

12-15-2000

ocket No.: 1129-186/7/9.1.1 US

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



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Tab settings → → →

11.27.00

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

MULLER, George W.
STIRLING, David L.
CHEN, Roger Shen-Chu

2. Name and address of receiving party(ies):

Name: Celgene Corporation

Internal Address:

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Street Address: 7 Powder Horn Drive

City: Warren

State: NJ

ZIP: 07059

Execution Date: 1/28/00, 2/4/00 and 1/28/00

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/634,061

09/633,908

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Bruce M. Collins

Internal Address: Mathews, Collins, Shepherd &

Gould, P.A.

Street Address: 10 Thanet Circle

Suite 306

City: Princeton

State: NJ

ZIP: 08540

6. Total number of applications and patents involved: 2

7. Total fee (37 CFR 3.41): \$ 80.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

13-2165

12/13/2000 6TON11 00000315 09631061

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Bruce M. Collins by Mary Kakefuda

Bruce M. Collins by Mary Kakefuda

November 21, 2000

Name of Person Signing

20,066

Signature 39,245

Date

Total number of pages including cover sheet, attachments, and document:

3

PATENT

REEL: 011331 FRAME: 0913

ASSIGNMENT

WHEREAS, George W. Muller, David I. Stirling and Roger Shen-Chu Chen as assignors have invented certain improvements in **SUBSTITUTED 2(2,6-DIOXOPIPERIDIN-3-YL)PHTHALIMIDES AND -1-OXOISOINDOLINES AND METHOD OF REDUCING TNF ALPHA LEVELS** for which an application for United States Letters Patent has been executed by them on **February 4, 1999** and whereas Celgene Corporation, 7 Powder Horn Drive, Warren, New Jersey 07059 as assignee, is desirous of acquiring all right, title and interest in and to said invention and any Letters Patent that may be granted therefor.

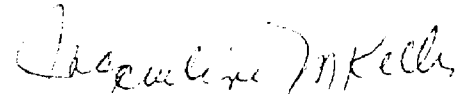
NOW, THEREFORE, in consideration of One Dollar(s) (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, We, as assignors hereby sell, assign and set over to said assignee the entire right, title and interest for the United States and all other countries in and to said invention and the aforesaid application for a Letters Patent, all original, divisional, continuation, substitute or reissue applications and patents applied for or granted therefor in the United States and all countries foreign, and the Commissioner of Patents is hereby authorized and requested to issue all patents on said improvements or resulting therefrom to said assignee herein, as assignee of the entire interest therein; and the undersigned for them and their legal representatives, heirs and assigns do hereby agree and covenant without further remuneration, to execute and deliver all divisional, continuation, reissue and other applications for Letters Patent on said improvements and all assignments thereof to said assignee or its assigns, to communicate to said assignee or its representative all facts known to the undersigned respecting said improvements, whenever requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid assignee, its successors, assigns and nominees to obtain patent protection for said improvements in all countries, the expenses incident to said applications to be borne and paid by said assignee.


Assignor: George W. Muller

State of N.J.) ss:

County of Somerset)

On this 28th day of January, 2000, before me personally came George W. Muller, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.


Notary Public

JACQUELINE M. KELLER
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires May 22, 2002
PATENT

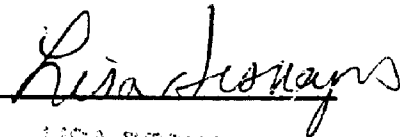
REEL: 011331 FRAME: 0914


Assignor: David I. Stirling

State of NJ) ss:

County of SOMERSET)

On this 1st day of FEBRUARY, 2000, before me personally came David I. Stirling, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

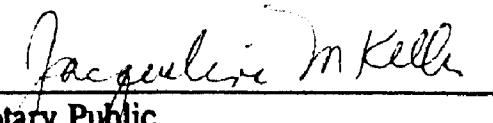

Notary Public
LISA DESROSIERS
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires April 24, 2001


Assignor: Roger Shen-Chu Chen

State of N.J.) ss:

County of Somerset)

On this 28th day of January, 2000, before me personally came Roger Shen-Chu Chen, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.


Notary Public

JACQUELINE M. KELLER
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires May 22, 2002