

12-19-2000

Docket No.: POU919980096US2



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U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

101555273

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

**William S. Cadden**  
**Rong S. Lee**  
**Oystein Skudal**

Additional names(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: **August 4, 1998**

2. Name and address of receiving party(ies):

Name: **International Business Machines Corporation**

Address: **New Orchard Road**

City: **Armonk** State/Prov.: **N.Y.**

Country: **U.S.A.** ZIP: **10504**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

JC893 U.S. PTO  
09/724413  
11/28/00

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: **August 4, 1998**

Patent Application No. \_\_\_\_\_ Filing date \_\_\_\_\_

B. Patent No.(s) \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Kevin P. Radigan, Esq.**

Registration No. **31,789**

Address: **HESLIN & ROTHENBERG, P.C.**

**5 Columbia Circle**

City: **Albany** State/Prov.: **N.Y.**

Country: **U.S.A.** ZIP: **12203**

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41):.....\$ **40.00**

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☒ Authorized to be charged to deposit account

8. Deposit account number:

**09-0463**

12/20/2000 NTHA11 00000051 090463 09724413

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

**Kevin P. Radigan**

Name of Person Signing

*Kevin P. Radigan*

Signature

**November 28, 2000**

Date

Total number of pages including cover sheet, attachments, and document:

**3**

PATENT  
REEL: 011339 FRAME: 0545

## A S S I G N M E N T

Whereas, we

- |     |                                       |  |
|-----|---------------------------------------|--|
| (1) | William S. Cadden<br>County of Ulster | of Saugerties<br>and State of New York |
| (2) | Rong S. Lee<br>County of Dutchess     | of Staatsburg<br>and State of New York |
| (3) | Oystein Skudal                        | And<br>of Stavanger<br>Norway          |

have invented certain improvements in **MULTI-VOLUME, WRITE-BEHIND DATA STORAGE IN A DISTRIBUTED PROCESSING SYSTEM**

and executed a United States Patent Application therefor on

- (1) August 4, 1998,      (2) August 4, 1998  
(3) \_\_\_\_\_

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York, 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and the invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patents granted upon the invention set forth in said application to IBM, its successors and assigns; and I hereby agree that IBM may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

## Signed

(1) at Poughkeepsie, NY  
on August 4, 1998

William S. Cadden  
William S. Cadden

(2) at Poughkeepsie, NY  
on August 4, 1998

Rong S. Lee  
Rong S. Lee  
R.S.L.

(3) at \_\_\_\_\_  
on \_\_\_\_\_

\_\_\_\_\_  
Oystein Skudal

