

01-03-2001

FORM PTO-1595

(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)

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PATENT

101570414

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office  
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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Roy C. Iggulden

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: 12/4/00

2. Name and address of receiving party(ies)

Name: International Business Machines Corporation

Internal Address: \_\_\_\_\_

Street Address: \_\_\_\_\_

City: Armonk State: NY ZIP: 10504

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

09/662,424

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Elsa Keller

Internal Address: \_\_\_\_\_

Siemens Corporation

Intellectual Property Department

Street Address: 186 Wood Avenue South

City: Iselin State: NJ ZIP: 08830

6. Total number of applications and patents involved: 1

7. Total Fee (37 CFR 3.41) \$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit Account No.

19-2179

01/03/2001 HTM11 00000003 192179 09662424

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9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Stanton C. Braden

Name of Person Signing

Reg. No. 32,556

Signature

December 6, 2000

Date

Total number of pages including cover sheet, attachments, and document: 2

PATENT  
REEL: 011381 FRAME: 0640

## ASSIGNMENT

## WHEREAS, I

(1) Roy C. IGGULDEN  
County of

of Newburgh  
and State of New York

have invented certain improvements in **Semiconductor Structure And Method Of Fabrication** and executed a United States patent application therefor on:  
(dates that Inventors signed the declaration)

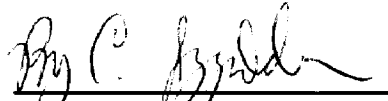
(1) 5/4/2000

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire, right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and the invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed  
(city and date)

(1) at East Fishkill, NY  
on 12/4 2000

  
\_\_\_\_\_  
Roy C. Iggulden