

12-19-2000



Case Name: SP00-071

To the Honorable Commiss.

101555554

SHEET

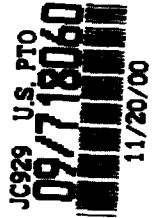
Must retain the attached documents or copy thereof.

(1) Conveying Party(ies): Daniel W. Hawtof  
Joseph M. Whalen

Title: Method And Apparatus To Collect Soot For Melts

Additional name(s) of conveying party(ies) attached? Yes ☐ No ☒

(2) Receiving Party: Corning Incorporated  
Patent Dept.; SP-TI-03-1  
Corning, NY 14831



11-20-00

(3) Nature of conveyance: Assignment executed: 11-16-00 and 11-17-00.

(4) Application number(s) and/or patent number(s) against which this assignment is to be recorded:

A. Patent Application No.(s): 60/187,755  
Filing date: March 8, 2000

B. Patent No.(s):  
Filing date:

09/718060

If this document is being filed together with a new application, this assignment is also to be recorded against said application, executed on \_\_\_\_.

(5) Name and address of party to whom correspondence concerning document should be mailed:

Name: Philip G. Alden  
Reg. No. 32,189  
Corning Incorporated  
Patent Department  
SP-TI-03-1  
Corning, NY 14831  
Phone No.: (607) 974-8803

(6) Total Number of applications and/or patents involved: one

(7) Total Fee = \$40.00

- ☐ Enclosed (Check No. )  
☐ Included with filing fee. Check No. \_\_\_\_.  
☒ Authorization given by Corning Incorporated to charge deposit account number  
03-3325 (attached is a triplicate copy of this page)

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Philip G. Alden

11/20/00  
Date

Total number of pages comprising cover sheet: 3

12/19/2000 NTHAI1 00000286 033325 09718060

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**ASSIGNMENT**

FOR VALUE RECEIVED, I, as a below named inventor, hereby sell, assign and transfer unto Corning Incorporated, a corporation organized and existing under the laws of the State of New York, having its principal place of business at Corning, New York, (hereinafter CORNING), as assignee, and unto its successors, assigns and legal representatives, the entire right, title and interest, for all countries, in and to certain inventions relating to a **Method And Apparatus To Collect Soot For Melts**, such inventions being generally described in an application for Letters Patent of the United States executed on

*DATE 11-16-00*

*DATE 11-17-00*

and in any future patent applications claiming the benefit of the filing date of that application, and all the rights and privileges under any and all Letters Patents that may be granted therefore.

I request that any and all patents for said inventions be issued to CORNING, its successors, assigns and legal representatives, or to such nominees as CORNING may designate.

I agree that, when requested, I will, without charge to CORNING and at CORNING's expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in CORNING, its successors, assigns and legal representatives or nominees.

I authorize and empower CORNING, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for said Convention or entered as a supplement to, and to invoke and claim such right of priority without further written or oral authorization.

I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of CORNING, or its successors, assigns and legal representatives or nominees to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it or entered as a supplement to it.

I covenant with CORNING, its successors, assigns and legal representatives or nominees, that the rights and property herein conveyed are free and clear of any encumbrance, and that I have full right to convey the same as herein expressed.

Signed at Corning, NY, this

11/16/00

Date

11/17/00

Date

Daniel W. Hawtof  
Daniel W. Hawtof

Joseph M. Whalen  
Joseph M. Whalen

State of New York)

: ss.

County of Steuben)

On the 16<sup>th</sup> day of November 2000, before me personally came **Daniel W. Hawtof** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

Michelle N. O'Dell  
Notary Public

NOTARY PUBLIC  
Notary Public  
State of New York  
Term Expires 5/31/2002

State of New York)

: ss.

County of Steuben)

On the 17<sup>th</sup> day of November 2000, before me personally came **Joseph M. Whalen** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

Michelle N. O'Dell  
Notary Public

NOTARY PUBLIC  
Notary Public  
State of New York  
Term Expires 5/31/2002