

01-02-2001



C 12-11-00

101568422

Altera Docket No. 501.311US01

Form PTO 1595

Registration Form Cover Sheet

U.S. Department of Commerce

**PATENTS ONLY**

Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

## 1. Name of conveying party(ies)

1. Reinhard H. Hohensee
2. Craig D. Brossman
3. Arthur R. Roberts
4. John T. Varga
5. Calvin L. Doescher
6. Lynn M. Mastie
7. David E. Stone
8. Dwight R. Palmer

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

## 2. Name and address of receiving party(ies):

International Business Machines Corporation  
New Orchard Road  
Armonk, New York 10504

Additional name(s) & addresses attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: 1.) 01/14/00 2.) 01/17/00 3.) 01/17/00 4.) 01/17/00 5.) 01/14/00 6.) 01/17/00 7.) 01/18/00  
 8.) 01/14/00 9.) 1/14/00

## 4. Application number(s) or patent number(s)

If this document is being filed together with a new application, the execution date of the application is: 1.) 01/14/00 2.) 01/17/00 3.) 01/17/00 4.) 01/17/00 5.) 01/14/00 6.) 01/17/00 7.) 01/18/00 8.) 01/14/00 9.) 1/14/00

A. Patent Application Number(s)

Patent Number(s)

09490772

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name David W. Lynch  
 Address: Altera Law Group  
 10749 Bren Road East, Opus 2  
 Minneapolis, Minnesota 55343-9056

## 6. Total number of applications and patents involved: one

## 7. Total fee (37 C.F.R. §3.41) 4.00

- ☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account  
☐ Authorized to charged to deposit account

## 8. Deposit Account number: 50-1038

DO NOT USE THIS SPACE

## 9. Statement and Signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David W. Lynch  
 Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document:

12/29/2000 6TON11 00000222 09490772

01 FC:581

40.00 0P

**PATENT**  
**REEL: 011374 FRAME: 0568**

08-21-2000

101424498  
PATENTS ONLYBLD990043US1  
Altera Docket No. 501.311US01

Form PTO 1595

U.S. Department of Commerce  
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

## 1. Name of conveying party(ies)

1. Reinhard H. Hohensee
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4. John T. Varga
5. Calvin L. Doescher
6. Lynn M. Mastie
7. David E. Stone
8. Dwight R. Palmer

## 2. Name and address of receiving party(ies):

Nokia Networks Oy  
Keilalahdentie 4  
FIN-02150 Espoo  
FINLAND

JCS64 U.S. PTO  
09/490772  
01/24/00

Additional names(s) of conveying party(ies) ☐ Yes ☒ NoAdditional name(s) & addresses attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
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## A. Patent Application Number(s)

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## 9. Statement and Signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David W. Lynch  
 Name of Person Signing

Signature

January 24, 2000  
 Date

Total number of pages including cover sheet, attachments, and document:

02/17/2000 DNGUYEN 00000132 09490772

01 FC:581

40.00 OP

PATENT  
 REEL: 011374 FRAME: 0569

**PATENT ASSIGNMENT TO CORPORATION WORLDWIDE**

WHEREAS,

1. Reinhard H. Hohensee residing at 3895 Norwood Court, Boulder, CO 80304 and a citizen of United States;
2. Craig D. Brossman residing at 4469 Hamilton Ct, Boulder, CO 80303 and a citizen of United States;
3. Robert W. Goings residing at 218 – 11<sup>th</sup> Avenue, Longmont, CO 80501 and a citizen of United States;
4. Arthur R. Roberts residing at 3633 Roundtree Ct, Boulder, CO 80304-1416 and a citizen of United States;
5. John T. Varga residing at 3924 Bogey Court, Longmont, CO 80503-8303 and a citizen of United States;
6. Calvin L. Doescher residing at 1197 Twin Peaks Circle, Longmont, CO 80503 and a citizen of United States;
7. Lynn M. Mastie residing at 7153 Rozena Dr., Longmont, CO 80503 and a citizen of United States;
8. David E. Stone residing at 1121 Princeton Drive, Longmont, CO 80503 and a citizen of United States;
9. Dwight R. Palmer residing at 2456 Mapleton Circle, Longmont, CO 80503 and a citizen of United States;

hereafter referred to as "THE UNDERSIGNED", have made an invention entitled METHOD AND APPARATUS FOR MANAGING COMPLEX PRESENTATION OBJECTS USING GLOBALLY-UNIQUE IDENTIFIERS

INTERNATIONAL BUSINESS MACHINES CORPORATION, hereafter referred to as ASSIGNEE, a corporation organized under the laws of the state of New York and whose place of business is New Orchard Road, Armonk, New York 10504, is desirous of acquiring the entire right, title and interest in the same;

NOW, THEREFOR, in consideration of the sum of One Dollar, the receipt whereof is acknowledged, and other good and valuable consideration, THE UNDERSIGNED hereby sell, assign and transfer unto ASSIGNEE the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to all divisionals, continuations, continuations in part, reissues, reexaminations or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extension of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the said invention including an assignment of all rights under the provision of international conventions and all Letters Patent of foreign countries which may be granted therefrom; the UNDERSIGNED hereby authorize the Commissioner of Patents to issue any and all United States Letters Patent for the aforesaid invention to ASSIGNEE of the entire right, title and interest in and to the same, for the use of the ASSIGNEE, its successors and assigns.

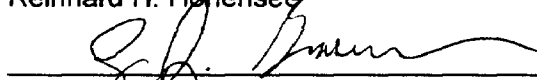
THE UNDERSIGNED, hereby agree that THE UNDERSIGNED, their executors and legal representatives will make, execute and deliver (without charge but at the expense of the ASSIGNEE) any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said ASSIGNEE, its successors and representatives all facts Known to THE UNDERSIGNED relating to said invention and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable to vest the ASSIGNEE, its successors or assigns the entire right, title and interest in and to the said invention, applications, Letters Patent, rights, titles and benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

THE UNDERSIGNED represent and agree with said Assignee its successors and assignees, that no assignment grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by THE UNDERSIGNED, and that full right to convey the same as herein expressed is possessed by THE UNDERSIGNED.

IN TESTIMONY WHEREOF, THE UNDERSIGNED have hereunto set their hand on the dates indicated below.

  
Reinhard H. Hohensee

1/14/2000  
Date

  
Craig D. Brossman

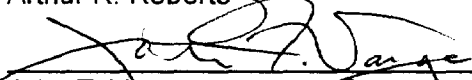
1/17/2000  
Date

  
Robert W. Goings

1/17/00  
Date

  
Arthur R. Roberts

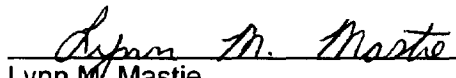
1/17/00  
Date

  
John T. Varga

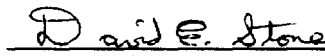
1/14/2000  
Date

  
Calvin L. Doescher

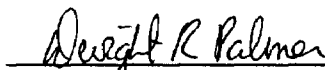
1/17/2000  
Date

  
Lynn M. Mastie

1-18-00  
Date

  
David E. Stone

1/14/2000  
Date

  
Dwight R. Palmer  
No Legalization Required

1/14/2000  
Date



501.311-115-01  
**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

AUGUST 22, 2000

PTAS

ALTERA LAW GROUP  
DAVID W. LYNCH  
10749 BREN ROAD EAST, OPUS 2  
MINNEAPOLIS, MN 55343-9056



\*101424498A\*

**NOTICE**

**UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF DISPOSITION OF DATABASE DISCREPANCY**

YOUR REQUEST TO CORRECT THE PATENT AND TRADEMARK ASSIGNMENT DATABASE SYSTEM HAS BEEN REVIEWED BY A PARALEGAL SPECIALIST OF THE ASSIGNMENT DIVISION. REVIEW OF THE MICROFILM RECORD LOCATED IN THE ASSIGNMENT SEARCH ROOM INDICATES THAT THE DATABASE REFLECTS THE DATA AS ORIGINALLY PRESENTED FOR RECORDATION. THEREFORE, TO CORRECT A PREVIOUSLY RECORDED DOCUMENT, A CORRECTIVE DOCUMENT MUST BE SUBMITTED AS OUTLINED IN 37 CFR 3.34.

SUBMIT CORRECTIVE DOCUMENT TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D. C. 20231.

IF YOU HAVE ANY QUESTIONS REGARDING THIS NOTICE, YOU MAY CONTACT THE INDIVIDUAL WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723.

REFERENCE: REEL/FRAME: 010550/0256

JACQUELINE MOORE, PARALEGAL  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

**PATENT**  
**REEL: 011374 FRAME: 0572**

END

S/N 09/490772

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Hohensee et al.	Examiner:	Unknown
Serial No.:	09/490772	Group Art Unit:	2854
Filed:	1/24/00	Docket No.:	<b>BLD990043US1</b>
			501.311US01

Title: **METHOD AND APPARATUS FOR MANAGING COMPLEX  
PRESENTATION OBJECTS USING GLOBALLY-UNIQUE IDENTIFIERS**

CERTIFICATE UNDER 37 C.F.R. 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on 12/8/00

David W. Lynch  
Name

Signature

**REQUEST FOR CORRECTED ASSIGNMENT RECORDATION**

**BOX ASSIGNMENT**

Commissioner for Patents and Trademarks  
Washington D.C. 20231

Dear Sir:

The Notice of Recordation of Assignment Document for the above-identified application is erroneous in the following respect: Incorrect Assignee

Accordingly, please replace "Nokia Networks Oy, Keilalahdentie 4, FIN-02150 Espoo, FINLAND " with "--International Business Machines Corporation, New Orchard Road, Armonk, New York 10504-- as stated on the Assignment.

Enclosed are the corrected Recordation Form Cover Sheet, copy of the originally recorded Notice of Recordation Assignment Document, original Recordation Form Cover Sheet, Assignment and a check of \$40.00 for the recording fee, as outlined in 37 CFR 3.34. Also enclosed is the original Notice of Disposition of Database Discrepancy.

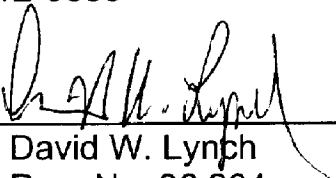
**PATENT**  
**REEL: 011374 FRAME: 0573**

Applicant respectfully requests correction of the records in the Patent and Trademark Office.

Respectfully submitted,

Altera Law Group, LLC  
10749 Bren Road East, Opus 2  
Minneapolis, MN 55343  
952-912-0539

Date: 12/8/00

By:   
David W. Lynch  
Reg. No. 36,204

DWL/tmj



50.30-01  
UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APRIL 21, 2000

PTAS

ALTERA LAW GROUP  
DAVID W. LYNCH  
10749 BREN ROAD EAST, OPUS 2  
MINNEAPOLIS, MN 55343-9056



\*101271449A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 01/24/2000

REEL:/FRAME: 010550/0256  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
HOHENSEE, REINHARD H.

DOC DATE: 01/14/2000

ASSIGNOR:  
BROSSMAN, CRAIG D.

DOC DATE: 01/17/2000

ASSIGNOR:  
ROBERTS, ARTHUR R.

DOC DATE: 01/17/2000

ASSIGNOR:  
VARGA, JOHN T.

DOC DATE: 01/17/2000

ASSIGNOR:  
DOESCHER, CALVIN L.

DOC DATE: 01/17/2000

ASSIGNOR:  
MASTIE, LYNN M.

DOC DATE: 01/18/2000

ASSIGNOR:  
STONE, DAVID E.

DOC DATE: 01/14/2000

PATENT  
REEL: 011374 FRAME: 0575



ASSIGNOR:

PALMER, DWIGHT R.

DOC DATE: 01/14/2000

ASSIGNEE:

NOKIA NETWORKS OY  
KEILALAHDENTIE 4  
FIN-02150 ESPOO, FINLAND

SERIAL NUMBER: 09490772

PATENT NUMBER:

FILING DATE: 01/24/2000

ISSUE DATE:

MAYA BENNETT, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS