

MED  
11/29/00

EF 409652645W

In place of Form PTO-1595

12-21-2000

ocket No. AUS9-2000-0489-US1

09/726014



PT

101558199

To the Assistant Commissioner for Patents: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

David William Cole,  
Stephen William Nikolaichuk,  
Tedrick Neal Northway,  
Paul David Peterson,  
Alan Paul Pickersgill, and  
Chris Edward Terry

2. Name and address of receiving party(ies):

International Business Machines Corporation  
New Orchard Road  
Armonk, New York 10504

JC825 U.S. PTO  
09/726014  
11/29/00

3. Nature of conveyance:

Assignment

Execution Date: 11/28/00, 11/29/00, 11/21/00, 11/28/00, 11/23/00, 11/28/00

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is 11/28/00, 11/29/00, 11/21/00, 11/28/00, 11/23/00, 11/28/00

A. Patent Application No. (s)

B. Patent No. (s)

5. Name and address of party to whom correspondence concerning document should be mailed:

Davis A. Mims, Jr.  
IBM Corporation  
Intellectual Property Law  
Internal Zip 4054  
11400 Burnet Road  
Austin, TX 78758  
(512) 823-0950

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

Authorized to be charged to deposit account

8. Deposit account number:

50-0629

12/20/2000 NTH:11 00000437 500629 09726014  
01 FC:561 40.00 CH

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David A. Mims, Jr.  
Name of Person Signing

David Mims, Jr.  
Signature

11/29/00  
Date

Total number of pages including cover sheet: 5

**A S S I G N M E N T**

Whereas, we,

(1) David William Cole of Austin,  
County of Travis and State of Texas,

and  
(2) Stephen William Nikolaichuk of Georgetown,  
County of Williamson and State of Texas,

and  
(3) Tedrick Neal Northway of Wood River,  
County of Madison and State of Illinois

and  
(4) Paul David Peterson of Round Rock,  
County of Williamson and State of Texas

and  
(5) Alan Paul Pickersgill of Gosport,  
County of Hampshire United Kingdom

and  
(6) Chris Edward Terry of Round Rock,  
County of Williamson and State of Texas,

have invented certain improvements in

**Business Systems Management: Realizing End-to-End Enterprise Systems Management Solution**

and executed, respectively, a United States patent application therefore on (Dates Inventors Signed Declaration) (1) November 28, 2000, 2000, and (2) November 29, 2000 and (3) November 21, 2000, and (4) November 26, 2000, and (5) \_\_\_\_\_, 2000, and (6) NOVEMBER 28<sup>th</sup>, 2000.

C.T. NOVEMBER  
Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefore;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

(1) Signed at Austin on November 28, 2000.

D. W. Cole  
David William Cole

and (2) Signed at Austin on November 29, 2000.

HW  
Stephen William Nekolaichuk

and (3) Signed at Wood River, Ill. on November 21, 2000.

Tedrick Neal Northway  
Tedrick Neal Northway

and (4) Signed at Austin, TX on November 28<sup>th</sup>, 2000.

Paul David Peterson  
Paul David Peterson

and (5) Signed at \_\_\_\_\_ on \_\_\_\_\_, 2000.

\_\_\_\_\_  
Alan Paul Pickersgill

and (6) Signed at Austin on NOVEMBER 28, 2000.

Chris Edward Terry  
Chris Edward Terry

## A S S I G N M E N T

Whereas, we,

- (1) David William Cole  
County of Travis of Austin,  
and State of Texas,
- and
- (2) Stephen William Nikolaichuk  
County of Williamson of Georgetown,  
and State of Texas,
- and
- (3) Tedrick Neal Northway  
County of Madison of Wood River,  
and State of Illinois
- and
- (4) Paul David Peterson  
County of Williamson of Round Rock,  
and State of Texas
- and
- (5) Alan Paul Pickersgill  
County of Hampshire of Gosport,  
United Kingdom
- and
- (6) Chris Edward Terry  
County of Williamson of Round Rock,  
and State of Texas,

have invented certain improvements in

Business Systems Management: Realizing End-to-End Enterprise Systems  
Management Solution

and executed, respectively, a United States patent application therefore on  
(Dates Inventors Signed Declaration) (1) \_\_\_\_\_, 2000, and (2)  
\_\_\_\_\_, 2000 and (3) \_\_\_\_\_, 2000, and (4)  
\_\_\_\_\_, 2000, and (5) 23 NOVEMBER, 2000, and (6)  
\_\_\_\_\_, 2000.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of  
New York, having a place of business at Armonk, New York 10504, (hereinafter  
called IBM), desires to acquire the entire right, title and interest in the  
said application and invention, and to any United States and foreign patents  
to be obtained therefore;

Now therefore, for a valuable consideration, receipt whereof is hereby  
acknowledged, we, the above named, hereby sell, assign, and transfer to IBM,  
its successors and assigns, the entire right, title and interest in the said  
application and invention therein disclosed for the United States and foreign  
countries, and all rights of priority resulting from the filing of said United  
States application, and we request the Commissioner of Patents to issue any  
Letters Patent granted upon the invention set forth in said application to  
IBM, its successors and assigns; and we hereby agree that IBM may apply for  
foreign Letters Patent on said invention and we will execute all papers  
necessary in connection with the United States and foreign applications when  
called upon to do so by IBM.

Page 1

(1) Signed at \_\_\_\_\_ on \_\_\_\_\_, 2000.

\_\_\_\_\_  
David William Cole

and (2) Signed at \_\_\_\_\_ on \_\_\_\_\_, 2000.

\_\_\_\_\_  
Stephen William Nikolaichuk

and (3) Signed at \_\_\_\_\_ on \_\_\_\_\_, 2000.

\_\_\_\_\_  
Tedrick Neal Northway

and (4) Signed at \_\_\_\_\_ on \_\_\_\_\_, 2000.

\_\_\_\_\_  
Paul David Peterson

and (5) Signed at <sup>GOSPORT</sup>~~HAMPSTEAD~~ on 23<sup>RD</sup> NOVEMBER, 2000

  
\_\_\_\_\_  
Alan Paul Pickersgill

and (6) Signed at \_\_\_\_\_ on \_\_\_\_\_, 2000.

\_\_\_\_\_  
Chris Edward Terry