

FORM PTO-1619A
OMB 0651-0027

12-28-2000



U.S. Department of Commerce
Patent and Trademark Office

PATENT

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Attorney Docket No: 3705P056

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☐ Mark if additional names of conveying parties attached

Execution Date
Month Day Year

Name (1st party) Weixing Fan

09/14/00

Name (2nd party) Zhibin Yan

09/14/00

Name (3rd party) Chung-Ho Hsia

09/14/00

Name (4th party) _____

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) E-TEK Dynamics

Name (line 2) 1865 Lundy Avenue

Address (line 1) _____

Address (line 2) _____

Address (line 3) _____

San Jose,

California

95131

City

State/Country

Zip Code

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PATENT

REEL: 011364 FRAME: 0587

Correspondent Name and Address

Area Code and Telephone Number 408-720-8300

Name Stephen M. De Klerk

Address (line 1) Blakely, Sokoloff, Taylor & Zafman LLP

Address (line 2) 12400 Wilshire Boulevard

Address (line 3) Seventh Floor

Address (line 4) Los Angeles, CA 90025-1026

Pages

Enter the total number of pages of the attached conveyance document.

2

Application Number (s) or Patent Number (s)

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Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number (s)

Patent Number (s)

09/644,144

Month Day Year

If this document is being filed together with a **new** Patent Application, enter the date the patent application was signed by the first named executing inventor.

Patent Cooperation Treaty (PCT)

Enter PCT application number only if a
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Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41):

\$ 40.00

Method of Payment:

Deposit Account

Enclosed ☒

Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number: # 02-2666

Authorization to charge additional fees:

Yes ☒

No ☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Stephen M. De Klerk

46,503

Stephen M. De Klerk

12/4/2000

Date

Name of Person Signing

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No.: 003705.P056 (For Execution After Filing Patent Application)

In consideration of good and valuable consideration, the receipt of which is hereby acknowledged, We
the undersigned, Weixing Fan, Zhibin Yan and Chung-Ho Hsia

hereby sell, assign, and transfer to E-Tek Dynamics
a corporation of Delaware, having a principal place of business at
1865 Lundy Avenue, San Jose, California 95131, ("Assignee"),
and its successors, assigns, and legal representatives, the entire right, title, and interest for the
United States and all foreign countries, in and to any and all improvements that are disclosed in
the application for the United States patent that was filed August 22, 2000 and assigned
Application No. 09/644,144 and is entitled

**A LIGHT FILTER WHICH COUNTERACTS A TENDENCY TO SHIFT OPTICAL
WAVELENGTH WITH CHANGE IN TEMPERATURE**

and in and to said application and all divisional applications, continuation applications,
continued prosecution applications, continuation-in-part applications, substitute applications,
renewal applications, reissue applications, reexaminations, extensions, and all other patent
applications that have been or shall be filed in the United States and all foreign countries on
any of said improvements; and in and to all original patents, reissued patents, reexamination
certificates, and extensions that have been or shall be issued in the United States and all
foreign countries on said improvements; and in and to all rights of priority resulting from the
filing of said United States application;

agree that said Assignee may apply for and receive a patent or patents for said
improvements in its own name; and that, when requested, without charge to, but at the
expense of, said Assignee, its successors, assigns, and legal representatives, to carry out in
good faith the intent and purpose of this Assignment, the undersigned will execute all divisional
applications, continuation applications, continued prosecution applications, continuation-in-part
applications, substitute applications, renewal applications, reissue applications, reexaminations,
extensions, and all other patent applications on any and all said improvements; execute all
rightful oaths, assignments, powers of attorney, and other papers; communicate to said
Assignee, its successors, assigns, and representatives all facts known to the undersigned
relating to said improvements and the history thereof; and generally assist said Assignee, its
successors, assigns, or representatives in securing and maintaining proper patent protection
for said improvements and for vesting title to said improvements, and all applications for
patents and all patents on said improvements, in said Assignee, its successors, assigns, and
legal representatives; and

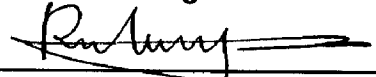
covenant with said Assignee, its successors, assigns, and legal representatives that no
assignment, grant, mortgage, license, or other agreement affecting the rights and property

herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

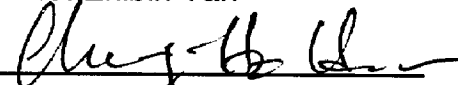
Date: Sept 14, 2000


Name: Weixing Fan

Date: 09/14/00, 2000


Name: Zhibin Yan

Date: 9/14/00, 2000


Name: Chung-Ho Hsia

Date: _____, 2000

Name:

Date: _____, 2000

Name:

Date: _____, 2000

Name:

State of: _____}

County of: _____}

Assignment Document Return Address:

} SS. BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
12400 Wilshire Blvd., Seventh Floor
Los Angeles, California 90025-1026
(408) 720-8300

On this _____ day of _____ 2000 __, before me, _____,
the undersigned Notary Public, personally appeared _____

☐ personally known to me ☐ proved to me on the basis of satisfactory evidence to
be the person(s) whose name(s) subscribed to the within instrument, and
acknowledged that executed it.
WITNESS my hand and official seal.

Notary's Signature