

01-10-2001

1-9-01  
DO NOT USE FOR TRADEMARKSR SHEET  
ENTS ONLY

TO THE ASSISTANT COMMIS

101577997

S:

SIR: PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF.

## 1. NAME OF CONVEYING PARTY(IES) (ASSIGNORS(S)):

1. Frederick William Cain  
3. Jonathan Richard Powell  
5.  
7.2. Preyesh Parmar  
4. Julia Sarah Rogers  
6.  
8.ADDITIONAL NAME(S) OF CONVEYING PARTY(IES) ATTACHED? ☐ YES ☒ NO

## 2. PARTY(IES) (ASSIGNEE(S)) RECEIVING INTEREST:

NAME: Unilever Patent Holdings

ADDRESS: Olivier van Noortlaan 120, 3133 AT Vlaardingen, The Netherlands

ADDITIONAL NAME(S) & ADDRESS(ES) ATTACHED? ☐ YES ☒ NO

09672487

## 3. NATURE OF CONVEYANCE (DOCUMENT):

(Submit herewith only one document for recordation—multiple copies of same Assignment signed by different inventors is one document)
☒ ASSIGNMENT OF ☒ WHOLE ☐ PART INTEREST  
☐ ORIGINAL ☐ FACSIMILE/PHOTOCOPY  
☐ CHANGE OF NAME ☐ VERIFIED TRANSLATION  
☐ SECURITY ☐ MERGER ☐ OTHER:

EXEC. DATE: Nov. 16, 2000; Oct. 13, 2000; Oct. 13, 2000; Oct. 13, 2000

EXECUTION DATE(S) ON THE DECLARATION IF FILED HERewith: (NOTE: IF DATES ON DECLARATION AND ASSIGNMENT DIFFER SEE ATTY!) Nov. 6, 2000; Oct. 13, 2000; Oct. 13, 2000; Oct. 13, 2000

4.5 APPL. NO.(S) OR PAT NO.(S). OTHERS ON ADDITIONAL SHEET(S) attached? ☐ YES ☒ NO

A. PAT. APP. NO.(S) series code/serial no	M#	1 <sup>st</sup> INVENTOR if not in item 1	B. PATENT NO(S)	M#	1 <sup>st</sup> INVENTOR if not in item 1
09/672,487	273830	CAIN			

5. Name & Address of Party to Whom Correspondence  
Concerning Document Should be Mailed:Pillsbury Winthrop LLP  
Intellectual Property Group  
1100 New York Avenue, NW  
Ninth Floor  
Washington, DC 20005-3918

6. NUMBER INVOLVED:

APPLNS 1 + PATS 0 = TOTAL 1

7. AMOUNT OF FEE ENCLOSED: (Code 581)  
ABOVE TOTAL x \$40 = \$40

5.5 ATTY DKT:

PMS 273830

F.7508

8. IF ABOVE FEE IS MISSING OR INADEQUATE CHARGE  
INSUFFICIENCY TO DEPOSIT ACCOUNT NUMBER: 03-3975  
UNDER ORDER NO 60113 273830

MATTER NO.

CLIENT REF.

dup. sheet not required

CLIENT NO.

MATTER NO.

## 9. STATEMENT AND SIGNATURE.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

01/09/2001 CNGUYEN 00000024 09672487

05 FC:581 (40.00 DP)

Signature

Attorney: Paul N. Kokulis

Reg. No. 16773

Date: January 8, 2001

Atty/Sec: PNK/MAH

TEL: (202) 861-3503

FAX: (202) 822-0944

10. Total number of pages including this  
cover sheet, attachments and document  
(do not file dup. Cover sheet)

4

FILE WITH PTO RETURN RECEIPT (PAT-103A)

ASSIGNMENT

F 7508 (V)

WHEREAS, WE, Frederick William CAIN, a British subject of Loders Croklaan B.V., Hogeweg 1, 1521 AZ Wormerveer, The Netherlands, Preyesh PARMAR, Jonathan Richard POWELL, and Julia Sarah ROGERS, all of Unilever Research Colworth, Colworth House, Sharnbrook, Bedford, MK44 1LQ, United Kingdom

have invented certain improvements in: COMPOSITIONS CONTAINING PINOLENIC ACID AND ITS USE AS A HEALTH COMPONENT

(bearing Attorney's Docket Number: **F 7508 (V)**), which is found in

[Check and complete (a), (b) or (c)]

(a) \_\_\_\_\_ U.S. patent application executed on \_\_\_\_\_

(b) ☒ X To comply with 37 CFR 3.21 for recordal of this assignment, I/WE as ASSIGNOR(S) signing below, hereby authorize and request my/our attorney, as named in the Declaration and Power of Attorney to insert below the filing date and application number when it becomes known.

U.S. application Serial No. \_\_\_\_\_ filed on \_\_\_\_\_

(c) \_\_\_\_\_ International Application No. PCT/\_\_\_\_\_/\_\_\_\_\_

AND WHEREAS, **UNILEVER PATENT HOLDINGS**, a company organized under the laws of The Netherlands, of Olivier van Noortlaan 120, 3133 AT Vlaardingen, The Netherlands, desires to acquire the entire right title and interest in and to the aforesaid invention, and to said United States application or any reissues, divisions, continuation, or continuation-in-part thereof, and in any Letters Patent which may issue therefrom;

NOW, THEREFORE, in consideration of one dollar (\$1.00) and other good and valuable consideration in hand paid, the receipt and sufficiency of which is hereby acknowledged, I/We, the undersigned, hereby sell, assign and transfer to the aforesaid **UNILEVER PATENT HOLDINGS**, its successors and assigns, the entire right, title and interest in and to the said application and invention therein disclosed for the United States, and in and to any and all divisional, continuation, continuation-in-part, reissue or other applications describing said invention or improvements thereon, and in and to any and all Letters Patent of the United States which may issue from said applications or any of them, the same to be held by and for the sole and exclusive use and benefit of the said **UNILEVER PATENT HOLDINGS**, its successors and assigns.

AND I/We do hereby authorize and request the Commissioner of Patents to issue the said Letters Patent of the United States to said **UNILEVER PATENT HOLDINGS**, as the ASSIGNEE of the entire right, title and interest in and to the same.

AND I/We do hereby agree to assist said **UNILEVER PATENT HOLDINGS**, in the prosecution of any and all said applications and in the prosecution of any and all interferences or other proceedings which may arise involving said invention, and to execute and deliver any powers of attorney, affidavits, assignments, applications, reissues, documents, or other written instruments which, in the opinion of counsel for said **UNILEVER PATENT HOLDINGS**, its successors and assigns, may be necessary in this country fully to secure to said **UNILEVER PATENT HOLDINGS**, its successors and assigns, any and all said applications, inventions, improvements, Letters Patent, rights, titles, and interests hereby sold, assigned, transferred and conveyed.

In witness whereof, I/we have hereunto set my/our hand

Dated: 16/11/2000 (1) Frederick William Cain  
Inventor - Frederick William Cain

Dated: 13/10/2000 (2) Preveen Parmar  
Inventor - Preveen Parmar

Dated: 13/10/00 (3) Jonathan Richard Powell  
Inventor - Jonathan Richard Powell

Dated: 13/10/00 (4) Julia Sarah Rogers  
Inventor - Julia Sarah Rogers

Notarise & Apostille



Seen by me, Victor Joseph Antonius Johannes Clemens van Heeswijk, civil law notary in Rotterdam, the Netherlands, for legalisation of the signatures placed on the attached document of:

- Mr Frederick William Cain, residing at Dr. Blookerstraat 12, 2271 VL Voorburg, the Netherlands;
- Mr Preyesh Parmar, c/o Unilever Research Colworth, Colworth House, Sharnbrook, Bedford, MK44 1LQ, United Kingdom;
- Mr Jonathan Richard Powell, c/o Unilever Research Colworth, Colworth House, Sharnbrook, Bedford, MK44 1LQ, United Kingdom; and
- Mrs Julia Sarah Rogers, c/o Unilever Research Colworth, Colworth House, Sharnbrook, Bedford, MK44 1LQ, United Kingdom;

Rotterdam, 23 November 2000



## APOSTILLE

(Convention de La Haye du 5 Octobre 1961)

1. Country: The NETHERLANDS

This public document

2. has been signed by mr V.J.A.J.C. van Heeswijk

3. acting in the capacity of  
notary

4. bears the stamp of  
mr V.J.A.J.C. van Heeswijk  
Certified

5. at ROTTERDAM 6. on 23/11/2000

7. by the clerk of the District Court  
(Griffier van de Arrondissementsrechtbank)

8. N° 102400

PATENT

RECORDED: 01/10/2001

REEL: 011399 FRAME: 0095