

12-22-2000

U.S. Department of Commerce  
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101559513

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Conveyance Type

☒ Assignment ☐ Security Agreement  
☐ License ☐ Change of Name  
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**U.S. Government**  
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☐ Departmental File ☐ Secret File

Conveying Party(ies)

☒ Mark if additional names of conveying parties attached

Name (line 1) Zhupei Shi

Execution Date  
Month Day Year  
12/07/00

Name (line 2)

Second Party

Name (line 1) Yugiao Liu

Execution Date  
Month Day Year  
12/07/00

Name (line 2)

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) ALLIANCE FIBER OPTICS PRODUCTS, INC

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2)

Address (line 1) 735 North Pastoria Avenue

Address (line 2)

Address (line 3) Sunnyvale

CA/USA  
State/Country

94086  
Zip Code

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name Peter C. Chang (AFOP)

Address (line 1) 735 North Pastoria Avenue

Address (line 2) Sunnyvale, CA 94086

Address (line 3)

Address (line 4)

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**REEL: 011351 FRAME: 0935**

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Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

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<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month	Day	Year
12	07	00

**Patent Cooperation Treaty (PCT)**

Enter PCT application number  PCT  PCT

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**Fee Amount** Fee Amount for Properties Listed (37 CFR 3.41): \$

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To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Peter C. Chang  12/07/00

Name of Person Signing Signature Date

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Name (line 1) Dan Dehkordi

Execution Date  
Month Day Year

12 07 00

Name (line 2)

Name (line 1) Minh Tran

Execution Date  
Month Day Year

12 07 00

Name (line 2)

Name (line 1) Peter C. Chang

Execution Date  
Month Day Year

12 07 00

Name (line 2)

Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

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Name (line 1)

Name (line 2)

Address (line 1)

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Name (line 2)

Address (line 1)

Address (line 2)

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Patent Application Number(s)

Patent Number(s)



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Conveying Party(ies)

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Name (line 1) Lee Zhang

Execution Date  
Month Day Year

12 07 00

Name (line 2)

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

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Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

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Patent Application Number(s)

Patent Number(s)



## ASSIGNMENT

In consideration of value received, the receipt and sufficiency of which are hereby acknowledged, the undersigned ASSIGNOR

1. Zhupei Shi, residing at San Jose, CA
2. Yuqiao Liu, residing at Sunnyvale, CA
3. Jay Dehkordi, residing at San Jose, CA
4. Minh Tran, residing at Stockton, CA
5. Peter C. Chang, residing at Mountain View, CA
6. Lee Zhang, residing at Union City, CA

hereby sell(s), assign(s) and transfer(s) unto: Alliance Fiber Optics Products, Inc.  
having a principle place of business at 735 North Pastoria Avenue,  
Sunnyvale, CA 94086, U.S.A.  
hereinafter designated "ASSIGNEE" the entire right, title and interest for the United States of  
America as defined in 35 U.S.C. 100, in the invention and all patent applications including any and  
all divisions, continuations, substitutes, and reissues thereof; and all resulting patents, known  
as DUAL FIBER VARIABLE OPTICAL ATTENUATOR

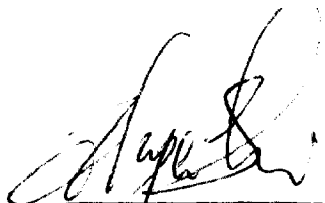
for which the undersigned

☐ previously executed --- Ser. No. \_\_\_\_\_ and filing date of \_\_\_\_\_  
☒ is executing concurrently herewith

an application for Letters Patent of the United States of America

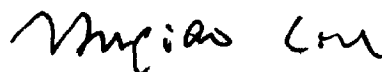
AND the undersigned hereby authorize(s) and request(s) the United States Commissioner of  
Patents and Trademarks to issue said Letters Patent to the said ASSIGNEE, for its interest as  
ASSIGNEE, its successors, assigns and legal representatives; the undersigned agree(s) that the  
attorneys of record in said application, if any, shall hereafter act on behalf of said ASSIGNEE;

AND the undersigned hereby agree(s) to testify and execute any papers for ASSIGNEE, its  
successors, assigns and legal representatives, deemed essential by ASSIGNEE to ASSIGNEE'S  
full protection and title in and to the invention hereby transferred.

  
Zhupei Shi, inventor

12/07/00  
date

witness

  
YUqiao Liu, inventor

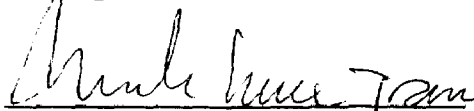
12/07/00  
date

witness

  
Jay Dehkordi, inventor

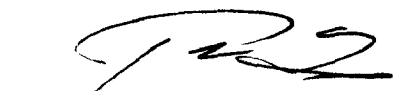
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date

witness

  
Minh Tran, inventor

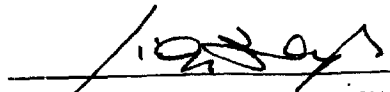
DEC 107/2000  
date

witness

  
Peter C. Chang, inventor

12/07/00  
date

witness

  
Lee Zhang, inventor

Dec. 7. 2000  
date

witness