

12-29-2000



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-PATENTS ONLY-

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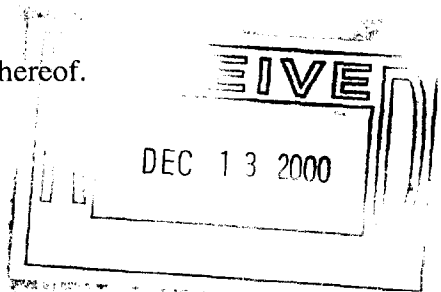
12-13-00

To: Honorable Commissioner of Patents and Trademarks:

Please record the attached original document(s) or copy thereof.

1. Name of conveying party(ies)

- a) David G. Watters
- b) David L. Huestis
- c) Alfred J. Bahr
- d) Robert J. Vidmar



2. Name and address of receiving party(ies):

- a) Name: SRI International  
Address: 333 Ravenswood Avenue, Menlo Park, California 94025

3. Nature of conveyance

- |  |  |
|--|--|
| <input checked="" type="checkbox"/> Assignment | <input type="checkbox"/> Merger            |
| <input type="checkbox"/> Security Agreement    | <input type="checkbox"/> Change of Name    |
| <input type="checkbox"/> Other _____           | <input type="checkbox"/> License Agreement |

Execution Date: Watters (11/16/00) Huestis (11/29/00); Bahr (11/22/00); and Vidmar (10/23/00)

4. Application Number(s) or Patent Number(s): 09/513,327

The title of the (new) application is:

***Event-Recording Devices With Identification Codes***

5. Please send all correspondence concerning this (these) documents to:

**Customer Number: 022434**

BEYER WEAVER & THOMAS, LLP  
P.O. Box 778  
Berkeley, CA 94704-0778  
Telephone (510) 843-6200  
Fax (510) 843-6203



22434

PATENT TRADEMARK OFFICE

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

- ☒ Enclosed
- ☒ Any deficiencies in the fees are authorized to be charged to Deposit Account No. 50-0388 (Order No. SRI1P016X2)

8. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date: December 8, 2000

William J. Plut

Limited Recognition under 37 C.F.R. §10.9(b)

12/28/2000 GTON11 00000266 09513327

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40.00 OP

Attorney Docket No. SRI1P016X2/US-3875-3

(Revised 01/96)

**PATENT**  
**REEL: 011368 FRAME: 0410**

# ASSIGNMENT OF PATENT APPLICATION

(Not Accompanying Application)

Whereas I/we the undersigned inventor(s) have invented certain new and useful improvements as set forth in the patent application entitled:

## EVENT-RECORDING DEVICES WITH IDENTIFICATION CODES

for which I (we) have executed an application for a United States Letters Patent which was filed in the U.S. Patent and Trademark Office on February 25, 2000, and which bears the Application No. 09/513,327.

For good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I/We the undersigned inventor(s) hereby:

- 1) Sell(s), assign(s) and transfer(s) to SRI International, a California non-profit corporation having a place of business at 333 Ravenswood Avenue, Menlo Park, California 94025, (hereinafter referred to as ("ASSIGNEE"), the entire right title and interest in any and all improvements and inventions disclosed in, application(s) based upon, and Patent(s) (including foreign patents) granted upon the information which is disclosed in the above referenced application.
- 2) Authorize and request the Commissioner of Patents to issue any and all Letters Patents resulting from said application or any division(s), continuation(s), substitutes(s) or reissue(s) thereof to the ASSIGNEE.
- 3) Agree to execute all papers and documents and, entirely at the ASSIGNEE's expense, perform any acts which are reasonably necessary in connection with the prosecution of said application, as well as any derivative and applications thereof, foreign applications based thereon, and/or the enforcement of patents resulting from such applications.
- 4) Agree that the terms, covenants and conditions of this assignment shall inure to the benefit of the Assignee, its successors, assigns and other legal representative, and shall be binding upon the inventor(s), as well as the inventor's heirs, legal representatives and assigns.
- 5) Warrant and represent that I/we have not entered, and will not enter into any assignment, contract, or understanding that conflicts with this assignment.

Signed on the date(s) indicated beside my (our) signature(s).

1. **Inventor Signature:** David G. Watters **Date:** Nov 16, 2000  
David G. Watters

State of California )  
County of San Mateo ) ss.

On this 16<sup>th</sup> day of November, in the year 2000, before me, Kellyanne Y. Ebisui, personally appeared David G. Watters, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity(ies), and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Notary Signature Kellyanne Y. Ebisui

Attorney Docket No.: SRI1P016X2/US-3875-3

1 of 3



**PATENT**  
**REEL: 011368 FRAME: 0411**

2. Inventor Signature:

David L. Huestis  
David L. Huestis

Date: 29 November 2000

State of California )

County of San Mateo )

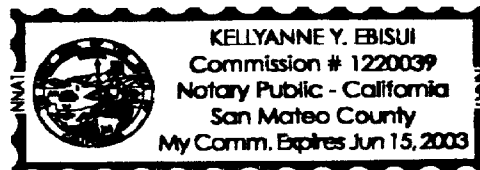
ss.

On this 29<sup>th</sup> day of November, in the year 2000, before me, Kellyanne Y. Ebisui, personally appeared **David L. Huestis**, ~~personally known to me (or~~ proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that ~~he~~she executed the same in ~~his~~her authorized capacity(~~ies~~), and that by ~~his~~her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Notary Signature

Kellyanne Y. Ebisui



(SEAL)

3. Inventor Signature:

Alfred J. Bahr  
Alfred J. Bahr

Date: Nov 22, 2000

State of California )

County of San Mateo )

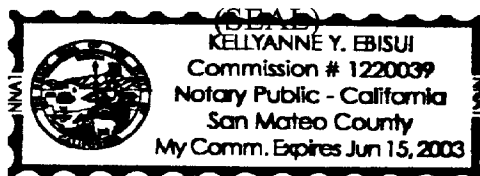
ss.

On this 22<sup>nd</sup> day of November, in the year 2000, before me, Kellyanne Y. Ebisui, personally appeared **Alfred J. Bahr**, ~~personally known to me (or~~ proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that ~~he~~she executed the same in ~~his~~her authorized capacity(~~ies~~), and that by ~~his~~her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Notary Signature

Kellyanne Y. Ebisui



4. Inventor Signature: Robert J. Vidmar  
Robert J. Vidmar

Date: 23 Oct 2000

State of California )  
 )  
County of San Mateo ) ss.

On this 23<sup>rd</sup> day of October, in the year 2000, before me, Kellyanne Y. Ebisui, Notary Public, personally appeared Robert J. Vidmar, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity(ies), and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Notary Signature Kellyanne Y. Ebisui



(SEAL)

**BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE  
UNITED STATES PATENT AND TRADEMARK OFFICE**

**LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)**

William Plut is hereby given limited recognition under 37 CFR § 10.9(b) as an employee of the Beyer, Weaver, and Thomas, LLP law firm to prepare and prosecute patent applications wherein the patent applicant is the client of the Beyer, Weaver, and Thomas, LLP law firm, and the attorney or agent of record in the applications is a registered practitioner who is a member of the Beyer, Weaver, and Thomas, LLP law firm. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) William Plut ceases to lawfully reside in the United States, (ii) William Plut's employment with the Beyer, Weaver, and Thomas, LLP law firm ceases or is terminated, or (iii) William Plut ceases to remain or reside in the United States on an TN visa.

This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the United States Patent and Trademark Office.

**Expires: June 15, 2001**



Harry I. Moatz, Director  
Office of Enrollment and Discipline