

12-29-2000



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U.S. PTO  
29/133922  
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TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

**Submission Type**

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**Conveyance Type**

Assignment  Security Agreement

License  Change of Name

Merger  Other \_\_\_\_\_

**U.S. Government**  
(For Use ONLY by U.S. Government Agencies)

Departmental File  Secret File

**Conveying Party(ies)**

Mark if additional names of conveying parties attached

Name (line 1) G. Frank Dye Execution Date 12082000  
Month Day Year

Name (line 2) \_\_\_\_\_

**Second Party**

Name (line 1) Samuel L. Baldwin Execution Date 12082000  
Month Day Year

Name (line 2) \_\_\_\_\_

**Receiving Party**

Mark if additional names of receiving parties attached

Name (line 1) General Electric Company  If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2) a Corporation of New York

Address (line 1) 1 River Road

Address (line 2) \_\_\_\_\_

Address (line 3) Schenectady NY 12345  
City State/Country Zip Code

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name \_\_\_\_\_

Address (line 1) \_\_\_\_\_

Address (line 2) \_\_\_\_\_

Address (line 3) \_\_\_\_\_

Address (line 4) \_\_\_\_\_

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12/28/2000 DNGUYEN 00000416 070848 29133922

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**PATENT**

REEL: 011367 FRAME: 0444

**Correspondent Name and Address** Area Code and Telephone Number

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

**Pages** Enter the total number of pages of the attached conveyance document including any attachments. #

**Application Number(s) or Patent Number(s)**  Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)			Patent Number(s)		
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor. Month Day Year

**Patent Cooperation Treaty (PCT)**

Enter PCT application number  PCT  PCT

only if a U.S. Application Number has not been assigned. PCT  PCT  PCT

**Number of Properties** Enter the total number of properties involved. #

**Fee Amount** Fee Amount for Properties Listed (37 CFR 3.41): \$

Method of Payment: Enclosed  Deposit Account

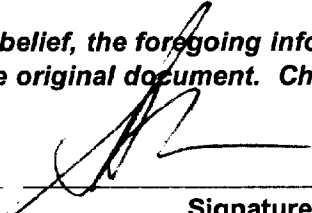
Deposit Account (Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number: #

Authorization to charge additional fees: Yes  No

**Statement and Signature**

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.*

Steven C. Schnedler  December 11, 2000

Name of Person Signing Signature Date

**ASSIGNMENT**

I, G. Frank Dye, of Fletcher, North Carolina, having co-invented certain new and useful improvements in: AREA LIGHTING FIXTURE, co-invented by G. Frank Dye and Samuel L. Baldwin, for which we are about to make application for Letters Patent of the United States, said application having been executed by me on the date indicated below, do hereby, in consideration of One Dollar (\$1.00) and other good and valuable consideration, receipt of which is hereby acknowledged, sell, assign, and transfer unto:

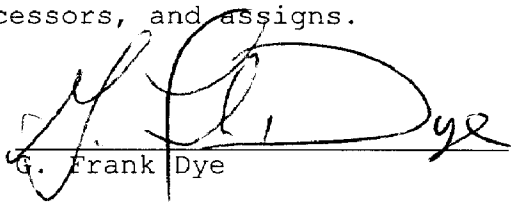
**GENERAL ELECTRIC COMPANY**

a New York corporation, its successors and assigns, the full and exclusive right, title, and interest in and to the said invention in the United States and its territorial possessions, and in all foreign countries with all rights under the International Convention including the right to claim priority, and the entire and exclusive right, title, and interest in and to any and all Letters Patent which may be granted therefor in the United States and its territorial possessions and in any and all foreign countries, and in and to any and all divisions, reissues, continuations, and extensions thereof.

I, therefore, authorize and request the Patent Office officials in the United States and in any and all foreign countries to issue any and all Letters Patent when granted, solely to the said:

**GENERAL ELECTRIC COMPANY**

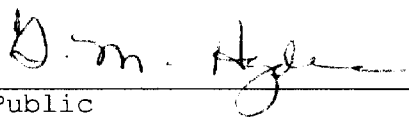
for its sole use, and that of its successors, and assigns.

  
G. Frank Dye

STATE OF North Carolina  
COUNTY OF Henderson ) : SS

Personally appeared before me this day, the above named G. Frank Dye to me personally known, who acknowledged that he signed the foregoing instrument for the uses and purposes therein mentioned, and that the same is his free act and deed.

In testimony whereof, I have hereunto set my hand and affixed my seal, this 3 day of December, 2000.

  
\_\_\_\_\_  
Notary Public

My commission expires: Aug. 25, 2001

(Seal)

**ASSIGNMENT**

I, Samuel L. Baldwin, of Hendersonville, North Carolina, having co-invented certain new and useful improvements in: AREA LIGHTING FIXTURE, co-invented by G. Frank Dye and Samuel L. Baldwin, for which we are about to make application for Letters Patent of the United States, said application having been executed by me on the date indicated below, do hereby, in consideration of One Dollar (\$1.00) and other good and valuable consideration, receipt of which is hereby acknowledged, sell, assign, and transfer unto:

**GENERAL ELECTRIC COMPANY**

a New York corporation, its successors and assigns, the full and exclusive right, title, and interest in and to the said invention in the United States and its territorial possessions, and in all foreign countries with all rights under the International Convention including the right to claim priority, and the entire and exclusive right, title, and interest in and to any and all Letters Patent which may be granted therefor in the United States and its territorial possessions and in any and all foreign countries, and in and to any and all divisions, reissues, continuations, and extensions thereof.

I, therefore, authorize and request the Patent Office officials in the United States and in any and all foreign countries to issue any and all Letters Patent when granted, solely to the said:

**GENERAL ELECTRIC COMPANY**

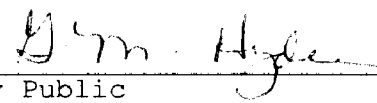
for its sole use, and that of its successors, and assigns.

  
Samuel L. Baldwin

STATE OF North Carolina  
COUNTY OF Henderson ): SS

Personally appeared before me this day, the above named Samuel L. Baldwin to me personally known, who acknowledged that he signed the foregoing instrument for the uses and purposes therein mentioned, and that the same is his free act and deed.

In testimony whereof, I have hereunto set my hand and affixed my seal, this 8 day of December, 2000.

  
Notary Public

My commission expires: Aug. 25, 2001

(Seal)