

12-21-2000

OMB No. 065-0011 (exp. 4/94)



To the Honorable Commissioner

101557523

checked original documents or copy thereof.

1. Name of conveying party(ies):

Société Nouvelle de Chimie Industrielle S.A.
and
Ciba Specialty Chemicals Holding Inc.

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

12-1-00

3. Nature of conveyance:

- ☒ **Assignments** ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: **August 22 and August 24, 2000**

2. Name and address of receiving party(ies)

Name: **Ciba Specialty Chemicals Corp**
Internal Address: **P.O. Box 2005**
Street Address: **540 White Plains Road**
City: **Tarrytown** State: **NY** ZIP: **10591**

Additional name(s) & address(es) attached? ☐ Yes ☒ No4. Application number(s) or Patent number(s): **U.S. 5,989,453 issued November 23, 1999**

If this document is being filed together with a new application, the execution date of the
application is: _____

A. Patent Application No.(s)

B. Patent No.(s) **U.S. 5,989,453 issued**
November 23, 1999

Additional numbers attached? ☐ Yes ☒ No5. Name and address of party to whom
correspondence concerning document should
be mailed:

Name: **JoAnn Villamizar**
Ciba Specialty Chemicals Corp.
Internal Address: **Patent Department**
P.O. Box 2005
Street Address: **540 White Plains Road**
City: **Tarrytown** State: **NY** ZIP: **10591**

6. Total number of applications and patents involved: ☐

7. Total fee (37 CFR 3.41).....\$40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account
and any other additional fees required.

8. Deposit account number:

03-1935

DO NOT USE THIS SPACE

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached
copy is a true copy of the original document.*

David R. Crichton**NOV 28 2000**

Name of Person Signing
Reg. No. 37,300

Signature

☐ 4 Date

Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments, Washington, D.C. 20231-27 6/97

PATENT**REEL: 011333 FRAME: 0689**

ASSIGNMENT

WHEREAS, **Société Nouvelle de Chimie Industrielle S.A.**, a French corporation, of 74490 Saint-Jeoire-en-Faucigny, France, (hereinafter "Assignor") is the owner of an undivided one-half interest, by assignment and/or operation of law, of

United States Patent No. 5,989,453, issued November 23, 1999; and

WHEREAS, **Ciba Specialty Chemicals Corporation**, a Delaware corporation, of 540 White Plains Road, Tarrytown NY 10591, USA, (hereinafter "Assignee") is desirous of acquiring ownership of the undivided one-half interest of said patent and the invention covered thereby:

NOW THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, Assignor, acting through its legal representatives, does hereby assign, sell and transfer to Assignee, its successors, assigns and legal representatives, all right, title and interest in said inventions and patent applications and any divisions, reissues, continuations, continuations-in-part, and extensions thereof, for the United States and its territorial possessions, the same to be held and enjoyed by the Assignee for its use and enjoyment, and for the use and enjoyment of its successors, assigns or other legal representatives, as fully and entirely as the same would have been held and enjoyed by the Assignor, if this assignment and sale had not been made.

IN WITNESS WHEREOF, Assignor has caused this Assignment to be duly executed this 22 day of August 2000.

Société Nouvelle de Chimie Industrielle S.A.

By: Christian Sylvester
Title: General Manager

Société Nouvelle de Chimie Industrielle
74490 SAINT-JEOIRE-EN-FAUCIGNY
Tél: 04 50 35 95 20
Télex 385 613 F
Télécopie 04 50 35 97 01

ASSIGNMENT

WHEREAS, **Ciba Specialty Chemicals Holding Inc.**, a Swiss corporation, of Klybeckstrasse 141, 4057 Basel, Switzerland, (hereinafter "Assignor") is the owner of an undivided one-half interest, by assignment and/or operation of law, of


United States Patent No. 5,989,453, issued November 23, 1999; and

WHEREAS, **Ciba Specialty Chemicals Corporation**, a Delaware corporation, of 540 White Plains Road, Tarrytown, NY 10591, USA, (hereinafter "Assignee") is desirous of acquiring ownership of the undivided one-half interest of said patent and the invention covered thereby:

NOW THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, Assignor, acting through its legal representatives, does hereby assign, sell and transfer to Assignee, its successors, assigns and legal representatives, all right, title and interest in said inventions and patent applications and any divisions, reissues, continuations, continuations-in-part, and extensions thereof, for the United States and its territorial possessions, the same to be held and enjoyed by the Assignee for its use and enjoyment, and for the use and enjoyment of its successors, assigns or other legal representatives, as fully and entirely as the same would have been held and enjoyed by the Assignor, if this assignment and sale had not been made.

IN WITNESS WHEREOF, Assignor has caused this Assignment to be duly executed this 24th day of November 2000.

Ciba Specialty Chemicals Holding Inc.



By:	Hans-Peter Wittlin	Dr. Walter Kleewein
Title	Patent Administrator	Head of Patent Administration