

12-28-2000

COVER SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office



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To the Honorable Commissioner of Patents and Trademarks

to record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Allan D. Bain

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Murray L. Neal

: 5816 East Shields Avenue, Suite 111

Fresno, California 93727

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: July 31, 2000

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s): 09/513,563

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Thomas M. Coester

Internal Address:

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN

Street Address: 12400 Wilshire Boulevard
Seventh Floor

City: Los Angeles State: CA ZIP: 90025

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41)\$40.00

☒ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number: 02-2666

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Thomas M. Coester

December 5, 2000

Name of Person Signing, Reg. No. 39,637

Signature

Date

Total number of pages including cover sheet, attachments & document: 3

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Docket No. 002209.P003C

PATENT
REEL: 011363 FRAME: 0643

Attorney Docket No.: NEA5218.01K**ASSIGNMENT**

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

Name: ALLAN D. BAIN
Address: 4085 OCEANSIDE BOULEVARD, SUITE B-20
OCEANSIDE, CALIFORNIA 92056

Nationality: UNITED STATES

hereby sell(s), assign(s) and transfer(s) to ASSIGNEE:

Name: MURRAY L. NEAL
Address: 5816 E. SHIELDS AVENUE, SUITE 111
FRESNO, CALIFORNIA 93727

and the successors, assigns and legal representatives of the ASSIGNEE the entire right, title and interest for the United States and its territorial possessions and in all foreign countries, including all rights to claim priority, in and to the following U.S. Patent(s) and U.S. Patent Application(s) and the invention and improvements found therein:

- 1) U.S. Patent No. 6,035,438 entitled METHOD AND APPARATUS FOR DEFEATING BALLISTIC PROJECTILES issued March 14, 2000; and
- 2) U.S. Patent Application Serial No. 09/189,105 entitled METHOD AND APPARATUS FOR DEFEATING HIGH-VELOCITY PROJECTILES filed on November 9, 1998.

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenant(s) that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenant(s) that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) request(s) the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE

Date:

7-31-00

Assignor:


ALLAN D. BAIN

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Page 05

RECORDED: 12/08/2000

PATENT
REEL: 011363 FRAME: 0645