Docket No.: 1-4432 FORM PTO-1595 (Modified) U.S. DEPARTMENT OF COMMERCE 01-05-2001 (Rev. 6-93) OMP No. 0651-0011 (exp.4/94) Patent and Trademark Office Copyright 1994-97 LegalStar P08/REV02 Tab settings → → → 101572056 To the Honorable Commissioner of Pateria. ttached original documents or copy thereof. 1. Name of conveying party(ies): 2. Name and address of receiving party(ies): KARL-FRIEDRICH WORSDORFER GREGOR POERTZGEN Name: VARITY GMBH THOMAS WEIGERT ANDREW KINGSTON Internal Address: ☐ Yes 🛛 No Additional names(s) of conveying party(ies) 3. Nature of conveyance: Street Address: Carl-Spaeter-Strasse 8 ☐ Assignment ☐ Merger D 56070 Koblenz, Germany ☐ Security Agreement ☐ Change of Name Other Corrected Assignment to add Assignee's name previously recorded at Reel 10011, Frame 0042. \_\_\_ State: \_\_\_ ZIP: Execution Date: 4/16/99, 4/21/99, 4/11/99 & 5/4/99 Additional name(s) & address(es) attached? X Yes No Application number(s) or registration numbers(s): If this document is being filed together with a new application, the execution date of the application is: B. Patent No.(s) A. Patent Application No.(s) 6,135,572 Issued October 24, 2000 ☐ Yes **⊠** No Additional numbers attached? 5. Name and address of party to whom correspondence 6. Total number of applications and patents involved: concerning document should be mailed: Name: Allen W. Inks, Reg. No. 37,358 7. Total fee (37 CFR 3.41):....\$ 40.00 ☐ Enclosed - Any excess or insufficiency should be Internal Address: credited or debited to deposit account ☑ Authorized to be charged to deposit account Street Address: One Maritime Plaza, 4th Floor 8. Deposit account number: 720 Water Street 11-0417 State: OH ZIP: 43604 City: Toledo DO NOT USE THIS SPACE

Name of Person Signing Total number of pages including cover sheet, attachments, and document:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy

Signature

9. Statement and signature.

Allen W. Inks

of the original document.

PATENT

December 15, 2000

Date

REEL: 011379 FRAME: 0407

2. Name and address of additional receiving party:

Name: <u>Lucas Industries plc</u>

Address: Stratford Road

City: Solihull

Country: B904LA Great Britain

PATENT REEL: 011379 FRAME: 0408 FORM PTO-1595 (Modified)

OMB No. 0651-0011 (exp.4/94)

(Rev. 6-93)

Pocket No.: 1-4432 REC

U.S. DEPARTMENT OF COMMERCE

hark Office

Copyright 1996-97 LegalStar P08A/REV02 Tab settings → → To the Honorable Commissioner of Patents and Additional To rease record the attached original documents or copy thereof. 1. Name of conveying party(ies): 2. Name and address of receiving party(ies): Karl-Friedrich Worsdorfer, Gregor Poertzgen, Thomas Weigert and Andrew Kingston Name: Lucas Industries plc 8-29-00 Address: Stratford Road ☐ Yes ☒ No Additional names(s) of conveying party(ies) 3. Nature of conveyance: ☐ Merger ☐ Security Agreement ☐ Change of Name City: Solihull State/Prov.: \_\_\_\_\_ Other \_\_ Country: Great Britain ZIP: Execution Date: <u>04-11-99</u>; 04-16-99; 04-21-99 & 05-04-99 Additional name(s) & address(es) ☐ Yes ⊠ No 4. Application number(s) or registration numbers(s): If this document is being filed together with a new application, the execution date of the application is: B. Patent No.(s) Patent Application No. Filing date March 18, 1999 09/272,185 ☐ Yes 🗵 No Additional numbers 5. Name and address of party to whom correspondence 6. Total number of applications and patents involved: concerning document should be mailed: 7. Total fee (37 CFR 3.41):....\$ 40.00 Name: Allen W. Inks ☐ Enclosed - Any excess or insufficiency should be Registration No. 37,358 credited or debited to deposit account Address: MacMillan, Sobanski & Todd, LLC Authorized to be charged to deposit account One Maritime Plaza, Fourth Floor 8. Deposit account number: 720 Water Street \_\_\_\_\_ State/Prov.: OH City: Toledo 11-0417 ZIP: <u>4360</u>4-1853 Country: U.S.A. DO NOT USE THIS SPACE 0/11/2000 DNGUYEN 00000081 110417 09272185 40.00 CH 1 FC:581 To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy 9. Statement and signature. of the original document.

> Signature Total number of pages including cover sheet, attachments, and

Allen W. Inks

## **ASSIGNMENT**

## FOR VALUE RECEIVED

WE, KARL-FRIEDRICH WÖRSDORFER, Schillerstrasse 32, D-55257 Budenheim, Germany, GREGOR POERTZGEN, Markenbildchenweg 32, D-56068 Koblenz, Germany, and THOMAS WEIGERT, Königssteinerstrasse 96, D-65812 Bad Soden, Germany, all citizens of Germany, and ANDREW KINGSTON, Grabenstrasse 31, D-55262 Heidesheim, Germany, a citizen of Great Britain, hereby sell, assign, transfer and convey unto VARITY GMBH, a company organized under the laws of Germany and having an office at Carl-Spaeter-Straße 8, D56070 Koblenz. Germany, and to LUCAS INDUSTRIES public limited company, a corporation organized under the laws of Great Britain, having an office at Stratford Road, Solihull, B904LA, Great Britain, jointly, their successors, assigns and legal representatives (hereinafter called the "Assignees"), our entire right, title and interest, for the United States and its territorial possessions, in and to certain inventions relating to an ACTUATION UNIT FOR AN ELECTRONICALLY CONTROLLED VEHICLE BRAKE SYSTEM as described in an international application No. PCT/EP 97/04666 filed 27 August 1997, and in a U.S. National Application For Patent filed with the United States Patent and Trademark Office on or about March 18, 1999, Application Serial No. 09/272,185, having Attorney Docket No. 1-4432, and in and to said application, any patent applications claiming priority to said application, and all divisions, renewals, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues and extensions thereof, and all other lawful forms of protection for said inventions in the United States and its territories.

And we authorize and empower our attorneys at MacMillan, Sobanski & Todd, One Maritime Plaza, Fourth Floor, 720 Water Street, Toledo, Ohio 43604 USA, to complete the filing information above when it becomes known.

AND we authorize and empower the said Assignees or nominees to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, in the United States and its territories, the benefit of the right of priority provided by any international convention or agreement including, but not limited to, the Paris Convention for the Protection of Industrial Property,

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as amended, the Patent Cooperation Treaty, as amended, or by any convention which may henceforth be substituted therefor, and to invoke and claim such right of priority without further written or oral authorization from me.

AND we hereby consent that a copy of this assignment shall be deemed a full and legal formal equivalent of any assignment, consent to file or like document which may be required in the United States for any purpose and more particularly in proof of the right of said Assignees or nominees to claim the aforesaid benefit of the right of priority provided by any international convention or agreement.

AND we hereby covenant that we have the full right to convey our entire right, title and interest herein assigned and that we have not executed and will not execute any agreement in conflict herewith.

AND we hereby covenant and agree that we will communicate to said Assignees or nominees all facts known to us pertaining to said inventions, and reasonably assist in all legal proceedings, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and declarations and in general perform all lawful acts necessary or proper to aid said Assignees or nominees in obtaining, maintaining and enforcing all lawful patent protection and any other lawful form of protection for said inventions in the United States and its territorial possessions.

Signed In The Presence Of:

Cot friction Action Action Moreover Date

Witness

Witness

Thomas Weigert

Witness

Andrew Kingston

Andrew Kingston

Signed In The Presence Of:

Action Action Moreover Action Moreover Date

Andrew Kingston

Signed In The Presence Of:

Action Microsoft Moreover Action Moreover Date

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Action Microsoft Microsoft Moreover Date

Andrew Kingston

Signed In The Presence Of:

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**RECORDED: 12/18/2000** 

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