

MRD 11/29/00

12-26-2000

et No.: ST9-99-106

FORM PTO-1595 (Modified)
(Rev. 6-93)
OMB No. 0651-0011 (exp. 4/94)
Copyright 1996-97 LegalStar
P08A/REV02

RECC



U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

101561867

Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Hoang K. Chau
Isaac Kam-Chak Cheng
Josephine Miu Cheng
Suet Mui Chiu

Additional names(s) of conveying party(ies) Yes No

2. Name and address of receiving party(ies):

Name: International Business Machines Corporation

Address: New Orchard Road

City: Armonk State/Prov.: New York

Country: United States ZIP: 10504

Additional name(s) & address(es) Yes No

U.S. PTO
09/725363
11/29/00

3. Nature of conveyance:

- Assignment Merger
- Security Agreement Change of Name
- Other _____

Execution Date: 10/15/00; 11/6/00; 11/9/00; 11/6/00

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: 10/15/00

Patent Application No. Filing date

09/725363

B. Patent No.(s)

Additional numbers Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Anne Wang, Esq.

Registration No. 36,045

Address: Pretty & Schroeder, P.C.

444 S. Flower St.

19th Floor

City: Los Angeles State/Prov.: California

Country: United States ZIP: 90071

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$ 40.00

- Enclosed - Any excess or insufficiency should be credited or debited to deposit account
- Authorized to be charged to deposit account

8. Deposit account number:

09-0460

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jeffrey F. Craft

Name of Person Signing

Signature

Nov. 29, 2000

Date

Total number of pages including cover sheet, attachments, and

8

PATENT

REEL: 011358 FRAME: 0895

1. Name of conveying party(ies):

Jyh-Herng Chow

Michael Leon Pauser

Jian Xu

3. Nature of Conveyance:

Assignment

Execution Date: 11/14/00; 11/6/00; 11/8/00

Whereas, we

INVENTOR
AND CITY

- (1) Hoang K. Chau
County of Santa Clara
- and (2) Isaac Kam-Chak Cheng
County of Santa Clara
- and (3) Josephine Miu Cheng
County of Santa Clara
- and (4) Suet Mui Chiu
County of Santa Clara
- and (5) Jyh-Herng Chow
County of Santa Clara
- and (6) Michael Leon Pauser
County of Santa Clara
- and (7) Jian Xu
County of Santa Clara

- of Sunnyvale,
and State of California,
- of San Jose,
and State of California,
- of San Jose,
and State of California,
- of San Jose,
and State of California,
- of San Jose,
and State of California,
- of Morgan Hill,
and State of California,
- of San Jose,
and State of California,

have invented certain improvements in

TITLE XML DOCUMENT PROCESSING

DATES THAT
INVENTORS
SIGNED THE
DECLARATION

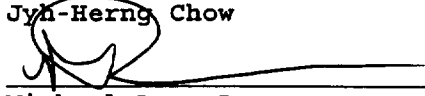
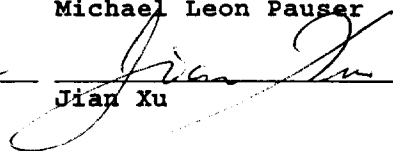
- and executed, respectively, a United States patent application therefor on
- (1) _____, 2000, (2) 11/6, 2000, (3) 11/9, 2000,
 - (4) 11/6, 2000, (5) _____, 2000, (6) 11/6, 2000,
 - (7) 11/8, 2000.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed

CITY	(1) at _____	_____	SIGNATURE
DATE	on _____, 2000,	Hoang K. Chau	INVENTOR
CITY	(2) at <u>San Jose</u>	<u>Isaac Kam-Chak Cheng</u>	SIGNATURE
DATE	on <u>11/6</u> , 2000,	Isaac Kam-Chak Cheng	INVENTOR
CITY	and (3) at <u>San Jose</u>	<u>Josephine Miu Cheng</u>	SIGNATURE
DATE	on <u>11/9</u> , 2000,	Josephine Miu Cheng	INVENTOR
CITY	and (4) at <u>SAN JOSE</u>	<u>Suet Mui Chiu</u>	SIGNATURE
DATE	on <u>11/6</u> , 2000,	Suet Mui Chiu	INVENTOR

CITY	and (5) at _____	_____	SIGNATURE
DATE	on _____, 2000,	Jyh-Herng Chow	INVENTOR
CITY	and (6) at <u>San Jose</u>		SIGNATURE
DATE	on <u>11/6</u> , 2000,	Michael Leon Pauser	INVENTOR
CITY	and (7) at <u>San Jose</u>		SIGNATURE
DATE	on <u>11/8</u> , 2000,	Jian Xu	INVENTOR

Whereas, we

INVENTOR
AND CITY

- (1) **Hoang K. Chau** of **Sunnyvale,**
County of **Santa Clara** and State of **California,**
- and (2) **Isaac Kam-Chak Cheng** of **San Jose,**
County of **Santa Clara** and State of **California,**
- and (3) **Josephine Miu Cheng** of **San Jose,**
County of **Santa Clara** and State of **California,**
- and (4) **Suet Mui Chiu** of **San Jose,**
County of **Santa Clara** and State of **California,**
- and (5) **Jyh-Herng Chow** of **San Jose,**
County of **Santa Clara** and State of **California,**
- and (6) **Michael Leon Pauser** of **Morgan Hill,**
County of **Santa Clara** and State of **California,**
- and (7) **Jian Xu** of **San Jose,**
County of **Santa Clara** and State of **California,**

have invented certain improvements in

TITLE **XML DOCUMENT PROCESSING**

and executed, respectively, a United States patent application therefor on


DATES THAT
INVENTORS
SIGNED THE
DECLARATION

- (1) 10/15, 2000, (2) _____, 2000, (3) _____, 2000,
- (4) _____, 2000, (5) _____, 2000, (6) _____, 2000,
- (7) _____, 2000.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed

CITY DATE	(1) at <u>Mountain View</u> on <u>10/15</u> , 2000,	 _____ Hoang K. Chau	SIGNATURE INVENTOR
CITY DATE	(2) at _____ on _____, 2000,	_____ Isaac Kam-Chak Cheng	SIGNATURE INVENTOR
CITY DATE	and (3) at _____ on _____, 2000,	_____ Josephine Miu Cheng	SIGNATURE INVENTOR
CITY DATE	and (4) at _____ on _____, 2000,	_____ Suet Mui Chiu	SIGNATURE INVENTOR

CITY	and (5) at _____	_____	SIGNATURE
DATE	on _____, 2000,	Jyh-Herng Chow	INVENTOR
CITY	and (6) at _____	_____	SIGNATURE
DATE	on _____, 2000,	Michael Leon Pauser	INVENTOR
CITY	and (7) at _____	_____	SIGNATURE
DATE	on _____, 2000,	Jian Xu	INVENTOR

Whereas, we

INVENTOR
AND CITY

(1) Hoang K. Chau of Sunnyvale,
County of Santa Clara and State of California,
and (2) Isaac Kam-Chak Cheng of San Jose,
County of Santa Clara and State of California,
and (3) Josephine Miu Cheng of San Jose,
County of Santa Clara and State of California,
and (4) Suet Mui Chiu of San Jose,
County of Santa Clara and State of California,
and (5) Jyh-Herng Chow of San Jose,
County of Santa Clara and State of California,
and (6) Michael Leon Pauser of Morgan Hill,
County of Santa Clara and State of California,
and (7) Jian Xu of San Jose,
County of Santa Clara and State of California,

have invented certain improvements in

TITLE XML DOCUMENT PROCESSING

and executed, respectively, a United States patent application therefor on

DATES THAT
INVENTORS
SIGNED THE
DECLARATION

(1) _____, 2000, (2) _____, 2000, (3) _____, 2000,
 (4) _____, 2000, (5) 11-14-2000, 2000, (6) _____, 2000,
 (7) _____, 2000.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.


Signed

CITY (1) at _____ SIGNATURE
DATE on _____, 2000, Hoang K. Chau INVENTOR

CITY (2) at _____ SIGNATURE
DATE on _____, 2000, Isaac Kam-Chak Cheng INVENTOR

CITY and (3) at _____ SIGNATURE
DATE on _____, 2000, Josephine Miu Cheng INVENTOR

CITY and (4) at _____ SIGNATURE
DATE on _____, 2000, Suet Mui Chiu INVENTOR

CITY	and (5) at	<u>San Jose</u>	<u></u>	SIGNATURE
DATE	on	<u>11-14-2000</u> , 2000,	<u>Jyh-Herng Chow</u>	INVENTOR
CITY	and (6) at	_____	_____	SIGNATURE
DATE	on	_____, 2000,	<u>Michael Leon Pauser</u>	INVENTOR
CITY	and (7) at	_____	_____	SIGNATURE
DATE	on	_____, 2000,	<u>Jian Xu</u>	INVENTOR