

12-22-2000

Docket No.: 2079.1039-009

RECC



HEET

101559738

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies)

1. Kevin Doyle
2. Paul Rafferty
3. Robert Steele
4. Allyson Turner
5. David Wilkins
6. Lee Arnold

*MRD*  
*12.7.00*

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: BASF Aktiengesellschaft

Internal Address: \_\_\_\_\_

Street Address: D-67056 Ludwigshafen

City: Rheinland-Pfalz State: Germany ZIP: \_\_\_\_\_

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Other \_\_\_\_\_

Execution Date: 1. 11/17/00; 2. 11/14/00; 3. 11/17/00;  
4. 11/16/00; 5. 11/20/00; 6. 11/27/00

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

09/689,943

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Richard W. Wagner, Esq.

Internal Address: \_\_\_\_\_

Hamilton, Brook, Smith & Reynolds, P.C.

Street Address: Two Militia Drive

City: Lexington State: MA ZIP: 02421-4799

6. Total number of applications and patents involved: [1]

7. Total Fee (37 C.F.R. 3.41)..... \$ 40.00

☒ Enclosed

☒ Authorized to charge any deficiencies or credit any overpayment to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

08-0380

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

12/21/2000 NTHAI1

00000184 09689943

Theresa A. Devlin

01 FC:581

Name of Person Signing

40.00.00

*Theresa A. Devlin*  
Signature

*12/7/00*  
Date

Total number of pages including cover sheet, attachments, and document: [26]

JointASSIGNMENT

WHEREAS, we, **Kevin Doyle, Paul Rafferty, Robert Steele, Allyson Turner, David Wilkins and Lee Arnold**, have invented a certain improvement in **THERAPEUTIC AGENTS** described in an application for Letters Patent of the United States, the specification of which:

☐ is being executed on even date herewith and is about to be filed in the United States Patent Office;

☒ was filed on **October 12, 2000** as Application No. **09/689,943**;

☐ was patented under U.S. Patent No. ☐ on ☐.

WHEREAS, BASF Aktiengesellschaft (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of Germany, and having a usual place of business at D-67056 Ludwigshafen, Rheinland-Pfalz, Germany desires to acquire an interest therein in accordance with agreements duly entered into with us;

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, the entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to said invention as described in said application, together with the entire right, title and interest in and to said application and such Letters Patent as may issue thereon; said invention, application and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made; we hereby convey all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent. We hereby acknowledge that this assignment, being of the entire right, title and interest in and to said invention, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all such Letters Patent to ASSIGNEE for its own name as assignee of the entire right, title and interest therein;

AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns and legal representatives, but at its or their expense and charges, including the execution of applications for patents in foreign countries, and the execution of substitution, reissue, divisional or continuation applications and preliminary or other statements and the giving of testimony in any interference or other proceeding in which said invention or any application or patent directed thereto may be involved;

AND, we do hereby authorize and request the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said ASSIGNEE, its successors, assigns, and legal representatives.

IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals the date set forth below.

Inventor



Date

17/11/00

Kevin Doyle

Address Pennyfoot Street

Nottingham, NG1 1GF England

Witness

SUSAN BILLINGTON

Date

17/11/00

Address

PENNYFOOT STREET

NOTTINGHAM, NG1 1GF, ENGLAND

Witness

CHRISTOPHER ROCKLEY

Date

17.11.00

Address

PENNYFOOT STREET

NOTTINGHAM NG1 1GF, ENGLAND

Inventor's Signature: \_\_\_\_\_

**Paul Rafferty**

State/Commonwealth

of \_\_\_\_\_

County of \_\_\_\_\_

Then personally appeared before me the above-named **Paul Rafferty** and acknowledged that he executed the foregoing instrument as his free act and deed this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

(SEAL)

\_\_\_\_\_  
Notary Public

\_\_\_\_\_  
(print name)

My Commission expires \_\_\_\_/\_\_\_\_/\_\_\_\_

\_\_\_\_\_  
Inventor \_\_\_\_\_ Date \_\_\_\_\_

**Robert Steele**

Address Pennyfoot Street

Nottingham, NG1 1GF England

Witness \_\_\_\_\_ Date \_\_\_\_\_

Address \_\_\_\_\_

Witness \_\_\_\_\_ Date \_\_\_\_\_

Address \_\_\_\_\_

Inventor \_\_\_\_\_ Date \_\_\_\_\_  
**Allyson Turner**

Address Pennyfoot Street  
Nottingham, NG1 1GF England

Witness \_\_\_\_\_ Date \_\_\_\_\_

Address \_\_\_\_\_

\_\_\_\_\_

Witness \_\_\_\_\_ Date \_\_\_\_\_

Address \_\_\_\_\_

\_\_\_\_\_

Inventor \_\_\_\_\_ Date \_\_\_\_\_  
**David Wilkins**

Address Pennyfoot Street  
Nottingham, NG1 1GF England

Witness \_\_\_\_\_ Date \_\_\_\_\_

Address \_\_\_\_\_

\_\_\_\_\_

Witness \_\_\_\_\_ Date \_\_\_\_\_

Address \_\_\_\_\_

\_\_\_\_\_

Inventor's Signature: \_\_\_\_\_  
**Lee Arnold**

State/Commonwealth \_\_\_\_\_  
of \_\_\_\_\_  
County of \_\_\_\_\_

Then personally appeared before me the above-named **Lee Arnold** and acknowledged that he  
executed the foregoing instrument as his free act and deed this \_\_\_\_\_ day of  
\_\_\_\_\_, 20\_\_\_\_\_.

(SEAL) \_\_\_\_\_ Notary Public  
\_\_\_\_\_  
\_\_\_\_\_  
(print name)  
My Commission expires \_\_\_\_/\_\_\_\_/\_\_\_\_

JointASSIGNMENT

WHEREAS, we, **Kevin Doyle, Paul Rafferty, Robert Steele, Allyson Turner, David Wilkins and Lee Arnold**, have invented a certain improvement in **THERAPEUTIC AGENTS** described in an application for Letters Patent of the United States, the specification of which:

- ☐ is being executed on even date herewith and is about to be filed in the United States Patent Office;
- ☒ was filed on **October 12, 2000** as Application No. **09/689,943**;
- ☐ was patented under U.S. Patent No. ☐ on ☐.

WHEREAS, BASF Aktiengesellschaft (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of Germany, and having a usual place of business at D-67056 Ludwigshafen, Rheinland-Pfalz, Germany desires to acquire an interest therein in accordance with agreements duly entered into with us;

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, the entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to said invention as described in said application, together with the entire right, title and interest in and to said application and such Letters Patent as may issue thereon; said invention, application and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made; we hereby convey all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent. We hereby acknowledge that this assignment, being of the entire right, title and interest in and to said invention, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all such Letters Patent to ASSIGNEE for its own name as assignee of the entire right, title and interest therein;

AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns and legal representatives, but at its or their expense and charges, including the execution of applications for patents in foreign countries, and the execution of substitution, reissue, divisional or continuation applications and preliminary or other statements and the giving of testimony in any interference or other proceeding in which said invention or any application or patent directed thereto may be involved;

IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals the date set forth below.

Address Pennyfoot Street  
Nottingham, NG1 1GF England

Address \_\_\_\_\_

Address \_\_\_\_\_



Inventor's Signature: P-1 92  
**Paul Rafferty**

State/Commonwealth  
of Massachusetts  
County of Worcester

Then personally appeared before me the above-named **Paul Rafferty** and acknowledged that he executed the foregoing instrument as his free act and deed this 14th day of November, 2000.

(SEAL)

Gayle Birek O'Brien Notary Public

Gayle Birek O'Brien (print name)

My Commission expires 07/20/01

Inventor \_\_\_\_\_ Date \_\_\_\_\_  
**Robert Steele**

Address Pennyfoot Street  
Nottingham, NG1 1GF England

Witness \_\_\_\_\_ Date \_\_\_\_\_

Address \_\_\_\_\_

Witness \_\_\_\_\_ Date \_\_\_\_\_

Address \_\_\_\_\_

Inventor \_\_\_\_\_ Date \_\_\_\_\_

**Allyson Turner**

Address Pennyfoot Street

Nottingham, NG1 1GF England

Witness \_\_\_\_\_ Date \_\_\_\_\_

Address \_\_\_\_\_

Witness \_\_\_\_\_ Date \_\_\_\_\_

Address \_\_\_\_\_

Inventor \_\_\_\_\_ Date \_\_\_\_\_

**David Wilkins**

Address Pennyfoot Street

Nottingham, NG1 1GF England

Witness \_\_\_\_\_ Date \_\_\_\_\_

Address \_\_\_\_\_

Witness \_\_\_\_\_ Date \_\_\_\_\_

Address \_\_\_\_\_

Inventor's Signature: \_\_\_\_\_

Lee D. Arnold

**Lee Arnold**

State/Commonwealth

of Massachusetts

County of Worcester

Then personally appeared before me the above-named **Lee Arnold** and acknowledged that he executed the foregoing instrument as his free act and deed this 27th day of November, 2000.

(SEAL)

Gayle Buck O'Brien

Notary Public

Gayle Buck O'Brien

(print name)

My Commission expires 07 / 20 / 01

JointASSIGNMENT

WHEREAS, we, **Kevin Doyle, Paul Rafferty, Robert Steele, Allyson Turner, David Wilkins and Lee Arnold**, have invented a certain improvement in **THERAPEUTIC AGENTS** described in an application for Letters Patent of the United States, the specification of which:

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Address Pennyfoot Street  
Nottingham, NG1 1GF England

Witness \_\_\_\_\_ Date \_\_\_\_\_

Address \_\_\_\_\_

Witness \_\_\_\_\_ Date \_\_\_\_\_

Address \_\_\_\_\_

Inventor's Signature: \_\_\_\_\_

**Paul Rafferty**

State/Commonwealth \_\_\_\_\_

of \_\_\_\_\_

County of \_\_\_\_\_

Then personally appeared before me the above-named **Paul Rafferty** and acknowledged that he executed the foregoing instrument as his free act and deed this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

(SEAL)

Notary Public

(print name)

My Commission expires \_\_\_\_/\_\_\_\_/\_\_\_\_

Inventor *R. W. Steele* Date 17-NOV-2000

**Robert Steele**

Address Pennyfoot Street

Nottingham, NG1 1GF England

Witness *L. S. J. J.* Date 17/11/00

Address Pennyfoot Street

Nottingham, NG1 1GF England

Witness *M. J. J.* Date 17.11.00

Address Pennyfoot St.

Nottingham, NG1 1GF England

Inventor \_\_\_\_\_ Date \_\_\_\_\_

**Allyson Turner**

Address Pennyfoot Street

Nottingham, NG1 1GF England

Witness \_\_\_\_\_ Date \_\_\_\_\_

Address \_\_\_\_\_

\_\_\_\_\_

Witness \_\_\_\_\_ Date \_\_\_\_\_

Address \_\_\_\_\_

\_\_\_\_\_

Inventor \_\_\_\_\_ Date \_\_\_\_\_

**David Wilkins**

Address Pennyfoot Street

Nottingham, NG1 1GF England

Witness \_\_\_\_\_ Date \_\_\_\_\_

Address \_\_\_\_\_

\_\_\_\_\_

Witness \_\_\_\_\_ Date \_\_\_\_\_

Address \_\_\_\_\_

\_\_\_\_\_

Inventor's Signature: \_\_\_\_\_

**Lee Arnold**

State/Commonwealth

of \_\_\_\_\_

County of \_\_\_\_\_

Then personally appeared before me the above-named **Lee Arnold** and acknowledged that he executed the foregoing instrument as his free act and deed this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

(SEAL)

\_\_\_\_\_  
Notary Public

\_\_\_\_\_  
(print name)

My Commission expires \_\_\_\_/\_\_\_\_/\_\_\_\_



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IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals the date set forth below.

---

---

Inventor \_\_\_\_\_ Date \_\_\_\_\_

**Kevin Doyle**

Address Pennyfoot Street

Nottingham, NG1 1GF England

Witness \_\_\_\_\_ Date \_\_\_\_\_

Address \_\_\_\_\_

\_\_\_\_\_

Witness \_\_\_\_\_ Date \_\_\_\_\_

Address \_\_\_\_\_

\_\_\_\_\_

Inventor's Signature: \_\_\_\_\_

**Paul Rafferty**

State/Commonwealth

of \_\_\_\_\_

County of \_\_\_\_\_

Then personally appeared before me the above-named **Paul Rafferty** and acknowledged that he executed the foregoing instrument as his free act and deed this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

(SEAL)

\_\_\_\_\_  
Notary Public

\_\_\_\_\_  
(print name)

My Commission expires \_\_\_\_/\_\_\_\_/\_\_\_\_

Inventor \_\_\_\_\_ Date \_\_\_\_\_

**Robert Steele**

Address Pennyfoot Street

Nottingham, NG1 1GF England

Witness \_\_\_\_\_ Date \_\_\_\_\_

Address \_\_\_\_\_

Witness \_\_\_\_\_ Date \_\_\_\_\_

Address \_\_\_\_\_

Inventor A. Turner Date 16-11-2000  
**Allyson Turner**

Address Pennyfoot Street  
Nottingham, NG1 1GF England

Witness S. J. J. J. Date 16-11-2000

Address Pennyfoot Street  
Nottingham NG1 1GF England

Witness Gerard Thomas Date 16-11-2000

Address Pennyfoot Street Nottingham NG1 1GF  
England

Inventor \_\_\_\_\_ Date \_\_\_\_\_  
**David Wilkins**

Address Pennyfoot Street  
Nottingham, NG1 1GF England

Witness \_\_\_\_\_ Date \_\_\_\_\_

Address \_\_\_\_\_

Witness \_\_\_\_\_ Date \_\_\_\_\_

Address \_\_\_\_\_

Inventor's Signature: \_\_\_\_\_

**Lee Arnold**

State/Commonwealth

of \_\_\_\_\_

County of \_\_\_\_\_

Then personally appeared before me the above-named **Lee Arnold** and acknowledged that he executed the foregoing instrument as his free act and deed this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

(SEAL)

\_\_\_\_\_  
Notary Public

\_\_\_\_\_  
(print name)

My Commission expires \_\_\_\_/\_\_\_\_/\_\_\_\_

JointASSIGNMENT

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IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals the date set forth below.

Address \_\_\_\_\_

Inventor's Signature: \_\_\_\_\_

**Paul Rafferty**

State/Commonwealth

of \_\_\_\_\_

County of \_\_\_\_\_

Then personally appeared before me the above-named **Paul Rafferty** and acknowledged that he executed the foregoing instrument as his free act and deed this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

(SEAL)

\_\_\_\_\_  
Notary Public

\_\_\_\_\_  
(print name)

My Commission expires \_\_\_\_/\_\_\_\_/\_\_\_\_

Inventor \_\_\_\_\_ Date \_\_\_\_\_

**Robert Steele**

Address Pennyfoot Street

Nottingham, NG1 1GF England

Witness \_\_\_\_\_ Date \_\_\_\_\_

Address \_\_\_\_\_

Witness \_\_\_\_\_ Date \_\_\_\_\_

Address \_\_\_\_\_





Inventor's Signature: \_\_\_\_\_

**Lee Arnold**

State/Commonwealth

of \_\_\_\_\_

County of \_\_\_\_\_

Then personally appeared before me the above-named **Lee Arnold** and acknowledged that he executed the foregoing instrument as his free act and deed this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

(SEAL)

\_\_\_\_\_  
Notary Public

\_\_\_\_\_  
(print name)

My Commission expires \_\_\_\_/\_\_\_\_/\_\_\_\_