

12-20-2000

Docket No.: FIS920000268US1

FORM PTO-1595 (Modified)
(Rev. 6-93)
OMB No. 0651-0011 (exp. 4/94)
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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

101556383

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Ulrike Gruening
Thomas S. Rupp

Ulrike Gruening
11/29/00

2. Name and address of receiving party(ies):

Name: Infineon Technologies North America Corp.

Internal Address:

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Street Address: 1730 North First Street

City: San Jose

State: CA

ZIP: 95112

Execution Date: 11/27/2000; 11/28/2000

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s)

If this document is being filed together with a new application, the execution date of the application is: 11/27; 11/28/2000

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Eric W. Petraske

Internal Address: Intellectual Property Law Department

International Business Machines Corporation

Dept. 18G / Bldg. 300-482

Street Address: 2070 Route 52

6. Total number of applications and patents involved

1

7. Total fee (37 CFR 3.41): \$ 40.00

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

8. Deposit account number:

09-0458 FI-561

City: Hopewell Junction

State: NY

ZIP: 12533

12/20/2000 NTM11 00000380 090458 09725412

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Eric W. Petraske, Reg. No. 28,459

Name of Person Signing

Signature

November 29, 2000

Date

Total number of pages including cover sheet, attachments, and document:

4

PATENT

REEL: 011340 FRAME: 0441

Jc925 U.S. PTO

09/725412

11/29/00

Docket No. FIS9-2000-0268-US1**ASSIGNMENT**

WHEREAS, we

- (1) Ulrike Gruening of Raintalerstr. 36, 81539 Munich, Germany
and
(2) Thomas S. Rupp of 109 Sunny Lane, Stormville, New York 12582

have invented certain improvements in

**EMBEDDED VERTICAL DRAM CELLS
AND DUAL WORKFUNCTION LOGIC GATES**

and executed a United States patent application therefor on:
(date that Inventor signed the declaration)

- (1) 27 Nov 2000, 2000; (2) _____, 2000.

Whereas, INFINEON TECHNOLOGIES NORTH AMERICA CORP., having a place of business at San Jose, California 95112, (hereinafter called Assignee), desires to acquire the entire, right, title and interest in the said application and invention and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign and transfer to Assignee, its successors and assigns, the entire right, title and interest in the said application and the invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to Assignee, its successors and assigns; and I hereby agree that Assignee may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by Assignee.

Signed

- (1) at Dresden, Germany
on 27 Nov, 2000

Ulrike Gruening
Ulrike Gruening

- (2) at East Fishkill, NY
on _____, 2000

Thomas S. Rupp

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Docket No. FIS9-2000-0268-US1

ASSIGNMENT

WHEREAS, we

- (1) Ulrike Gruening of Raintalerstr. 36, 81539 Munich, Germany
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EMBEDDED VERTICAL DRAM CELLS AND DUAL WORKFUNCTION LOGIC GATES

and executed a United States patent application therefor on:
(date that Inventor signed the declaration)

- (1) _____, 2000; (2) 11/28/2000, 2000.

Whereas, INFINEON TECHNOLOGIES NORTH AMERICA CORP., having a place of business at San Jose, California 95112, (hereinafter called Assignee), desires to acquire the entire, right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign and transfer to Assignee, its successors and assigns, the entire right, title and interest in the said application and the invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to Assignee, its successors and assigns; and I hereby agree that Assignee may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by Assignee.

Signed

- (1) at Dresden, Germany
on _____, 2000.

Ulrike Gruening

- (2) at Villach, Austria
~~East Fishkill, NY~~
on 11/28/2000, 2000.

Thomas S. Rupp
Thomas S. Rupp

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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Applicant(s): **Ulrike Gruening, et al.**

Docket No.

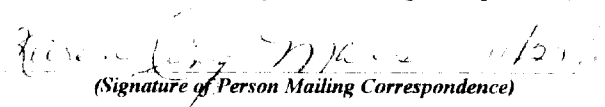
FIS920000268US1Serial No.
not assigned yetFiling Date
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not assigned yetGroup Art Unit
not assigned yet

Invention:

EMBEDDED VERTICAL DRAM CELLS AND DUAL WORKFUNCTION LOGIC GATESjc825 U.S. P10
09/725412
11/29/00I hereby certify that this **Infineon Assignment for Recordation***(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under
37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231

on **November 29, 2000**
(Date)

Karen Cinq-Mars*(Typed or Printed Name of Person Mailing Correspondence)*
*(Signature of Person Mailing Correspondence)***EK140407930US***("Express Mail" Mailing Label Number)*

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