

12-19-2000



OVER SHEET  
LY

101555201

To the Honorable Commis.

ie attached original documents or copy thereof.

1. Name of conveying party(ies):

1. P. K. Chidambaran
2. Thomas A. Hoch
3. John Patrick Jones
4. Andrew A. Long
5. Prasasth A. Palnati
6. Ronald M. Parker
7. Raymond J. Schmidt

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: 1. November 9, 2000  
2. November 13, 2000  
3. November 4, 2000  
4. November 1, 2000  
5. November 13, 2000  
6. November 8, 2000  
7. November 13, 2000

2. Name and address of receiving party(ies):

Name: **Lucent Technologies Inc.**

Internal Address: \_\_\_\_\_

Street Address: **600 Mountain Avenue, P. O. Box 636**

City: **Murray Hill** State: **NJ** Zip: **07974-0636**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

JOE25 U.S. PTO  
09/11/97

11/14/00

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is November 14, 2000

A. Patent Application No.(s)

B. Patent No. (s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Docket Administrator**

Internal Address: **Room 3C-512**

Street Address: **600 Mountain Avenue**

**P. O. Box 636**

City: **Murray Hill**, State: **New Jersey**, Zip: **07974-0636**

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ \$40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number: **12-2325**

(Attach duplicate copy of this page if paying by deposit account)

12/19/2000 MTHAI1 00000314 122325 09711997

DO NOT USE THIS SPACE

01 FC:581 40.00 CH

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

**Matthew J. Hodulik, - Reg. No. 36164**

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: **9**

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D. C. 20231

P. K. Chidambaran 1-1-1-1-1-1

PATENT  
REEL: 011339 FRAME: 0351

## ASSIGNMENT AND AGREEMENT

For value received, we, P K Chidambaran, of Santa Clara in the County of Santa Clara and the State of California, and Thomas A Hoch, of Boxborough in the County of Middlesex and the State of Massachusetts, and John Patrick Jones, of Westford in the County of Middlesex and the State of Massachusetts, and Andrew A Long, of Northborough in the County of Worcester and the State of Massachusetts, and Prasasth R Palnati, of Westford in the County of Middlesex and the State of Massachusetts, and Ronald M Parker of Boxboro in the County of Middlesex and the State of Massachusetts, and Raymond J Schmidt, of Stoughton in the County of Norfolk and the State of Massachusetts, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey, 07974-0636, U.S.A. and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **APPARATUS AND METHOD FOR REDUNDANCY OF PROCESSING MODULES INTERFACED TO A SWITCHING CORE** described in an application for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

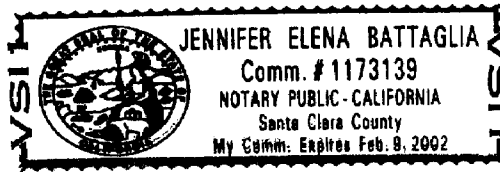
We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. may designate.

We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

Chidambaran  
P K Chidambaran

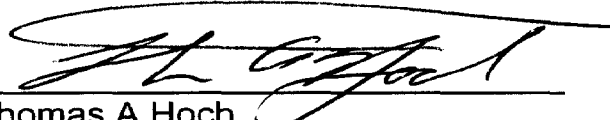
Date: 11/09/2000

United States of America )  
State of California ) ss.:  
County of Santa Clara



On this 9 day of November, 2000, before me personally came, P K Chidambaran to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Jennifer Elena Battaglia  
Notary Public

  
Thomas A Hoch

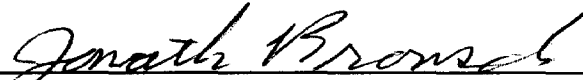
Date: Nov. 13<sup>th</sup> 2000

United States of America )

State of Massachusetts ) ss.:

County of Middlesex )

On this 13<sup>th</sup> day of November, 20 00, before me personally came, Thomas A Hoch to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

  
Notary Public

JONATHAN BRONSDON  
Notary Public, Massachusetts  
My Commission Expires December 16, 2005

  
\_\_\_\_\_  
John Patrick Jones

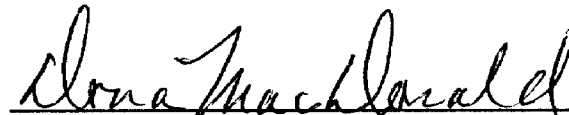
Date: 11/4/00

United States of America )

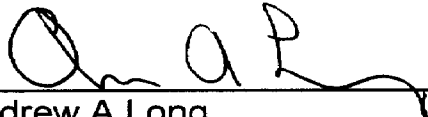
State of Massachusetts ) ss.:

County of Middlesex )

On this 4 day of November, 20 00, before me personally came, John Patrick Jones to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

  
\_\_\_\_\_  
Notary Public

My Commission Expires: 12/24/04

  
\_\_\_\_\_  
Andrew A Long

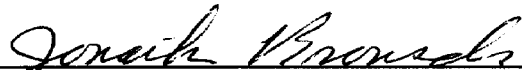
Date: 11-1-2000

United States of America )

State of Massachusetts ) ss.:

County of Middlesex )

On this 1st day of November, 2000, before me personally came, Andrew A Long to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

  
\_\_\_\_\_  
Notary Public

JONATHAN BRONSDON  
Notary Public, Massachusetts  
My Commission Expires December 16, 2005

Prasasth R Palnati

Prasasth R Palnati

Date: NOVEMBER 13, 2000

United States of America )

State of Massachusetts ) ss.:

County of Middlesex )

On this 13th day of November, 2000, before me personally came, Prasasth R Palnati to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Jonathan Bronsdon  
Notary Public

JONATHAN BRONSDON  
Notary Public, Massachusetts  
My Commission Expires December 16, 2005

Ronald M Parker  
Ronald M. Parker

Date: Nov 8, 2000

United States of America )

State of Massachusetts ) ss.:

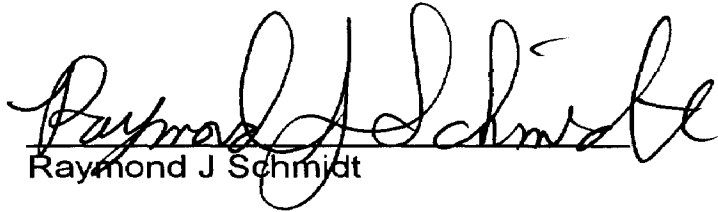
County of Middlesex )

On this 8<sup>th</sup> day of November, 2000, before me personally came, Ronald M Parker to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Brenda A Conroy  
Notary Public

My commission expires  
May 15, 2003



  
Raymond J Schmidt

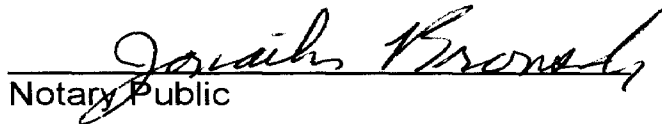
Date: 11/13/00

United States of America )

State of Massachusetts ) ss.:

County of Middlesex )

On this 13th day of November, 20 00, before me personally came, Raymond J Schmidt to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

  
Notary Public

JONATHAN BRONSDON  
Notary Public, Massachusetts  
My Commission Expires December 16, 2005

Lucent Technologies Inc.  
600 Mountain Avenue (Room 3C-512)  
P. O. Box 636  
Murray Hill, New Jersey 07974-0636