Director of the U.S. Patent O I P E and Trademark Office

01-02-2001

DEPARTMENT OF COMMERCE

_		The state of the s			Attorney Docket No. <u>JAO 27148</u>	
	То	the Director of the U.S. Patent and Trademark Office: P	lease	recor	d the attached original document or come the series	
			<u> </u>			
•	Α.	Name of conveying party(ies):	2.	Α.	Name and address of receiving party(ies):	
		CORNELL RESEARCH FOUNDATION, INC.			HARVEY A. LINCOFF WEILL MEDICAL COLLEGE OF CORNELL UNIVERSITY 1300 YORK AVENUE, ROOM F-832	
	B.	Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No			NEW YORK, NEW YORK 10021 USA	
	A.	Nature of conveyance:		В.	Additional name(s) & address(es) attached?	
					□Yes ⊠ No	
	⊠	Assignment				
		Security Agreement				
		Other				
	B.	Execution Date: December 19, 2000				
_	A. If this document is being filed together with a new application, the execution date of the application is:					
	B.	Patent Application No.(s)		C.	Patent No. <u>5,207,660</u>	
		Additional numbers atta	ched	? 🗆	Yes ⊠ No	
	Nam	ne and address of party to whom correspondence terning document should be mailed:	6.	Tota	I number of applications and patents involved:	
		me. James A. Oliff	7.	Α.	Total fee (37 CFR 3.41)\$\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	
		me: James A. Oliff	7.		Total fee (37 CFR 3.41)\$ 40.00 Enclosed (Check No. 114917)	
	Na	nne: James A. Oliff Idress: OLIFF & BERRIDGE, PLC P.O. Box 19928 Alexandria, VA 22320	7.	B.		
	Na:	ldress: OLIFF & BERRIDGE, PLC P.O. Box 19928 Alexandria, VA 22320	8.	B. Credep	Enclosed (Check No. 114917) dit any overpayment or charge any underpayment to osit account number 15-0461.	
).	Na Ad	Idress: OLIFF & BERRIDGE, PLC P.O. Box 19928 Alexandria, VA 22320 Atement and signature. the best of my knowledge and belief, the foregoing inform	8.	B. Credep	Enclosed (Check No. 114917) dit any overpayment or charge any underpayment to osit account number 15-0461.	
9.	Na Add	ldress: OLIFF & BERRIDGE, PLC P.O. Box 19928 Alexandria, VA 22320	8.	B. Credep	Enclosed (Check No. 114917) dit any overpayment or charge any underpayment to osit account number 15-0461.	

01/02/2001 DHGUYEN 00000058 5207660

01 FC:581

ASSIGNMENT

WHEREAS, Cornell Research Foundation, Inc., a company established under the laws of the state of New York whose address is Cornell Business & Technology Park, 20 Thornwood Drive, Suite 105, Ithaca, New York 14850, (hereinafter called "Assignor") is the owner by assignment duly recorded in the United States Patent and Trademark Office at the Reel and Frame(s) indicated below of the following United States Letters Patent(s) and/or patent application(s) (hereinafter called "Patent Properties"):

Application or Patent	
Number and Date of	Named
Filing or Issue	<u>Inventors</u>
U.S. Patent No 5,207,660	Harvey A. LINCOFF
Issued May 4, 1993	•

The undersigned has reviewed all the documents in the chain of title identified above; and to the best of the undersigned's knowledge and belief, title is in the Assignor. The undersigned is empowered to sign this Assignment on behalf of the Assignor.

WHEREAS, Harvey A. LINCOFF, an individual whose address is Weill Medical College of Cornell University, 1300 York Avenue, Room F-832, New York, New York 10021, (hereinafter called "Assignee") is desirous of acquiring the entire right, title and interest for the United States, its territories, dependencies and possessions, in and to said Patent Properties (and/or patents that may be granted therefrom), and any confirmations, divisions, continuations, reexaminations, reissues or extensions of the same;

Now, To All Whom It May Concern: Be it known that for and in consideration of the sum of One Dollar (\$1.00), and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignor has sold, assigned, transferred and set over, and does hereby sell, assign, transfer and set over, unto Assignee, its successors, assigns and legal representatives, the entire right, title and interest for the United States, its territories, dependencies and possessions, in and to said Patent Properties (and/or patents that may be granted therefrom), and any confirmations, divisions, continuations, reexaminations, reissues or extensions thereof; the same to be held and enjoyed by Assignee, its successors, assigns and legal representatives, as fully and entirely as the same would have been held and enjoyed by Assignor

PATENT REEL: 011379 FRAME: 0245

Reel and Frame

Reel 5877 Frame 777 had this assignment and sale not been made, together with all claims for damages by reason of past infringement of said Patent Properties with the right to sue for and collect the same for its own use and for the use of its successors, assigns and legal representatives.

Assignor agrees that this assignment is binding on Assignor and its heirs, successors, assigns and legal representatives.

Assignor authorizes and requests the Director of Patents and Trademarks of the United States to issue any Letters Patent of the United States, resulting from said Patent Properties and any confirmations, divisions, continuations, reexaminations, reissues or extensions thereof, to Assignee.

Assignor agrees to execute all papers necessary in connection with any interference which may be declared concerning said Patent Properties (and/or patents that may be granted therefrom), or any confirmation, division, continuation, reexamination or reissue thereof, and to cooperate with Assignee in every way possible in obtaining evidence and going forward with such interference.

Assignor covenants that it has full right to convey the interest herein assigned and that it has not executed and will not execute any agreement in conflict herewith.

Assignor agrees to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

Assignor agrees to perform all affirmative acts which may be necessary to obtain, maintain or confirm by reissue or reexamination a grant of a valid United States patent to Assignee.

Assignor grants the firm of OLIFF & BERRIDGE, PLC of Alexandria, Virginia the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

This Assignment is effective as of the 19	9th	iay of	Dec. 2000 X James A. Seur
Witness			Signature Officer of Assignor
			· ·
Witness	-		James A. Severson Typewritten Name of Officer
		_	President
		-	Title of Officer
United States of America			•
State of: New York			
	-)		
County of: Tompkins)	SS:	
	be th	e indiv	ay of Dec., 2000, personally appeared ridual(s) described in and who executed the the execution of the same. Notary Public
		_/^	Notary Public
			ROSEMARY REYNOLDS Notary Public, State of New York No. 4895247 Qualified in Tompkins County Term Expires Manual County
SEAL			Term Expires May 18, 20 01
My commission expires: 5/18/2001			

3 of 3

RECORDED: 12/26/2000