

12-15-2000

Atty Ref/Docket No.: 103.023US1



11.27.00

To the Honorable Commissioner of Patents and Trade

101552632

documents or copy thereof.

1. Name of conveying party(ies):

2. Name and address of receiving party(ies):

Howard B. Cottam, Lorenzo M. Leoni and Dennis A. Carson

Name: The Regents of the University of California

Additional name(s) of conveying party(ies) attached? [ ] Yes [X] No

Street Address: 1111 Franklin Street, 12th Floor

3. Nature of conveyance:

[X] Assignment

[ ] Merger

[ ] Security Agreement

[ ] Change of Name

[ ] Other

City: Oakland State: CA ZIP: 94607-5200

Additional name(s) & address(es) attached? [ ] Yes [X] No

Execution Date: November 6, 2000

4. Application number(s) or patent number(s)

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

Serial No. 09/637,531, filed August 11, 2000

Additional numbers attached? [ ] Yes [X] No

5. Name and address of party to whom correspondence concerning document should be mailed:

6. Total number of applications and patents involved: 1

Name: William F. Prout

7. Total fee (37 CFR 3.41): \$ 40.00

Address: Schwegman, Lundberg, Woessner & Kluth, P.A.  
P.O. Box 2938  
Minneapolis, MN 55402

[X] Enclosed

[ ] Authorized to be charged to deposit account

8. Please charge any additional fees or credit any overpayments to our  
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9. Statement and signature.

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William F. Prout/Reg. No. 33,995

Name of Person Signing

*William F. Prout*  
Signature

November 11, 2000  
Date

Total number of pages including cover sheet: 1

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PATENT  
REEL: 011331 FRAME: 0747

## ASSIGNMENT

WHEREAS, WE, Howard B. Cottam, residing at 1890 Lendee Drive, Escondido, CA 92025, and Lorenzo M. Leoni, residing at 3977 Camino Calma, San Diego, CA 92122, and Dennis A. Carson, residing at 14824 Vista del Oceano, Del Mar, CA 92014, made certain new and useful inventions and improvements for which We filed an application for Letters Patent of the United States on August 11, 2000, which application was assigned U.S. patent application serial number 09/637,531, and is entitled INHIBITION OF p53-INDUCED STRESS RESPONSE.

AND WHEREAS, The Regents of the University of California, a corporation organized and existing under and by virtue of the laws of the State of California, and having an office and place of business at 1111 Franklin Street, 12th Floor, Oakland, CA 94607-5200, (hereinafter "Assignee") is desirous of acquiring the entire right, title and interest in and to said inventions, improvements and application and in and to the Letters Patent to be obtained therefore;

NOW, THEREFORE, to all whom it may concern, be it known that for good and valuable consideration, the receipt and sufficiency whereof is hereby acknowledged, we have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the said application, all divisions, continuations, continuations-in-part, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the said inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the said Assignee as the assignee of the entire right, title and interest in and to the same, for the use of the said Assignee, its successors and assigns.

AND, for the consideration aforesaid, we do hereby agree that we and our executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to us relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the said improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore we covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me/us and that full right to convey the same as herein expressed is possessed by us.

IN TESTIMONY WHEREOF, I have hereunto set my hand this 6 day of NOV, 2000

Howard B. Cottam  
Howard B. Cottam

STATE OF CA )  
 )ss.  
COUNTY OF SAN DIEGO )

On this 6 day of NOVEMBER, 2000 before me personally appeared Howard B. Cottam to  
me known and known to me to be the person described in and who executed the foregoing instrument,  
and he/she duly acknowledged to me that he/she executed the same for the uses and purposes therein  
set forth.

[SEAL]

Kristine M. Cole  
Notary Public



IN TESTIMONY WHEREOF, I have hereunto set my hand this 6 day of Nov, 2000.

Lorenzo M. Leoni  
Lorenzo M. Leoni

STATE OF CA )  
 )SS.  
COUNTY OF SAN DIEGO )

On this 6 day of NOVEMBER, 2000 before me personally appeared Lorenzo M. Leoni to  
me known and known to me to be the person described in and who executed the foregoing instrument  
and he/she duly acknowledged to me that he/she executed the same for the uses and purposes therein  
set forth.



Kristine M. Cole  
Notary Public

IN TESTIMONY WHEREOF, I have hereunto set my hand this 6 day of Nov, 2000.

Dennis A. Carson  
Dennis A. Carson

STATE OF CA )  
 )SS.  
COUNTY OF SAN DIEGO )

On this 6 day of NOVEMBER, 2000 before me personally appeared Dennis A. Carson to  
me known and known to me to be the person described in and who executed the foregoing instrument  
and he/she duly acknowledged to me that he/she executed the same for the uses and purposes therein  
set forth.



Kristine M. Cole  
Notary Public