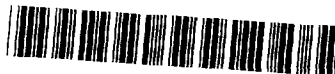


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FORM PTO-1595  
(Rev. 6-93)  
OMB No. 0651-0011 (exp 4/94)

RJ



U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

101577433

To the Hon. Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

**1. Name of conveying party(ies) :**

Novo Nordisk A/S

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No**2. Name and address of receiving party(ies):**

Name: CeNeS Limited

Internal Address

Street Address: Compass House, Vision Park,  
Chivers Way, Histon, Cambridge CB4 9ZR, EnglandAdditional name(s) & address(es) attached? ☐ Yes ☒ No**3. Nature of conveyance:**

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: December 4, 2000

**4. Application number(s) or patent number(s):**

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s) 08/202,401

B. Patent No.(s) 5,512,562

Additional numbers attached? ☐ Yes ☒ No**5. Name and address of the party to whom correspondence concerning document should be mailed:**

Name: Steve T. Zelson, Esq.

Internal Address:

Novo Nordisk of North America, Inc.

Street Address: 405 Lexington Avenue,  
Suite 6400

City: New York State: NY Zip 10174-6401

**6. Total number of applications and patents involved: one****7. Total fee (37 CFR 3.41) ..... \$ 40.00**☐ Enclosed☒ Authorized to be charged to deposit account**8. Deposit account number**

14-1447

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

**9. Statement and signature.**

To the best of my knowledge and belief, the foregoing information is true and any attached copy is a true copy of the original document.

Steve T. Zelson

Name of person signing

Signature

December 15, 2000

Date

Total number of pages including cover sheet, attachment, document: 3

01/09/2001 MTHAI1 00000338 141447 08202401

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PATENT  
REEL: 011390 FRAME: 0909

**ASSIGNMENT OF APPLICATION FOR PATENT**

WHEREAS:

Novo Nordisk A/S, a corporation organized under the laws of Denmark, located at Novo Alle, DK-2880 Bagsvaerd, Denmark (hereinafter "ASSIGNOR"), is the owner of U.S. Patent No. 5,512,562 for an invention entitled:

Tricyclic 2,3,4,5-Tetrahydro-1H-3-Benzapines

and

WHEREAS:

CeNeS Limited, a corporation organized under the laws of the United Kingdom, located at Compass House, Vision Park, Chivers Way, Histon, Cambridge CB4 9ZR, England (hereinafter "ASSIGNEE"), is desirous of acquiring the entire interest in, to and under said Letters Patent.

NOW, THEREFORE, in consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of one dollar (\$1) or the equivalent thereof, the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE, its successors, legal representatives and assigns, the full and exclusive rights, titles and interests to said Letters Patent for the full term or terms for which the same may be granted.


SAID ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks of the United States of America to issue said Letters Patent to ASSIGNEE, as assignee of the entire right, title and interest in, to and under the same, for the sole use and behalf of the ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

SAID ASSIGNOR hereby covenants that we have full right to convey the entire right, title and interest herein sold, assigned, transferred and set over.

AND SAID ASSIGNOR hereby further covenants and agrees that we will, at any time, when called upon to do so by the ASSIGNEE, its successors, legal representatives, or assigns, communicate to the ASSIGNEE, its successors, legal representatives, or assigns, as the case may be, any facts known to us respecting said discovery or invention, and execute and deliver any and all lawful papers that may be necessary or desirable to perfect the title to the said Letters

Patent in the ASSIGNEE, its successors, legal representatives and assigns, and that if reissues of the said Letters Patent or disclaimers relating thereto shall hereafter be desired by the ASSIGNEE, its successors, legal representatives or assigns, we will, at any time, when called upon to do so by the ASSIGNEE, its successors, legal representatives, or assigns, sign all lawful papers, make all rightful oaths, execute and deliver all such disclaimers and all reissue applications so desired, and do all lawful acts requisite for the application for such reissues and the procuring thereof and for the filing of such disclaimers.

Date: 4/12-2000

  
\_\_\_\_\_  
Karin Norvin Nilsson  
Manager of Health Care  
Novo Nordisk A/S  
Novo Alle, DK-2880  
Bagsvaerd, Denmark