluntary act and deed and for the purposes herein set forth.

\_\_\_\_\_  
Notary Public



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	:	PATENT APPLICATION
Mohamad D. Shalati et al.	:	
Serial No.: 09/605,451	:	Group Art Unit: 1713
Filing Date: June 28, 2000	:	Attorney Docket No. 1511A1
For: DUAL STABILIZED DISPERSIONS OF GELLED POLYMERIC MICROPARTICLES AND COATINGS PRODUCED THEREFROM	:	

**COMMUNICATION**


Box Assignments  
Assistant Commissioner For Patents  
Washington, D.C. 20231

Sir:

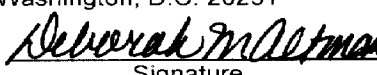
Enclosed herewith is an executed Assignment along with the required  
Recordation Form Cover Sheet (Form 1595).

Respectfully submitted,

DEBORAH M. ALTMAN, ESQ.  
Registration No. 42, 259  
Attorney for Applicant

  
(412) 434-2922

November 21, 2000  
Pittsburgh, Pennsylvania

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231	
on <u>November 21, 2000</u> Date	 Signature
Deborah M. Altman Typed or Printed Name of Person Signing Certificate	