

01-09-2001

Docket No.: 00AB002

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U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office



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Tab settings → → →

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
**Aakash Vishalraj K. Rao**  
**Russel J. Kerkman**  
**David W. Schlegel**  
*12-26-00*

2. Name and address of receiving party(ies):  
Name: **Rockwell Technologies, LLC**  
Address: **1049 Camino Dos Rios**

Additional names(s) of conveying party(ies) attached?  Yes  No

3. Nature of conveyance:  
 Assignment  Merger  
 Security Agreement  Change of Name  
 Other \_\_\_\_\_  
Execution Date: **December 12, 2000 & December 15, 2000**

City: **Thousand Oaks** State/Prov.: **California**  
Country: **USA** ZIP: **91360**  
Additional name(s) & address(es) attached?  Yes  No

4. Application number(s) or registration numbers(s):  
If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_  
Patent Application No.      Filing date  
**09/677,036**                      **09/29/2000**

B. Patent No.(s)  
Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:  
Name: **John J. Horn**  
Registration No. **28,803**  
Address: **Rockwell Automation**  
**Patent Department 704P**  
**1201 South Second Street**  
City: **Milwaukee** State/Prov.: **WI**  
Country: **USA** ZIP: **53204**

6. Total number of applications and patents involved: **1**  
7. Total fee (37 CFR 3.41):.....\$ **40.00**  
 Enclosed - Any excess or insufficiency should be credited or debited to deposit account  
 Authorized to be charged to deposit account  
8. Deposit account number:  
**01-0857**

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9. Statement and signature:  
*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*  
**John J. Horn, Reg. No. 28,803**  
Name of Person Signing

*John J. Horn*  
Signature  
**12/19/00**  
Date

Total number of pages including cover sheet, attachments, and document: **5**

ASSIGNMENT OF INVENTION  
TO  
ROCKWELL TECHNOLOGIES, LLC

WHEREAS, Russel J. Kerkman of Milwaukee, State of Wisconsin, Aakas Bishalraj K. Rao of Sunnyvale, State of California and David W. Schlegel of Saukville, State of Wisconsin (hereinafter sometimes referred to as "said inventors"), have invented certain new and useful improvements in ADAPTIVE PREDICTIVE SYNCHRONOUS CURRENT FRAME REGULATOR METHOD AND APPARATUS which invention (hereinafter sometimes referred to as "said invention") has been disclosed to and filed, under Docket No. 00AB002, in the patent files of ALLEN-BRADLEY COMPANY, LLC and which invention is covered by a patent application, executed and filed on September 29, 2000 as USSN 09/677,036 in the Patent and Trademark Office for the United States of America.

NOW THEREFORE, it is agreed that, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, said inventors have sold, assigned and transferred and by these present do sell, assign and transfer unto ROCKWELL TECHNOLOGIES, LLC, the entire right, title and interest in said invention in the United States of America and all foreign countries and in and to said U.S. patent application and all divisions, substitutions, continuations and continuations-in-part thereof, and in all United States Letters Patents which may be granted thereon and all reissues and extensions thereof, and in all priority rights under the International Convention for the Protection of Industrial Property for every member country, and in all applications for patents (including related rights such as utility-model registrations, inventor's certificates, and the like) heretofore or hereafter filed for said invention in any foreign countries, and in all patents (including all extensions, renewals and reissues thereof) granted for said invention; said applications and patents to be held and enjoyed by the said ROCKWELL TECHNOLOGIES, LLC, for its own use and for the use of its successors and assigns, to the full end of the term for which Letters Patent on same may be granted, as fully and entirely as the same would have been held by said inventors had this assignment and sale not been made.

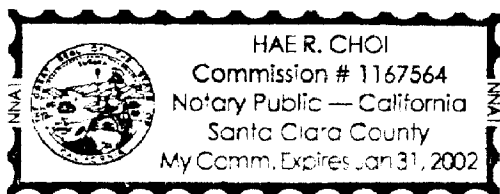
FURTHER, said inventors hereby agree to sign all lawful papers necessary or helpful in obtaining patents on said invention or in filing any divisional, continuation, substitution, or reissue patent application or re-examination proceedings on said invention whether in the United States of America or in any foreign country.

Executed at SUNNYVALE, CA this 12<sup>th</sup> day  
of DECEMBER, 2000.

Aakash K. Rao  
Aakash Vishalraj K. Rao, Inventor

State of California )  
County of Santa Clara )

On this 12<sup>th</sup> day of December, 2000, before me personally  
appeared Aakash Vishalraj K. Rao, to me known to be the person whose name is subscribed to  
the foregoing instrument, and acknowledge to me that he/~~she~~ executed the same.



Haer R. Choi  
Notary Public  
My Commission Expires: Jan-31-2002

(SEAL)

Executed at ROCKWELL AUTOMATION this 15 day  
of December, 2000.

Russel J Kerkman  
Russel J Kerkman, Inventor

State of Wisconsin )

County of OSHAUKEE

On this 15 day of December, 2000, before me personally appeared  
Russel J Kerkman, to me known to be the person whose name is subscribed to the foregoing  
instrument, and acknowledge to me that he/she executed the same.

Christine Wilson  
Notary Public  
My Commission Expires: 11-17-02

(SEAL)

Executed at Rockwell Automation this 15 day  
of December, 2000.

David W. Schlegel  
David W. Schlegel, Inventor

State of Wisconsin )

County of Ozaukee

On this 15 day of December, 2000, before me personally appeared  
David W. Schlegel, to me known to be the person whose name is subscribed to the foregoing  
instrument, and acknowledge to me that he/she executed the same.

Christy Nelson

Notary Public

My Commission Expires: 11-17-02

(SEAL)