

01-08-2001

y. Docket AUS920000830

12/18/00



HEET

9/740247

101573154

To The Honorable and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Lorin E. Ullmann

Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies):

Name: International Business Machines Corporation Address: New Orchard Road Armonk, NY 10504

Additional name(s) & addresses attached? Yes No

10841 U.S. PTO 09/740247 12/18/00

3. Nature of conveyance:

X Assignment

Execution Date(s): December 15, 2000

4. Patent Application number(s)

To be assigned

Execution Date(s): December 15, 2000

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Anne Vachon Dougherty for IBM Corporation 3173 Cedar Road Yorktown Heights, NY 10598 Tel. No: (914) 962-5910

6. Total number of applications involved: 1

7. Total fee (37 CFR 3.41): \$40.00

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8. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of original document.

Anne Vachon Dougherty (No. 30,374) Name of Person Signing

Anne Vachon Dougherty Signature 12/18/00 Date

Total Number of pages including cover sheet, attachments, and document: 2

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Mail documents to be recorded with required cover sheet information to: Assistant Commissioner for Patents Box Assignments Washington, DC 20231

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Assignment

Whereas, I

Inventor and City (1) Lorin Evan Ullmann of 2803 Pearce Road, City of Austin and State of Texas

have invented certain improvements in:

METHOD AND APPARATUS IN NETOWRK MANAGEMENT SYSTEM FOR PERFORMANCE-BASED NETWORK PROTOCOL LAYER FIREWALL

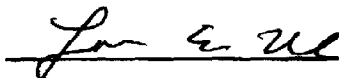
and executed, respectively, a United States patent application therefor on (1) 2000.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM) desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed

CITY (1) at AUSTIN, TX



SIGNATURE

DATE on 12/15/ 2000

Lorin Evan Ullmann