

FORM PTO-1619A  
Expires 06/30/99  
OMB 0851 0027

U.S. Department of Commerce  
Patent and Trademark Office  
**PATENT**

RECORDATION FORM COVER SHEET  
PATENTS ONLY

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

☒ New

☐ Resubmission (Non-Recordation)

☐ Correction of PTO Error

☐ Corrective Document

Document ID#

Reel # Frame #

Reel # Frame #

Conveyance Type

☐ Assignment ☐ Security Agreement

☐ License ☒ Change of Name

☐ Merger ☐ Other

U.S. Government  
(For Use ONLY by U.S. Government Agencies)

☐ Departmental File ☐ Secret File

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Name (line 1) DB Riley, Inc.

Name (line 2)

Second Party

Name (line 1)

Name (line 2)

Execution Date  
Month Day Year

10 13 00

Execution Date  
Month Day Year

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) Babcock Borsig Power, Inc.

Name (line 2)

Address (line 1) 5 Neponset Street

Address (line 2)

Address (line 3) Worcester Massachusetts 01606

City State/Country Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

FOR OFFICE USE ONLY

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0851-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0851-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

FORM PTO-1619B  
Expires 06/30/99  
OMB 0651-0027

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U.S. Department of Commerce  
Patent and Trademark Office  
PATENT

Correspondent Name and Address

Area Code and Telephone Number 847-509-3720

Name Joseph Krieger

Address (line 1) Mason, Kolehmainen, Rathburn & Wyss

Address (line 2) 853 Sanders Road, #330

Address (line 3) Northbrook, Illinois 60062

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

# 4

Application Number(s) or Patent Number(s)

☒ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

6,058,855

5,730,088

5,722,354

5,685,240

5,623,884

5,593,131

5,460,206

5,417,564

5,392,720

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT PCT PCT

PCT PCT PCT

Number of Properties

Enter the total number of properties involved.

# 15

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 600.00

Method of Payment: Deposit Account

Enclosed Deposit Account ☒

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number: # 13-2100

Authorization to charge additional fees: Yes ☒ No

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Joseph Krieger

Name of Person Signing



Signature

February 27, 2001

Date

<div>FORM PTO-1619C Expires 06/30/99 OMB 0651-0027</div>		<div>RECORDATION FORM COVER SHEET CONTINUATION PATENTS ONLY</div>		<div>U.S. Department of Commerce Patent and Trademark Office PATENT</div>	
<div>Conveying Party(ies) Enter additional Conveying Parties</div>				<div><input type="checkbox"/> Mark if additional names of conveying parties attached</div>	
Name (line 1)				Execution Date Month Day Year	
Name (line 2)					
Name (line 1)				Execution Date Month Day Year	
Name (line 2)					
Name (line 1)				Execution Date Month Day Year	
Name (line 2)					
<div>Receiving Party(ies) Enter additional Receiving Party(ies)</div>				<div><input type="checkbox"/> Mark if additional names of receiving parties attached</div>	
Name (line 1)				<input type="checkbox"/> If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)	
Name (line 2)					
Address (line 1)					
Address (line 2)					
Address (line 3)		City	State/Country	Zip Code	
Name (line 1)				<input type="checkbox"/> If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)	
Name (line 2)					
Address (line 1)					
Address (line 2)					
Address (line 3)		City	State/Country	Zip Code	
<div>Application Number(s) or Patent Number(s) Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).</div>				<div><input type="checkbox"/> Mark if additional numbers attached</div>	
Patent Application Number(s)			Patent Number(s)		
			5,271,674	5,161,471	5,123,480
			4,744,311	4,517,904	4,457,241

FEDERAL IDENTIFICATION  
NO. 04-1774910**The Commonwealth of Massachusetts**

William Francis Galvin  
Secretary of the Commonwealth  
One Ashburton Place, Boston, Massachusetts 02108-1512

**ARTICLES OF AMENDMENT**  
(General Laws, Chapter 156B, Section 72)

We, J.J. Halloran, President, Vice-President,  
and J.S. Brantl, Clerk / Assistant Clerk,  
of DB Riley, Inc.  
(Exact name of corporation)

located at 5 Norcross Street, Worcester, MA 01613  
(Street address of corporation in Massachusetts)

certify that these Articles of Amendment affecting articles numbered:

One (1)

(Number those articles 1, 2, 3, 4, 5 and/or 6 being amended)

of the Articles of Organization were duly adopted at a meeting held on October 13, 2000, by vote of:

365,114 shares of Common of 365,114 shares outstanding,  
(type, class & series, if any)

           shares of            of            shares outstanding, and  
(type, class & series, if any)

           shares of            of            shares outstanding,  
(type, class & series, if any)

being at least a majority of each type, class or series outstanding and entitled to vote thereon; ~~or~~ <sup>being at least one-third of each type, class or series outstanding and entitled to vote thereon and of each type, class or series of which whose rights are adversely affected thereby.</sup>

<sup>1</sup>Delete the inapplicable words.

<sup>2</sup>Delete the inapplicable clause.

<sup>3</sup>For amendments adopted pursuant to Chapter 156B, Section 70.

<sup>4</sup>For amendments adopted pursuant to Chapter 156B, Section 71.

Notes: If the space provided under any article or clause in this form is insufficient, additions shall be set forth on one side only of separate 8 1/2 x 11 sheets of paper with a left margin of at least 1 inch. Additions in more than one article may be made on a single sheet so long as each article requiring such addition is clearly indicated.

F.C.

MASS - 80000 CT STATE CHIEF

624-1913

PATENT  
REEL: 011333 FRAME: 0790

NOT APPLICABLE

To change the number of shares and the par value (if any) of any type, class or series of stock which the corporation is authorized to issue, fill in the following:

The total presently authorized is:

WITHOUT PAR VALUE STOCKS		WITH PAR VALUE STOCKS		
TYPE	NUMBER OF SHARES	TYPE	NUMBER OF SHARES	PAR VALUE
Common:		Common:		
Preferred:		Preferred:		

Change the total authorized to:

WITHOUT PAR VALUE STOCKS		WITH PAR VALUE STOCKS		
TYPE	NUMBER OF SHARES	TYPE	NUMBER OF SHARES	PAR VALUE
Common:		Common:		
Preferred:		Preferred:		

1. The name by which the corporation shall be known is:  
Babcock Borsig Power, Inc.

The foregoing amendment(s) will become effective when these Articles of Amendment are filed in accordance with General Laws, Chapter 156B, Section 6 unless these articles specify, in accordance with the vote adopting the amendment, a later effective date not more than sixty days after such filing, in which event the amendment will become effective on such later date.

Last effective date: Not Applicable.

SIGNED UNDER THE PENALTIES OF PERJURY, this 13th day of October, 2000.

John J. Halloran, Treasurer / John J. Halloran  
John S. Brant, Clerk / John S. Brant

\*Delete the inappropriate words

PATENT

041022 FRAME: 0792

725060

## THE COMMONWEALTH OF MASSACHUSETTS

## ARTICLES OF AMENDMENT

(General Laws, Chapter 156B, Section 72)

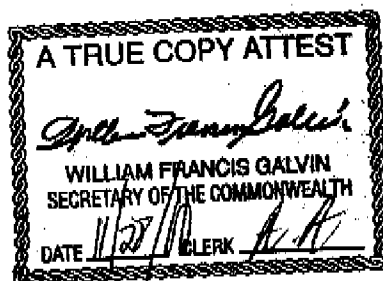
I hereby approve the within Articles of Amendment and, the filing fee in the amount of \$ 100 having been paid, said articles are deemed to have been filed with me this 17th day of OCTOBER 20 00

Effective date: \_\_\_\_\_



WILLIAM FRANCIS GALVIN

Secretary of the Commonwealth



SECRETARY OF THE  
COMMONWEALTH  
2000 OCT 17 11 56 AM '00  
CORPORATION DIVISION

TO BE FILLED IN BY CORPORATION

Photocopy of document to be sent to:

CT Corporation System

101 Federal Street, Suite 300

Boston, MA 02110