

01-10-2001



To the Honorable Commissioner of Patents

101577427

and original documents or copy thereof.

1. Name of conveying party(ies):

CIBA Vision Corporation

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date:

2. Name and address of receiving party(ies)

Name: Novartis AG
Internal Address: Patent & Trademark Department
Street Address: Schwarzwaldallee 215
City: Basel State: Switzerland ZIP: 4058

Additional name(s) & address(es) attached? ☐ Yes ☒ No

Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

08/860,131

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Michael W. Glynn
Novartis Corporation

Internal Address: Patent & Trademark Department

Street Address: 564 Morris Avenue

City: Summit, New Jersey ZIP: 07901-1027

16. Total number of applications and patents involved: 1

E

7. Total fee (37 CFR 3.41.....) \$40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account and any other additional fees required.

8. Deposit Account number: 19-0134

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Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert J. Gorman, Jr.

Name of Person Signing

Signature

Date

**Certificate of Mailing on Reverse

Total number of pages including cover sheet, attachments, and documents: 2

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, DC 20231

PATENT
REEL: 011398 FRAME: 0481

ASSIGNMENT

CIBA Vision Corporation, a corporation organized and existing under the laws of the State of Delaware, having offices at 11460 Johns Creek Parkway, Duluth, Georgia 30097-1556 for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, does hereby sell, transfer and assign to NOVARTIS AG, Schwarzwaldalle 215, 4058 Basel, Switzerland a Swiss corporation of Basle, Switzerland, its successors, assigns and legal representatives, all its right, title and interest in countries foreign to the United States of America in and to the invention for

FUNCTIONALISED PHOTOINITIATORS, DERIVATIVES AND
MACROMERS THEREFROM AND THEIR USE

described in the application for United States Letters Patent therefor,

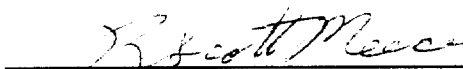
Serial No. 08/860,131, filed, 8/11/97

including (i) the authorization to file corresponding applications for patents or similar legal protection and continuations, continuations-in-part, supplementary disclosures, divisions or extensions thereof in the name of NOVARTIS AG in any country foreign to the United States in which an assignee can apply for a patent or similar legal protection, (ii) any and all rights under international treaties, conventions, agreements, charters and laws in respect of the said invention, especially the right to claim priority based on the said application for United States Letters Patent, (iii) any and all Letters Patent or similar legal protection which may be granted therefor in countries foreign to the United States of America, and all divisions, reissues, continuations and extensions thereof, the said interest being the entire ownership of said Letters Patent or similar legal protection for all countries foreign to the United States of America when granted, to be held and enjoyed by NOVARTIS AG, as fully and entirely as the same would have been held and enjoyed by CIBA Vision Corporation if this assignment and sale had not been made; and CIBA Vision Corporation hereby further covenants and agrees that CIBA Vision

Corporation will at any time upon request execute such additional assignments, confirmatory or desirable, testify in any legal proceeding, execute all divisional, continuing, reissue and extension applications, make all rightful oaths and declarations, and generally do everything possible to aid the said assignee, its successors, assigns and legal representatives, to obtain and enforce proper patent protection for said invention. And CIBA Vision Corporation does hereby authorize and request that appropriate authorities in countries foreign to the United States of America to issue Letters Patent to NOVARTIS AG.

Signed at Duluth, GA this 4th day of October , 2000.

CIBA VISION CORPORATION

By 
R. Scott Meece
Vice President &
General Counsel