

U.S. Department of Commerce Patent and Trademark Office PATENT

2 8 2000 G						
RECORDATION FORM COVER SHEET PATENTS ONLY						
TO: The Commissioner of Patents and Trademark		ent(s) or copy(ies).				
Submission Type 11-38-00   Conveyance Type						
X New Security Agreement						
Resubmission (Non-Recordation) Document ID#	License Change of Name	•				
Correction of PTO Error Reel # Frame #	Merger Other					
Corrective Document	U.S. Government (For Use ONLY by U.S. Government A	gencies)				
Reel # Frame #	Departmental File	Secret File				
Conveying Party(ies)	X Mark if additional names of conveying parties	attached Execution Date  Month Day Year				
Name (line 1) Schulman, Joel		07/26/200				
Name (line 2)		Execution Date				
Second Party		Month Day Year				
Name (line 1) Chow, David		06/26/200				
Name (line 2)						
Receiving Party	Mark if additional names of re	ceiving parties attached				
Name (line 1) HRL Laboratories, LLC		If document to be recorded is an assignment and the				
Name (line 2) a limited liability company of Delaware receiving party is not domiciled in the United States, an appointment						
Address (line 1) 3011 Malibu Canyon Road of a domestic representative is attached.						
3011 Mariou Caryon read		(Designation must be a separate document from				
Address (line 2)		Assignment.)				
Address (line 3) Malibu	California 90265-47 State/Country Zip Co	* *				
City State/Country Zip Code  Domestic Representative Name and Address  Enter for the first Receiving Party only.						
Name						
Address (line 1)						
Address (line 2)						
Address (line 3)						
Address (line 4)						
FOR OFFICE USE ONLY						
40E						
400 (44)						

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (9651-9027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to: Commissioner of Patents and Trademarks, Box Assignments , Washington, D.C. 20231

FORM PTO Expires 06/30/99 OMB 0651-0027	)-1619B	Page 2	U.S. Department of Commerce Patent and Trademark Office PATENT	
Correspondent Name and Address  Area Code and Telephone Number (310) 589-8158				
Name	Cary Tope-McKay			
Address (line 1)	23852 Pacific Coast Highway #311			
Address (line 2)	Malibu, CA 90265			
Address (line 3)				
Address (line 4)				
Pages	Enter the total number of pagincluding any attachments.	ges of the attached conveyance documen	# 4	
• •	Number(s) or Patent Num	·	tional numbers attached	
		atent Number (DO NOT ENTER BOTH numbers for		
<u></u>	ent Application Number(s)	Patent N	umber(s)	
09/427196				
	s being filed together with a <u>new</u> Paten st named executing inventor.	nt Application, enter the date the patent application v	vas Month Day Year	
Patent Coop	peration Treaty (PCT)			
_	er PCT application number	PCT PCT	PCT	
	rif a U.S. Application Number	PCT PCT	PCT	
has	not been assigned.			
Number of Properties  Enter the total number of properties involved. #				
Fee Amount	Fee Amount fo	or Properties Listed (37 CFR 3.41): \$	40.00	
	of Payment: Enclo	sed X Deposit Account		
(Enter for	payment by deposit account or if addit	tional fees can be charged to the account.) eposit Account Number: #		
	А	uthorization to charge additional fees:	es No	
Statement a	nd Signature			
To the best of my knowledge and belief, the foregoing information is true and correct and any				
attached copy is a true copy of the original document. Charges to deposit account are authorized, as				
indica	ted herein.		16-1	
Cary T	Горе-МсКау		2000	

Signature

Name of Person Signing

PATENT REEL: 011340 FRAME: 0744

Date

FORM PTO-16 Expires 06/30/99 OMB 0651-0027	RECORDATION FORM COVER SHEET CONTINUATION PATENTS ONLY	ET	U.S. Department of Commerce Patent and Trademark Office PATENT			
Conveying Pa	Walk if additional fiames of conveys	ng parties attached	Execution Date Month Day Year  10/03/2000			
Name (line 2)			Execution Date  Month Day Year			
Name (line 1)		12.1.11.12.11.11.11.11.11.11.11.11.11.11				
Name (line 2)			Execution Date			
Name (line 1)			Month Day Year			
Name (line 2)						
Receiving Par	- · · · · · · · · · · · · · · · · · · ·	es of receiving par	rties attached			
Enter additional R	eceiving Party(ies)		If document to be recorded			
Name (line 1)			is an assignment and the receiving party is not			
Name (line 2)			domiciled in the United States, an appointment of a domestic representative			
Address (line 1)			is attached. (Designation must be a separate			
Address (line 2)			document from Assignment.)			
Address (line 3)	City State/Country	Zip C	Code			
Name (line 1)			If document to be recorded is an assignment and the			
Name (line 2)			receiving party is not domiciled in the United States, an appointment of a			
Address (line 1)			domestic representative is attached. (Designation must be a separate document from			
Address (line 2)			Assignment.)			
Address (line 3)	City State/Country	Zij	o Code			
Application Number(s) or Patent Number(s) Mark if additional numbers attached						
	Patent Application Number or the Patent Number (DO NOT ENTER BOTI					
Pa	tent Application Number(s)	Patent Nur	linei(2)			
		] [				

Attorney Docket: HRL002 HRL Docket: 980403

Invention Title: INTEGRATED ASYMMETRIC RESONANT TUNNELING DIODE PAIR CIRCUIT

## ASSIGNMENT

WHEREAS, I, Joel N. Schulman of 1832 Lookout Road, Malibu, California 91320, United States of America (hereinafter "Assignor") have invented certain new and useful improvements in **INTEGRATED ASYMMETRIC RESONANT TUNNELING DIODE PAIR CIRCUIT** (hereinafter "Invention") for which an application for a United States Patent was filed on October 25, 1999, Application Number 09/427196 and/or for which Assignors are making or have made a foreign application for intellectual or industrial property protection under the appropriate body of law corresponding to that foreign jurisdiction.

#### AND

WHEREAS, HRL Laboratories, LLC, a limited liability company organized and existing under the laws of the State of Delaware, United States of America and having a place of business at 3011 Malibu Canyon Road, Malibu, California, United States of America, (hereinafter "Assignee"), is desirous of acquiring the entire right, title, and interest in the Invention within the United States of America and its territorial possessions, and in all foreign countries in which intellectual or industrial property protection may be granted therefor.

NOW, THEREFORE, for good and valuable consideration, the receipt whereof is hereby acknowledged, I, the Assignor desire to and do hereby assign, sell, and transfer unto Assignee the full and exclusive right to the Invention in the United States of America and its territorial possessions and in all foreign countries, as well as, the entire right, title and interest in and to any and all intellectual or industrial property rights including, but not limited to, Patents, designs, utility models, and inventor certificates which may be granted therefore in the United States of America and its territorial possessions and in all foreign countries, I hereby authorize and request the U.S. Patent Offices and the equivalent offices at foreign countries, to issue to the Assignee, any and all applicable intellectual or industrial property rights in the Invention, for the Assignee's sole use and benefit; and for the use and benefit of his legal representatives, to the full end of the term for which United States and foreign Patents or the like may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

I further covenant and agree with the Assignee that I have a full and unencumbered title to the invention hereby assigned, which title I warrant unto the Assignee, and I further agree that I will, without demanding any further consideration therefore, at the request and at the expense of the Assignee, do all lawful and just acts, including the execution and acknowledgment of instruments, that may be or become necessary for obtaining, sustaining, extending, reissuing or reexamining United States and foreign Patents or the like for the Invention, and for maintaing and perfecting the Assignee's right to the Invention and any and all intellectual or industrial property rights in it, particularly in cases of interference, conflict, opposition and litigation.

IN TESTIMONY WHEREOF, I have hereunto set my hand.

Executed this 26 day of July ,2000, at Mathe A

Doel M John burg (Signature)

Page 1 of 1 6/21/00 HRL002-Schulman

Attorney Docket: HRL002 HRL Docket: 980403

Invention Title: INTEGRATED ASYMMETRIC RESONANT TUNNELING DIODE PAIR CIRCUIT

# **ASSIGNMENT**

WHEREAS, I, Yi-Ming Xie of 1520 A Pershing Drive, San Francisco, California 94129, United States of America (hereinafter "Assignor") have invented certain new and useful improvements in INTEGRATED ASYMMETRIC RESONANT TUNNELING DIODE PAIR CIRCUIT (hereinafter "Invention") for which an application for a United States Patent was filed on October 25, 1999, Application Number 09/427196 and/or for which Assignors are making or have made a foreign application for intellectual or industrial property protection under the appropriate body of law corresponding to that foreign jurisdiction.

### AND

WHEREAS, HRL Laboratories, LLC, a limited liability company organized and existing under the laws of the State of Delaware, United States of America and having a place of business at 3011 Malibu Canyon Road, Malibu, California, United States of America, (hereinafter "Assignee"), is desirous of acquiring the entire right, title, and interest in the Invention within the United States of America and its territorial possessions, and in all foreign countries in which intellectual or industrial property protection may be granted therefor.

NOW, THEREFORE, for good and valuable consideration, the receipt whereof is hereby acknowledged, I, the Assignor desire to and do hereby assign, sell, and transfer unto Assignee the full and exclusive right to the Invention in the United States of America and its territorial possessions and in all foreign countries, as well as, the entire right, title and interest in and to any and all intellectual or industrial property rights including, but not limited to, Patents, designs, utility models, and inventor certificates which may be granted therefore in the United States of America and its territorial possessions and in all foreign countries, I hereby authorize and request the U.S. Patent Offices and the equivalent offices at foreign countries, to issue to the Assignee, any and all applicable intellectual or industrial property rights in the Invention, for the Assignee's sole use and benefit; and for the use and benefit of his legal representatives, to the full end of the term for which United States and foreign Patents or the like may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

I further covenant and agree with the Assignee that I have a full and unencumbered title to the invention hereby assigned, which title I warrant unto the Assignee, and I further agree that I will, without demanding any further consideration therefore, at the request and at the expense of the Assignee, do all lawful and just acts, including the execution and acknowledgment of instruments, that may be or become necessary for obtaining, sustaining, extending, reissuing or reexamining United States and foreign Patents or the like for the Invention, and for maintaing and perfecting the Assignee's right to the Invention and any and all intellectual or industrial property rights in it, particularly in cases of interference, conflict, opposition and litigation.

IN TESTIMONY WHEREOF, I have hereunto set my hand.

Executed this 3rd day of October, 2000, at 1520 Pershing Drive \*A San Francisco CA 94129

Cianatural

Page 1 of 1 6/21/00 HRL002-Xie

Attorney Docket: HRL002 HRL Docket: 980403

Invention Title: INTEGRATED ASYMMETRIC RESONANT TUNNELING DIODE PAIR CIRCUIT

## **ASSIGNMENT**

WHEREAS, I, David H. Chow of 3940 Greenwood Street, Newbury Park, California 91320, United States of America (hereinafter "Assignor") have invented certain new and useful improvements in INTEGRATED ASYMMETRIC RESONANT TUNNELING DIODE PAIR CIRCUIT (hereinafter "Invention") for which an application for a United States Patent was filed on October 25, 1999, Application Number 09/427196 and/or for which Assignors are making or have made a foreign application for intellectual or industrial property protection under the appropriate body of law corresponding to that foreign jurisdiction.

#### AND

WHEREAS, HRL Laboratories, LLC, a limited liability company organized and existing under the laws of the State of Delaware, United States of America and having a place of business at 3011 Malibu Canyon Road, Malibu, California. United States of America, (hereinafter "Assignee"), is desirous of acquiring the entire right, title, and interest in the Invention within the United States of America and its territorial possessions, and in all foreign countries in which intellectual or industrial property protection may be granted therefor.

NOW, THEREFORE, for good and valuable consideration, the receipt whereof is hereby acknowledged, I, the Assignor desire to and do hereby assign, sell, and transfer unto Assignee the full and exclusive right to the Invention in the United States of America and its territorial possessions and in all foreign countries, as well as, the entire right, title and interest in and to any and all intellectual or industrial property rights including, but not limited to, Patents, designs, utility models, and inventor certificates which may be granted therefore in the United States of America and its territorial possessions and in all foreign countries, I hereby authorize and request the U.S. Patent Offices and the equivalent offices at foreign countries, to issue to the Assignee, any and all applicable intellectual or industrial property rights in the Invention, for the Assignee's sole use and benefit; and for the use and benefit of his legal representatives, to the full end of the term for which United States and foreign Patents or the like may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

I further covenant and agree with the Assignee that I have a full and unencumbered title to the invention hereby assigned, which title I warrant unto the Assignee, and I further agree that I will, without demanding any further consideration therefore, at the request and at the expense of the Assignee, do all lawful and just acts, including the execution and acknowledgment of instruments, that may be or become necessary for obtaining, sustaining, extending, reissuing or reexamining United States and foreign Patents or the like for the Invention, and for maintaing and perfecting the Assignee's right to the Invention and any and all intellectual or industrial property rights in it, particularly in cases of interference, conflict, opposition and litigation.

IN TESTIMONY WHEREOF, I have hereunto set my hand.

**RECORDED: 11/28/2000** 

Executed this 26 day of June, 2000, at HRL Laborationes

Page 1 of 1 6/21/00 HRL002-Chow